

# DiSK: A Deniable Split KEM from The MLWE Problem

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## Introduction / Motivation

Shor's Algorithm [1] represents an existential threat to any cryptosystem built from quantum weak assumptions such as RSA or ECC. Any digital communication that relies on these traditional cryptosystems risks one day becoming completely insecure should a sufficiently powerful quantum computer come into existence. As a result, there has been a global effort in developing Quantum Computing-Resistant (QCR) cryptographic algorithms to replace these now vulnerable schemes.

One of the most important categories of cryptographic protocols that need to be updated are key exchange protocols. These protocols are a cornerstone of asynchronous, end-to-end encrypted messaging apps. One of the most famous key exchange protocols used by a variety of messaging apps, such as Signal, Whatsapp, RCS, Messenger, is the eXtended Triple Diffie-Hellman (X3DH) protocol [2]. Traditional X3DH is based on a problem known to be weak to Shor's algorithm. As society will eventually need to migrate completely away from X3DH, finding a quantum computing-resistant replacement for it and updating the countless protocols that are built from it, in order to continue protecting digital communications and prevent further exposure to harvest-now-and-decrypt-later attacks is vital.

## Split KEMs and Deniability [3, 4]

In order to address the imminent need to migrate away from (X3)DH-based protocols, Brendel *et al.* defined a type of Key Encapsulation Mechanism (KEM) that mirrored the message flow of X3DH, which they called a split KEM [3]. Split KEMs function by encapsulation using Alice's secret key and Bob's public key, while decapsulation requires Bob's private key and Alice's public key. Part of the motivation for this new primitive was the fact that attempting to replicate X3DH with standard KEMs required losing the asynchronicity that the X3DH protocol enables. Along with the definition of split KEMs Brendel *et al.* defined a notion of indistinguishability from random.

The initial attempt to replace X3DH was incomplete, as the definition of split KEM does not include any notion of deniability. Deniability allows a party to claim that they, plausibly, did not initiate contact with someone, a useful property to protect whistleblowers. Collins *et al.* completed the vision of split KEMs as a replacement for DH by formalizing what it means for a split KEM to be deniable [4].

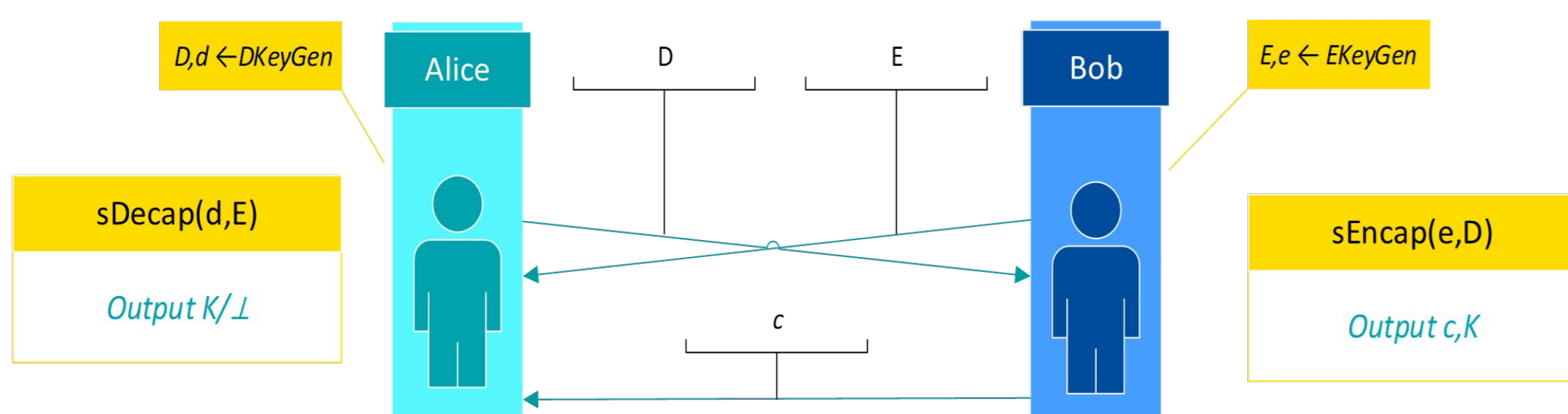


Figure 1: Structure of a Split KEM.

