

UW-MEMS DESIGN HANDBOOK

VERSION 5.0



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CHAPTER 1: GOLD-BASED SURFACE MICROMACHINING PROCESS

INTRODUCTION

The UW-MEMS process is a research-oriented, cost effective, proof of concept, multi-user microfabrication process for industries, universities and government agencies offered at the University of Waterloo, Waterloo, Ontario, Canada. The process is gold-based surface micromachining derived and developed from work carried out by the Center for Integrated RF Engineering (CIRFE) at the University of Waterloo over the past years. It has been used successfully to build several MEMS devices [1]-[8].

The following is a general description and user guide for the UW-MEMS process which is optimized for many RF applications, such as contact and capacitive MEMS switches, electrostatic varactors, phase shifters, tunable filters and impedance matching networks as well as RF passives including transmission line circuits, planar inductors, interdigital and metal-insulator-metal (MIM) capacitors and resistive lines. Nevertheless, the process is also applicable to many other MEMS devices, such as micro-mirror devices and surface micromachined inertial sensors. Accordingly, designers from all micro-scale engineering disciplines are encouraged to submit their designs.

The guidelines in this handbook target users with a minimum of processing experience. The design rules of UW-MEMS are detailed in chapter 2. Moreover, a detailed example is provided in chapter 3 to illustrate the typical layouts that designers need to submit for UW-MEMS.

PROCESS OVERVIEW

The UW-MEMS microfabrication process employs only seven masks, and it starts with a 0.025" thick Alumina substrate polished on both sides with a relative permittivity of 9.9 and loss tangent of 0.0001 at 1MHz.

The seven masks used for the entire fabrication are electron-beam-write chromium masks that are produced from nine layout layers, which are detailed in the chapter 2. Up to this

stage, it is worth noting that more than one layer can be used to produce ONLY one mask. This fact is implied in the second and seventh main steps shown below.

LAYER #1: TITANIUM TUNGSTEN BIAS LINES

After the RCA cleaning of the wafer, 50 nm TiW layer is sputtered and patterned using the layer "TiW".

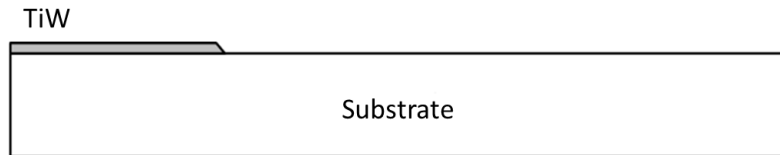


Figure1.1: After patterning using Layer "TiW"

LAYERS #2 AND #3: FIRST DIELECTRIC

A 0.7 μm SiO_2 is deposited at 250°C by plasma enhanced chemical vapor deposition (PECVD) and patterned using reactive ion etching (RIE). RIE is then used to strip away the etch-mask photoresist. The pattern is formed using a single mask based on the layout layers "D1" and "D1HOLE" combined.

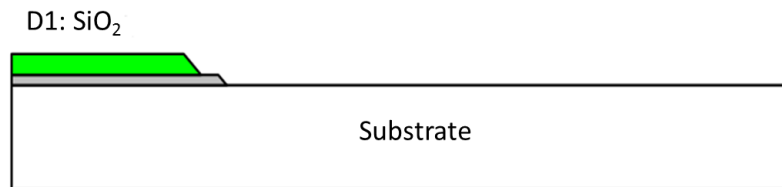


Figure1.2: After patterning using Layers "D1" & "D1HOLE"

LAYER #4: FIRST GOLD

An evaporated 40nm Cr/70nm Au bilayer is deposited as a seed layer. A negative photoresist (PR) mold is patterned by photolithography using layer "G1" and 1 μm Au is electroplated inside that mold. The mold and the seed layer are removed afterwards. Cr serves no more than an adhesion layer for Au.

