## COMPREHENSIVE EXAMINATION – ENUMERATION K. Purbhoo and D. Wagner Monday, June 22, 2009, 2:00-5:00.

1. [10 pts.] Let  $g_n$  be the number of plane planted trees with n nodes, in which every node has an even number of children. Prove that

$$g_n = \begin{cases} 0 & \text{if } n \text{ is even,} \\ \frac{1}{2k+1} {3k \choose k} & \text{if } n = 2k+1. \end{cases}$$

- 2. For natural numbers n and k, let S(n, k) denote the number of partitions of the set  $\{1, 2, ..., n\}$  into k pairwise disjoint nonempty blocks, called a *Stirling number of the second kind*.
- (a) [8 pts.] Prove, bijectively or otherwise, that for  $m, n \in \mathbb{N}$ ,

$$m^n = \sum_{k=0}^n k! S(n,k) \binom{m}{k}.$$

(b) [5 pts.] For a sequence  $a_0, a_1, a_2, \ldots$  of real numbers, define another sequence  $b_0, b_1, b_2, \ldots$  as follows: for all  $j \in \mathbb{N}$ ,

$$b_j = \sum_{i=0}^j \binom{j}{i} a_i.$$

Prove that for all  $i \in \mathbb{N}$ ,

$$a_i = \sum_{j=0}^{i} (-1)^{i-j} \binom{i}{j} b_j.$$

(c) [4 pts.] Deduce a formula for S(n, k) from parts (a) and (b).

- **3(a)** [8 pts.] Let  $\alpha$  and x be indeterminates. Find a formal power series f such that  $f(xe^{-x}) = e^{\alpha x}$ .
- (b) [11 pts.] Let  $\beta$  be another indeterminate. From part (a) or otherwise, prove that, for  $n \in \mathbb{N}$ ,

$$(\alpha+\beta)(\alpha+\beta+n)^{n-1} = \alpha\beta \sum_{k=0}^{n} \binom{n}{k} (\alpha+k)^{k-1} (\beta+n-k)^{n-k-1}.$$

- 4(a) [8 pts.] Let  $\sigma$  be a permutation of the set  $\{1, 2, ..., n\}$  chosen uniformly at random, and let  $1 \le k \le n$ . What is the probability that the cycle of  $\sigma$  that contains 1 has length exactly k?
- (b) [11 pts.] A phylogeny of order n is a tree in which the leaves are labelled by the set  $\{1, 2, ..., n\}$  and each non-leaf vertex has degree three. Let  $a_n$  denote the number of (isomorphism classes of) phylogenies of order n. Thus,  $a_1 = 1$ ,  $a_2 = 1$ ,  $a_3 = 1$ , and  $a_4 = 3$ . Show that for all  $n \ge 3$ ,

$$a_n = 1 \cdot 3 \cdot 5 \cdot \cdots \cdot (2n - 5),$$

the product of the first n-2 positive odd integers.

5. [15 pts.] An endofunction on a set X is any function  $f: X \to X$ . An element  $v \in X$  is recurrent for  $f: X \to X$  if there is a positive integer  $m \geq 1$  for which  $f^{[m]}(v) = v$ . (Here,  $f^{[m]}$  denotes the m-fold composition of f with itself.) For each  $1 \leq k \leq n$ , derive a formula for the number of endofunctions on an n-element set with exactly k recurrent vertices, and conclude that

$$\sum_{k=1}^{n} \frac{(n-1)!k}{(n-k)!n^k} = 1.$$

6. Consider the formal power series

$$F_m(q,t) = \prod_{j=0}^m \frac{1}{1 - q^j t} = \sum_{n=0}^\infty c_{m,n}(q) t^n.$$

- (a) [8 pts.] State and prove a combinatorial interpretation of  $F_m(q,t)$  as an ordinary generating series. State the combinatorial interpretation of  $c_{m,n}(q)$ .
- (b) [12 pts.] By comparing  $F_{m+1}(q,t)$  and  $F_m(q,qt)$ , or otherwise, obtain a linear recurrence relation for  $c_{m,n}(q)$ . Solve this recurrence to obtain an explicit formula for  $c_{m,n}(q)$  as a polynomial in q.