## Module Learning With Errors [5, 6]

The Module Learning With Errors (MLWE) problem, first defined by Brakerski *et al.* [5] and then thoroughly studied by Langlois and Stehlé [6] is a generalization of the standard Learning With Errors problem, which is known to be hard for quantum computers to solve. The (decisional) MLWE problem is as follows:

Let  $\mathcal{Z}$  be the set of integers,  $q$  a prime number,  $\delta$  a power of 2, and  $\chi$  be an error distribution.

- Let  $\mathcal{Z}_q$  be the integer reduced mod  $q$  ie the set  $\{0, 1, \dots, q-1\}$ .
- Let  $\mathcal{Z}_q[X]$  be the set of polynomials in  $X$  with coefficients from  $\mathcal{Z}_q$ .
- Let  $R_q = \mathcal{Z}_q[X]/(X^\delta + 1)$  be the set of polynomials from  $\mathcal{Z}_q[X]$  reduced by  $X^\delta + 1$ .
- Let  $R_q^{n \times m}$  be the set of a matrices with entries from  $R_q$ .

A taken randomly from  $R_q^{n \times m}$ ,  $s, e$  sampled from  $\chi^m, \chi^n$ , and  $u$  picked randomly from  $R_q^n$ .

Decide if you are given  $(A, As+e)$  or  $(A, u)$ .

The MLWE problem was proposed as a middle ground between traditional LWE and Ring LWE, overcoming the failures of both. Module lattices have a more complicated algebraic structures than ideal lattices, but are more structured than Euclidean lattices. Consequently, MLWE might be able to offer more security than Ring LWE and better performance than traditional LWE.

## NIKE SWOOSH [7]

Our construction is inspired by Gajland *et al.*'s Non-Interactive Key Exchange (NIKE) (passive) SWOOSH, itself based on MLWE [7]. The basic idea behind SWOOSH is that for a fixed, shared matrix  $A$  and error terms  $s_A, e_A$  and  $s_B, e_B$  the following holds:

$$(s_0^T A + e_0^T) s_1 \approx s_0^T (A s_1 + e_1).$$

That is, the terms above are approximately equal, with the disagreement due to the error terms. This difference, however, can be overcome through the use of a reconciliation function to round out the errors. In addition to adding a random shift,  $r$ , ensures a uniform distribution, and that the reconciliation process results in a random key. In practice,  $r$  is the hash over the two parties IDs and public key.

### SWOOSH Keys

- $sk_1 = (s_{1,L}^T, s_{1,R})$
- $pk_1 = (pk_{1,L} = s_L^T A + e_L^T, pk_{1,R} = A s_R + e_R)$

### SWOOSH Key Derivation

- $r = H(ID_1, pk_1, ID_2, pk_2)$
- $K = \text{Rec}(pk_{1,L} s_{2,R} + r)$

## DiSK - Idea

We call our split KEM **DiSK (Deniable Split KEM)**. By their very creation, split KEMs are designed to mimic key exchange protocols. More importantly, in the **nn-IND-CCA-security experiment**, split KEMs behave even more like a passive NIKE as the adversary does not have any oracle powers to engage with the challenge secret keys. One potential issue with naively using SWOOSH directly as a split KEM is the fact that the keys are computed from fixed public keys, and thus all keys would be deterministically computed and constant between any two fixed parties. Thus, to overcome this issue in DiSK, sEncap is designed to run an ephemeral instance of SWOOSH to randomize the ciphertext and key even when evoked on the same two parties, along with a SWOOSH instance on the public key of the decapsulators. The random oracle is used to add a random shift to ensure that the intermediate keys  $k_0$  are, in fact, uniformly distributed in  $R_q$ , and results in unconditional correctness in any ring.