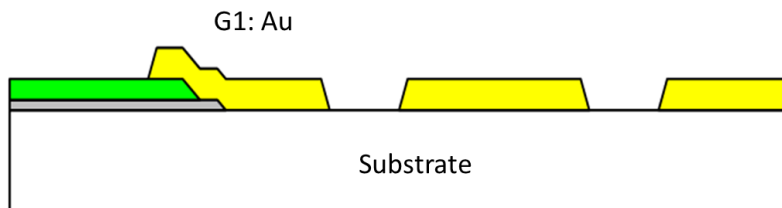


Figure1.3: After patterning using Layer "G1"

LAYER #5: SECOND DIELECTRIC

A 30nm of TiW is sputtered followed by the deposition of $0.7\mu\text{m}$ SiO_2 PECVD at 250°C . The SiO_2 and TiW layers are then dry etched in RIE in order to pattern them using "D2" layer. TiW layer serves here as an adhesion layer between SiO_2 and Au.

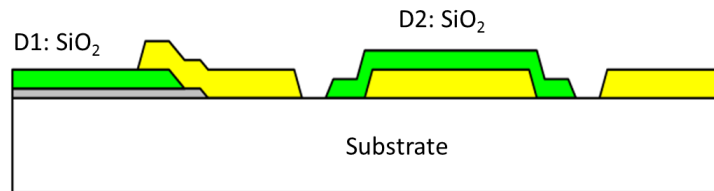


Figure1.4: After patterning using Layer "D2"

LAYER #6: ANCHOR OPENINGS

Spin coated Polyimide is used as the sacrificial layer for the Au structural layer in UW-MEMS. Initially, it is coated to a thickness of $2.5\mu\text{m}$; then, it is patterned by "A" layer in RIE in order to etch the polyimide and fully open the anchor holes.

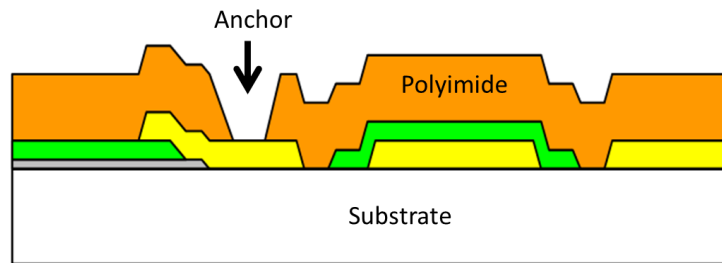


Figure1.5: After patterning using Layer "A"

LAYER #7: DIMPLE OPENINGS

Similar to anchor patterning, the dimples openings are performed in polyimide using an RIE etching step using the pattern of "D" layer. The depth of the etching is set to be $1\mu\text{m}$.

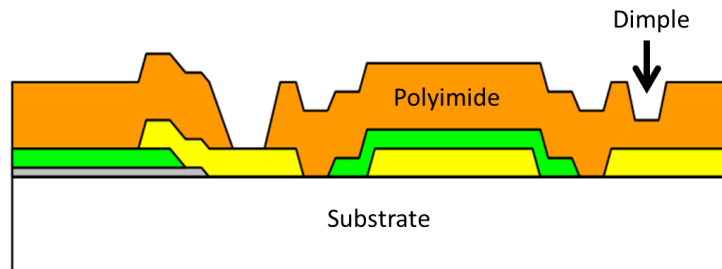


Figure1.6: After patterning using Layer "D"

LAYERS #8 AND #9: SECOND GOLD

The second Au layer consists of sputtered 70nm Au seed layer and an electroplated Au layer. The total thickness of Au is set to be 2 μ m, and it is used as the structural layer for all the MEMS devices. A negative PR mold is used to pattern this layer based on the layout layers "G2" and "G2R" combined appropriately.

