

# **The Challenges Of Medical Device Technology: Design, Usability, Standards And Regulation Or Let's Try Not To Kill The Patient!**

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Chair ISO TC 121 SC4



# OBJECTIVES

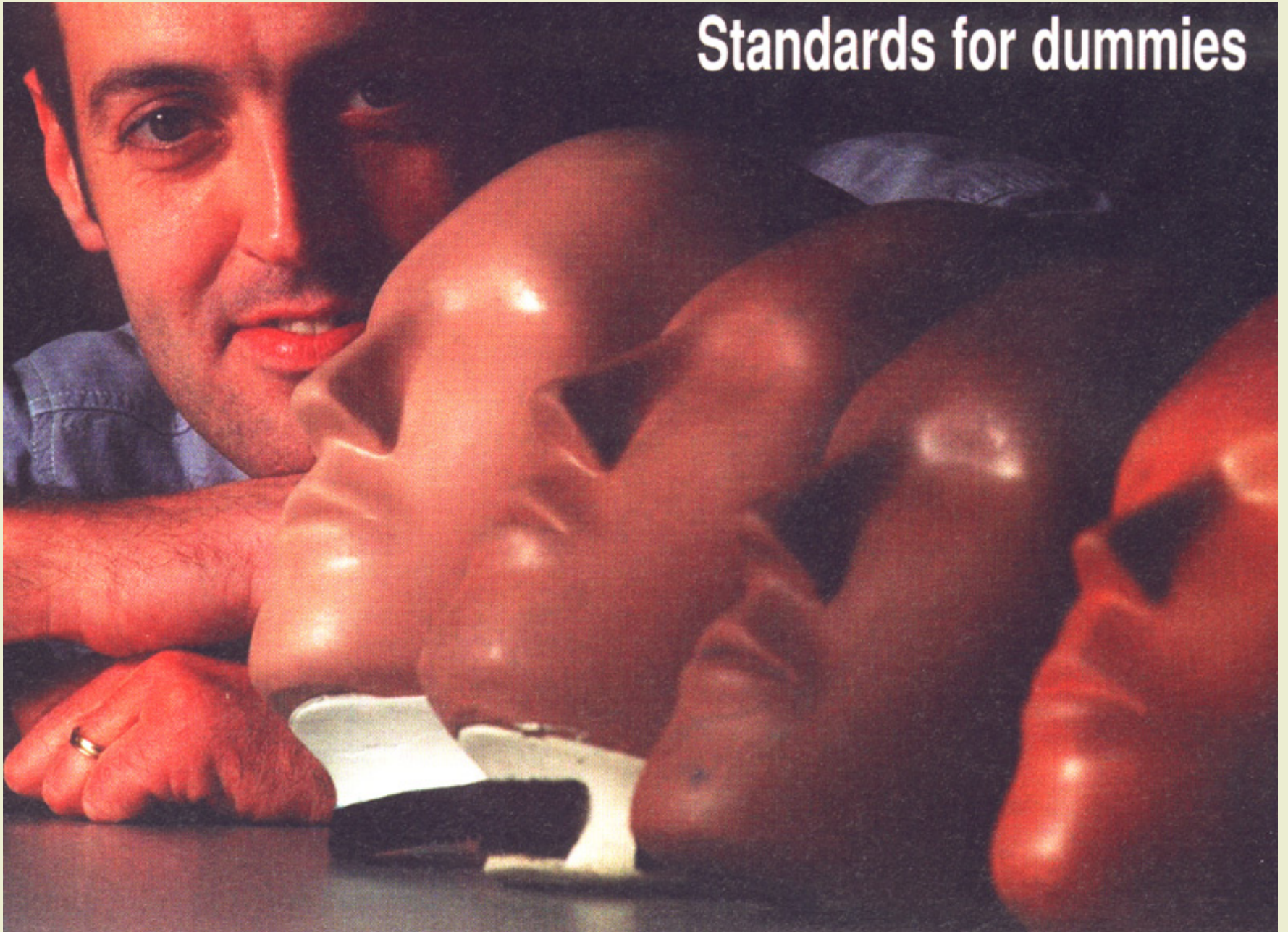
- What do you need to know to start designing and building medical devices
- What are standards and what do I need to know about them
- Usability engineering-application to medical devices
- Some examples of equipment
- What regulatory hoops do you need to jump through