## DiSK - Construction

### $\mathcal{D}.EKeyGen(1^\lambda)$ :

- $A_E \leftarrow \$ GL_N(R_q)$
- $s_E \leftarrow \$ \chi^N$
- $e_E \leftarrow \$ \chi^N$
- $E = (A_E, s_E^T A_E + e_E^T)$
- $e = s_E$

### $\mathcal{D}.sEncaps(D, e)$ :

- $s_c \leftarrow \$ \chi^N$
- $e_0 \leftarrow \$ \chi^N$
- $e_1 \leftarrow \$ \chi^N$
- Parse  $D = (D_0, D_1)$
- $c_0 = s_c^T D_0 + e_0^T$
- $c_1 = e_1^T D_0 + e_1^T$
- $k_0 = s_c^T D_1 + H(E, D, c_0, c_1)$
- $k_1 = e_1^T D_1 + H(E, D, c_0, c_1)$
- $K = \text{Rec}(k_0) \oplus \text{Rec}(k_1)$
- Output  $(c = (c_0, c_1), K)$

### $\mathcal{D}.DKeyGen(1^\lambda)$ :

- $A_D \leftarrow \$ GL_N(R_q)$
- $s_D \leftarrow \$ \chi^N$
- $e_D \leftarrow \$ \chi^N$
- $D = (A_D, A_D s_D + e_D)$
- $d = s_D$

### $\mathcal{D}.sDecaps(E, d)$ :

- Parse  $c = (c_0, c_1)$
- $k_0^* = c_0 d + H(E, D, c_0, c_1)$
- $k_1^* = c_1 d + H(E, D, c_0, c_1)$
- $K^* = \text{Rec}(k_0^*) \oplus \text{Rec}(k_1^*)$
- Output  $K^*$

Figure 2: DiSK a Deniable  $nm$ -IND-CCA-secure split KEM

Public parameters:

$$q, \delta, N, R_q = \mathcal{Z}_q[X]/(X^\delta + 1),$$

and

$$H: (R_q^{N \times N} \times R_q) \times (R_q^{N \times N} \times R_q) \times R_q \times R_q \rightarrow R_q$$

## Security Theorems

Assuming the quantum hardness of the MLWE $_{N, N, q, \delta, \chi}$  problem and that  $B$  is a bound on the maximum absolute value of the support of  $\chi$ , then for any QPT adversary  $A$ , making an arbitrary number of queries to the random oracle  $H$  (that may be in superposition), then the split KEM DiSK, as defined above, is a  $nm$ -IND-CCA-secure split KEM that is  $16B^2\delta^2/q$ -correct and Deniable.

More precisely, for any efficient QPT adversary  $A$ , against the  $nm$ -IND-CCA-security and Deniability of the split-KEM DiSK, of arbitrary query depth and total query term, there exists efficient QPT adversaries  $B_1, B_2, B_3, B_4,$  and  $B_5$  such that

$$\text{Adv}^{nm\text{-IND-CCA}}(\lambda, \mathcal{D}, A) \leq \text{Adv}^{\text{MLWE}}(N, N, q, \delta, \chi, B_1) + 8B\delta/q + \text{Adv}^{\text{MLWE}}(2N, N, q, \delta, \chi, B_2) + \text{Adv}^{\text{MLWE}}(N, N, q, \delta, \chi, B_3).$$

$$\text{Adv}^{\text{DENY}}(\lambda, \mathcal{D}, A) \leq 4B\delta/q + \text{Adv}^{\text{MLWE}}(2N, N, q, \delta, \chi, B_4) + \text{Adv}^{\text{MLWE}}(N, N, q, \delta, \chi, B_5).$$

## Future Work

The immediate direction of future research is to investigate how to construct a Deniable Authenticated Key Exchange (DAKE) from DiSK and its performance against previous works studying DAKEs.

Furthermore, another avenue of research is to determine if DiSK possesses the UNF-1KCA and IND-1BatchCCA security notions for split KEMs as defined by Collins *et al.* [4]. Importantly, Collins *et al.* required the use of a QROM transform applied to their split KEM to obtain them and demonstrate the security of their DAKE, K-Waay[4]. As with the major of KEM transforms, this incurs a quadratic loss in the QROM model, thus proving that DiSK, or a variant, has such properties, which means that it can be used directly in K-Waay without such losses.

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