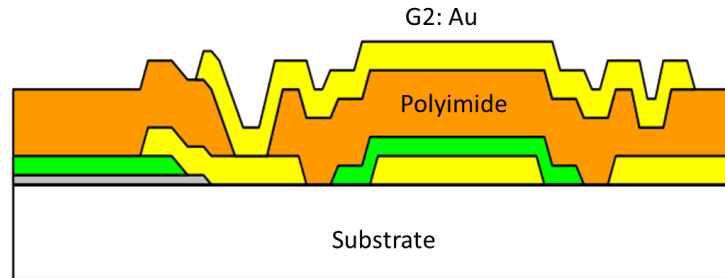


Figure1.7: After patterning using Layers "G2" and "G2R"

FINAL RELEASE STEP

Before releasing the devices, the wafers are diced into individual dies according to the dicing lines in the layout. The sacrificial layer is then removed in O₂ plasma dry etching in RIE. At this stage, the microfabrication of UW-MEMS devices is complete and the samples are packaged for shipping to the customers.

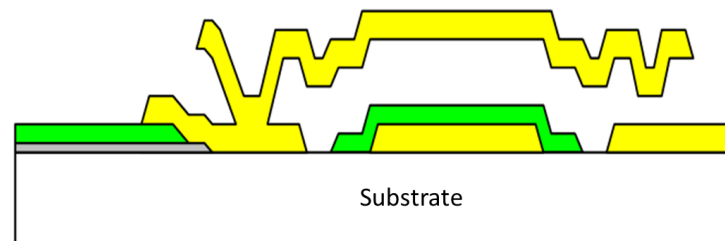


Figure1.8: After releasing the device by stripping away all polyimide

CHAPTER 2: UW-MEMS LAYOUT DESIGN GUIDELINES

INTRODUCTION

These design guidelines and rules were determined during the process development stage and several microfabrication runs carried out at CIRFE cleanroom facility. The rules identify the physical and geometrical limitations of individual process steps. The herein presented guidelines are extremely important and must be considered at the design stage. If the guidelines are not followed closely by UW-MEMS users, the fabricated devices will not meet the specifications and will probably fail or malfunction.

In general, there are two types of rules. The first type of rules specifies the minimum feature sizes and minimum feature separation distances within a single layout layer, i.e. intra-layer design rules. The minimum feature size refers to the minimum side length of a trace that is feasible using UW-MEMS. In other words, if this rule is violated there is no guarantee that the feature will be produced on the wafer. Similarly, the minimum separation distance between adjacent features must comply with the design rules in order to be feasible. Failure to follow the minimum spacing design rule results in a merged feature.

The second type of design rules specifies the inter-level crossovers (overlaps) and separation distances. This is mainly imposed by the inevitable relative misalignment of the different layout layers throughout fabrication, and it will be shortly detailed. Both types of design rules are considered mandatory and should be followed closely by the designer.

DESIGN RULES: GENERAL OUTLINES

The layout design rules for the UW-MEMS process are explained in the following tables and illustrated in schematic format following the tables. First, Table 1 outlines the different layer names, corresponding material thicknesses as well as layer numbers and description.

Table 1: Layer Names, Material Thicknesses, Layers Order, Layer Description and Comments

Layer Name	Material Thickness	Layer Order	Layer Description	Comments
"TiW"	50nm	1	Resistive Voltage Biasing	Resistive Layer
"D1" & "D1HOLE"	0.7 μ m	2 & 3	Dielectric	0.7 μ m SiO ₂ to cover the bias lines
"G1"	1 μ m	4	Conductive Layer	40nm evaporated Cr + 70nm evaporated Au + 0.9 μ m electroplated Au
"D2"	0.7 μ m	5	Dielectric	50nm TiW + 0.7 μ m SiO ₂
"A" & "D"	2.5 μ m	6 & 7	Sacrificial Layer	2.5 μ m Anchor and 1 μ m Dimple openings
"G2" & "G2R"	2 μ m	8 & 9	Conductive Layer	70nm sputtered Au + 1.9 μ m electroplated Au

Table 2 outlines the layers that are used during the microfabrication process. Please note that for the case of "Light Filed", you draw the features that you want to be remained on the wafer. For example, for TiW layer patterning, you draw the features or traces such as bias lines. For the "Dark Field", you draw the parts you want to be removed from the corresponding layer such as release holes or openings for anchors and dimples. Please pay special attention to this concept. Failure to do so will result in a reverse polarity devices.

In other words, for D1HOLE and G2R, you draw only the location of dielectric openings and release holes in gold, respectively. These layers will be subtracted from the D1 and G2 layers by CIRFE personnel prior to printing the second and seventh lithographic masks.

Table 2: Layer Names, Layer Polarity Type and Comments

Layer Names	Polarity	Comments
"TiW"	Light Field	Patterning TiW
"D1"	Light Field	Patterning D1 without openings
"D1HOLE"	Dark Field	Additional layer for openings on the D1 layer
"G1"	Light Field	Patterning G1
"D2"	Light Field	Patterning D2
"A"	Dark Field	Opening anchors between G1 and G2
"D"	Dark Field	Dimples of G2 for contacts of the switches as well as preventing the stiction of large plates
"G2"	Light Field	Patterning G2
"G2R"	Dark Field	Additional layer for release holes of the G2 layer.

Table 3 presents the lithographic mask numbers with the respective GDSII indices of their constituting layout layers. GDSII is the only format that UW-MEMS accept from all users. Please use the GDSII numbers that are specified in the table for each layout layer to avoid confusion. Layers D1HOLE and G2R are just employed to create the openings in the D1 layer and the release holes in G2 layer, respectively. No lithographic masks are printed specifically for these layers. Please note that the dimples are meant for small features. Nominal surface area is 10µm by 10µm. However, they can be used for long lines, but it is recommended that the width of the lines be 10µm.

Table 3: UW-MEMS Masks and GDSII Layer Indices

Lithographic Mask	Layer Name(s)	GDSII Index
Mask #1	TiW	101
Mask #2	D1 – D1HOLE	102 - 110
Mask #3	G1	103
Mask #4	D2	104
Mask #5	A	105
Mask #6	D	106
Mask #7	G2 – G2R	107 - 109

DESIGN RULES: OVERLAPS & ENCLOSURES

Generally, the following guidelines should be considered:

- For overlaps and enclosures of the layers, up to 10µm of misalignment between the layers is assumed. This is due to the limitations of our photolithography system. This is an advisory design rule.
- TiW lines are designated for the DC bias lines with no current flow. This layer is very thin, and it is not intended for power transfer.

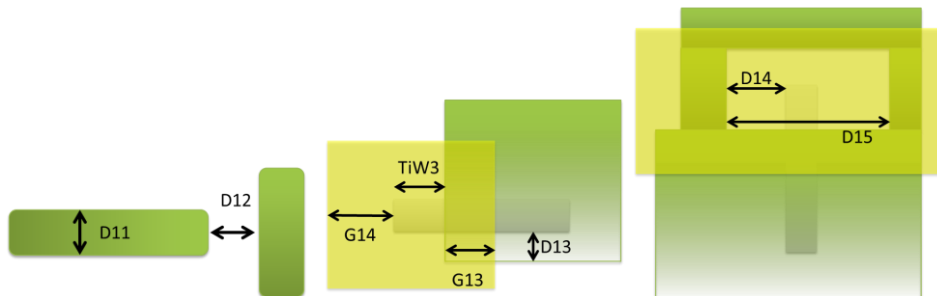
The following outlines the specific layout design rules to which close attention must be paid. It is worth emphasizing that the minimum feature size and minimum spacing between features are limits to which the designers should strictly adhere. Ideally, adding a 10µm additional safety margin to these numbers may increase the yield of fabrication. Users can have round, orthogonal or any arbitrary shape in your layout. The release holes must be 10µm x 10µm squares with edge to edge distances not exceeding 20µm. Please do not use this layer to define geometries. The features are embedded to provide access to underneath the structural layer during the release purposes.

1. **“TiW” resistive DC bias lines:**



Description	Rule Label	Value (µm)
Width/Length of TiW	TiW1	≥ 10
Spacing of TiW	TiW2	≥ 10

2. **First Dielectric “D1” stacked with “TiW” and Gold “G1”:**



Description	Rule Label	Value (µm)
Extension of TiW from D1	TiW3	≥ 20
Width/Length of D1	D11	≥ 15
Spacing of D1	D12	≥ 15
Overlap of D1 with TiW	D13	≥ 5
Extension of D1HOLE from TiW	D14	≥ 10
Width/Length of D1HOLE	D15	≥ 35
Overlap of G1 pad with TiW or D1	G13	≥ 15
Overlap of G1 pad with TiW	G14	≥ 10

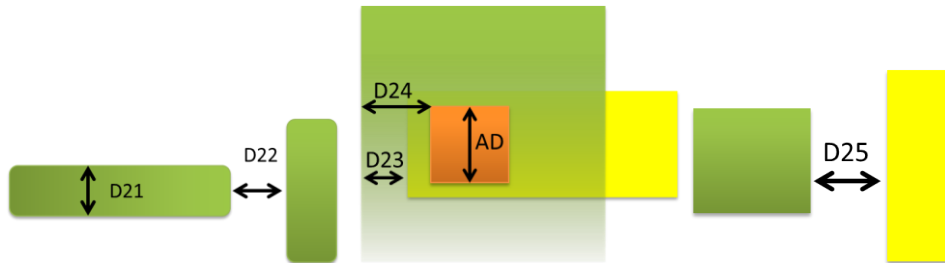
Note: **TiW** lines MUST be always covered with **D1**, **G1**, or both.

3. **First Gold “G1”:**



Description	Rule Label	Value (μm)
Width/Length of G1	G11	≥ 10
Spacing of G1	G12	≥ 10

4. Second Dielectric “D2” on top of TiW adhesion layer:

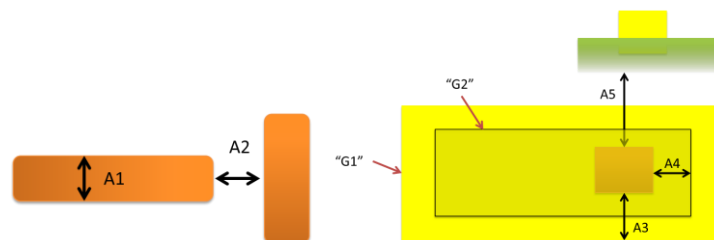


Description	Rule Label	Value (μm)
Width/Length of D2	D21	≥ 15
Spacing of D2	D22	≥ 15
Overlap of D2 with G1	D23	≥ 10
Overlap of D2 with A	D24	≥ 15
Spacing of D2 from G1	D25	≥ 15
Feature size of A over D2	AD	≤ 200

Note: **D2** over 2 separated trances MUST be separated.

Note: Anchor on top of **D2** cannot be used as mechanical support but can be used for Metal-Insulator-Metal (MIM) capacitor.

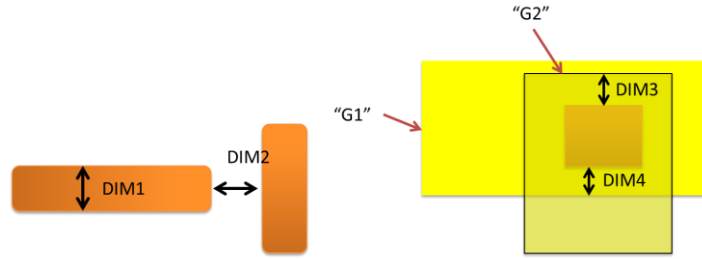
5. Anchor “A” Openings:



Description	Rule Label	Value (μm)
Width/Length of A	A1	≥ 10

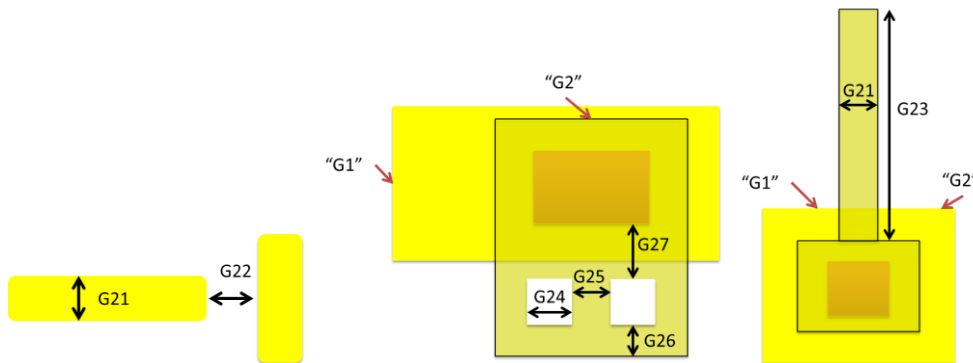
Spacing of A	A2	≥ 10
Overlap of G1 with A (A MUST be covered with G1)	A3	≥ 10
Overlap of G2 with A (A MUST be covered with G2)	A4	≥ 5
Spacing of D2 from A	A5	≥ 10

6. Dimple "D" Openings:



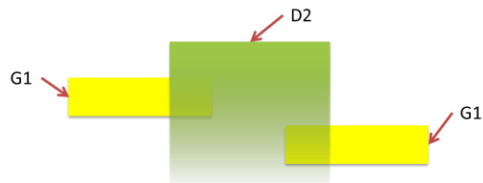
Description	Rule Label	Value (μm)
Width/Length of D	DIM1	≥ 10
Spacing of D	DIM2	≥ 10
Overlap of G2 with D	DIM3	≥ 5
Overlap of G1 with D	DIM4	≥ 5

7. Second Gold "G2":



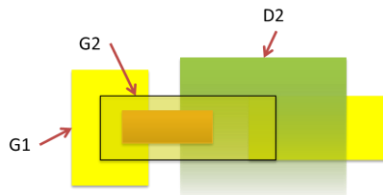
Description	Rule Label	Value (μm)
Width/Length of G2	G21	≥ 10
Spacing of G2	G22	≥ 10
Ratio of G23/G21 when G2 is not anchored to G1	G23/G21	≤ 30
Non-anchored length of G2	G23	≤ 1200
Width/Length of G2R	G24	≥ 10
Spacing of G2R (edge-to-edge)	G25	≥ 20
Spacing of G2R from G2 edge	G26	≥ 10
Spacing of G2R from A	G27	≥ 10 & ≤ 30

8. Caution 1:



Note: This configuration results in short-circuit between the two G1 traces due to the conductive TiW thin layer underneath the D2 layer.

9. Caution 2:



Note: This configuration may result in short-circuit between the G1 and G2 traces through D2 layer.

MATERIAL PROPERTIES

Table 4 reports some of the mechanical and electrical properties of the UW-MEMS process. The data is based on the measurements performed on earlier UW-MEMS processes and agree well with the nominal known values in the literature. Designers can use the existing values in the literature for the other material properties.

Table 4: Physical properties of UW-MEMS materials

Material	Residual Stress (MPa)	Conductivity (Ω^{-1}/m)	Sheet Resistance (Ω/\square)	Relative Permittivity
TiW of Resistive Bias Lines	-----	-----	20*	-----
SiO₂ of D1 and D2	-----	-----	-----	4
Au of G1 and G2	190 +/- 30% Tensile	3.6×10^7	-----	-----

*Higher resistivity ($500\Omega/\square$) will be optionally available.

CHAPTER 3: UW-MEMS LAYOUT EXAMPLE

LAYOUT GENERATION

This chapter presents a basic example of MEMS relay to illustrate the UW-MEMS layout generation step-by-step for designers. Experienced designers typically use tools such as L-EDIT and Cadence to generate the layouts for the various layers. However, any tool that can generate layouts in GDSII formats can be used to submit designs to UW-MEMS. This example is given to provide beginners in the field with layout illustrations to help them understand the guidelines given in chapter 2.

Consider the MEMS relay shown in Figure 3.1. The relay consists of a cantilever beam anchored to an input signal line. The cantilever can be pulled down using electrostatic actuation so that its tip makes contact with the output signal line and hence closes the relay. The actuation is performed using a pad covered by SiO₂ (i.e. dielectric). The two DC bias pads are connected to the actuation pad and the input signal line using high resistivity TiW traces. A dimple is added to the cantilever tip to ensure contact with the output signal line. Below is a description of the seven masks used for production of a MEMS relay based on the nine layout layers detailed in chapters 1 and 2.

- Fig. 3.2a shows the layout of **Mask #1** (produced from “**TiW**” layer). This mask shows the two high resistivity traces that connect the DC bias pads to the actuation pad and the input signal line. The mask is “**Light Field**”. Note that the TiW traces must be made at least 20 μm longer on each side in order to overlap the gold (see Design Rules: Overlaps & Enclosures).
- Fig. 3.2b shows the layout of **Mask #2** (produced from “**D1**” and “**D1HOLE**” layers). This mask shows the insulation layer that covers the bias lines. The mask is “**Light Field**”.
- Fig. 3.2c shows the layout of **Mask #3** (produced from “**G1**” layer). This mask shows the input/output signal lines, actuation electrode and the two bias pads. The mask is “**Light Field**”.
- Fig. 3.2d shows the layout of **Mask #4** (produced from “**D2**” layer). This mask shows the insulation layer that covers the actuation electrode. The mask is “**Light Field**”.
- Fig. 3.2e shows the layout of **Mask #5** (produced from “**A**” layer). This mask defines the anchor location for connection of G2 and G1 metals. The mask is “**Dark Field**”.
- Fig. 3.2f shows the layout of **Mask #6** (produced from “**D**” layer). This mask defines the dimple location which is connected to the tip of the cantilever. The mask is “**Dark Field**”.
- Fig. 3.2g shows the layout of **Layer #8** (“**G2**”). This layer shows the cantilever. The

layer is "Light Field". Besides, Fig. 3.2h shows the layout of Layer #9 ("G2R") that is "Dark Field". This layer will be subtracted from "G2" layer mask by CIRFE personnel to generate the Mask #7.

Note:

The cantilever length needs to be extended at the anchor location in order to make sure that "G1" and "G2" enclose "A" (see Design Rules: Overlaps & Enclosures).

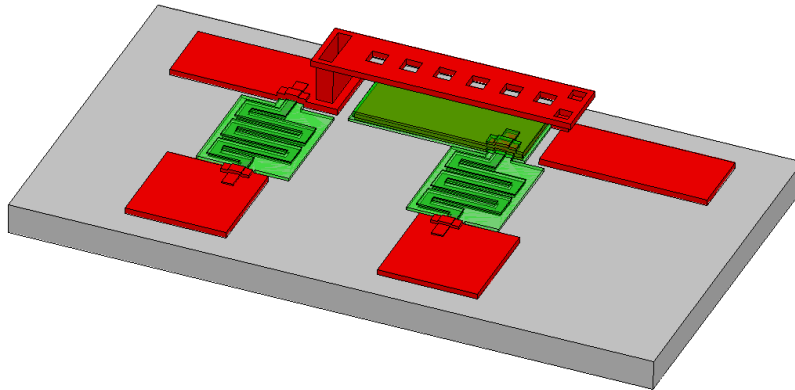


Figure 3.1: A MEMS Relay

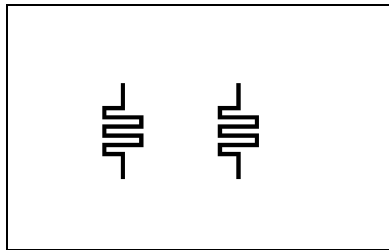


Figure 3.2a: Mask #1 ("TiW")

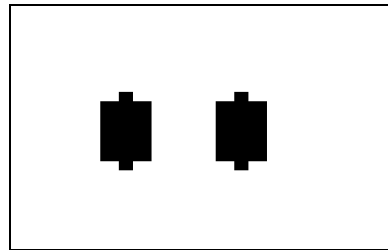


Figure 3.2b: Mask #2 ("D1" - "D1HOLE")

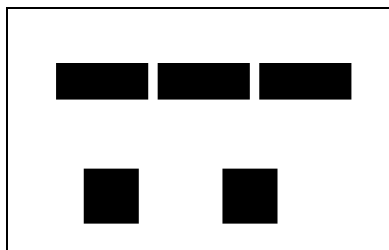


Figure 3.2c: Mask #3 ("G1")

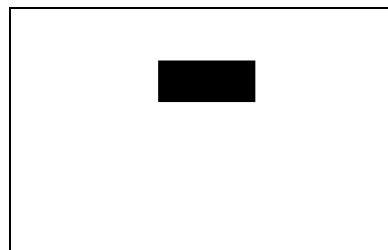
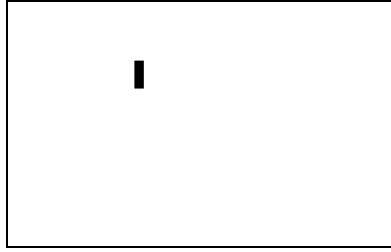
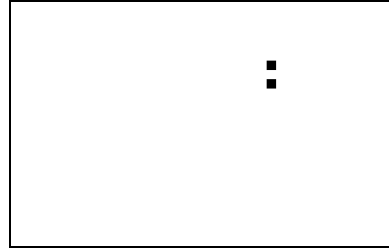


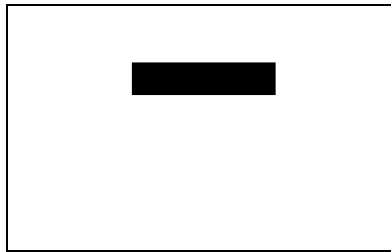
Figure 3.2d: Mask #4 ("D2")



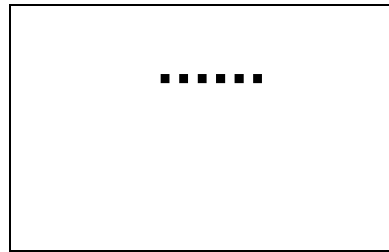
[Figure 3.2e: Mask #5 \("A"\)](#)



[Figure 3.2f: Mask #6 \("D"\)](#)



[Figure 3.2g: Layer "G2"](#)



[Figure 3.2h: Layer "G2R"](#)

CHAPTER 4: DESIGN SUBMISSION

UW-MEMS LAYOUT FORMAT

Designs should be submitted in GDSII format. Tanner L-Edit is the recommended layout software. However, most of the other layout software tools can export to GDS format including CoventorWare. A template for this process can be found on our website. The layers should match the numbers posted in Table 3 of this handbook.

Please visit our website for more information:

www.cirfe.uwaterloo.ca

CUSTOM UW-MEMS PROCESS

CUSTOM UW-MEMS PROCESSES

The CIRFE facility has capability to provide a modified version of the UW-MEMS process on a case by case basis. Designers will be able to select thickness of materials, type of structural and sacrificial layers and number of layers. The CIRFE facility also offers a variety of thin film metal and dielectric deposition services.

For inquiry about the CUSTOM UW-MEMS Processes, please e-mail:

uwmems@mems.uwaterloo.ca

CONTACT INFORMATION

For questions or general inquiry about the UW-MEMS process, please send your e-mails to:

uwmems@mems.uwaterloo.ca

Updates to this design handbook and further details about the CIRFE facility can be found on our website at:

www.cirfe.uwaterloo.ca

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