# MEDICAL DEVICES

- Design and construction is very regulated
- Needs to be electrically safe within the hostile environment of the operating room or ICU
- May have a direct connection with conductors into the heart-microshock
- May have to survive the shock of a defibrillator or electrocautery machine
- Needs to be easy to use-prevention and mitigation of errors



# Standards for dummies



# IEC 60601

IEC 60601-1:2005-Ed.3.0  
Medical electrical equipment - Part 1:  
General requirements for basic safety  
and essential performance

NORME  
INTERNATIONALE  
INTERNATIONAL  
STANDARD

**CEI  
IEC**  
**60601-1**  
Troisième édition  
Third edition  
2005-12

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**Appareils électromédicaux –**

**Partie 1:  
Exigences générales pour la sécurité de base  
et les performances essentielles**

**Medical electrical equipment –**

**Part 1:  
General requirements for basic safety  
and essential performance**



Numéro de référence  
Reference number  
CEI/IEC 60601-1:2005

# MEDICAL DEVICES

## **medical device**

any instrument, apparatus, implement, machine, appliance, implant, *in vitro* reagent or calibrator, software, material or other similar or related article, intended by the manufacturer to be used, alone or in combination, for human beings for one or more of the specific purpose(s) of

- diagnosis, prevention, monitoring, treatment or alleviation of disease,
- diagnosis, monitoring, treatment, alleviation of or compensation for an injury,
- investigation, replacement, modification, or support of the anatomy or of a physiological process,
- supporting or sustaining life,
- control of conception,
- disinfection of medical devices,
- providing information for medical purposes by means of *in vitro* examination of specimens derived from the human body,

and which does not achieve its primary intended action in or on the human body by pharmacological, immunological or metabolic means, but which may be assisted in its function by such means

NOTE 1 This definition has been developed by the Global Harmonization Task Force (GHTF). See bibliographic reference [38].

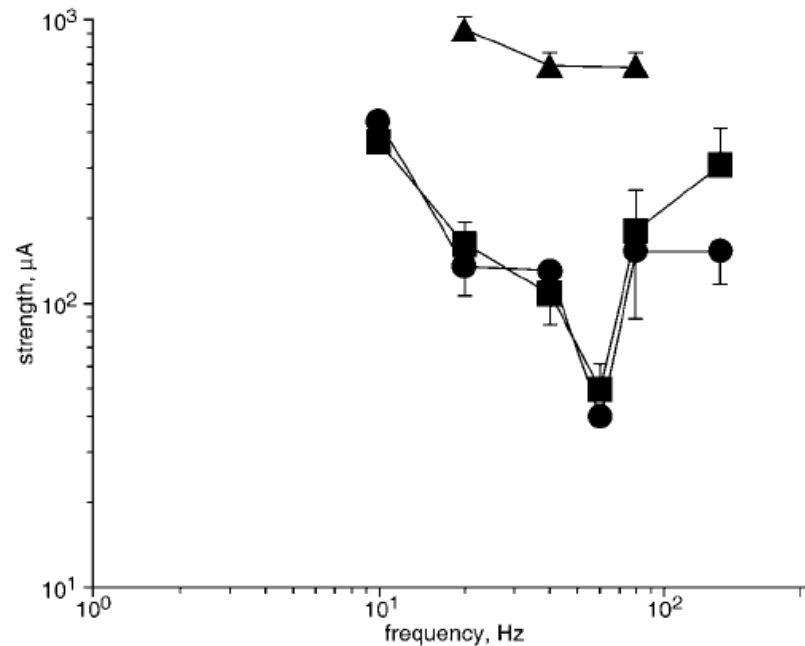
[ISO 13485:2003, definition 3.7]

# MEDICAL ELECTRICAL EQUIPMENT

- Medical device with one or more parts connected to the patient
- Connected to Mains



# AC CURRENT & THE HEART



**Fig. 3** Unlike *EFFECT* threshold for AC stimulation, threshold for VF in dogs follows bowl shape with minimum at 60 Hz and significant increase below ( $p < 0.05$ ) and above ( $p < 0.05$ ) this frequency. (—●—) Sine wave; (—■—) square wave; (—▲—) 1 ms pulse

Weirich J, Hohnloser S, Antoni H. 1983. Factors determining the susceptibility of the isolated guinea pig heart to ventricular fibrillation induced by sinusoidal alternating current at frequencies from 1 to 1000 Hz. *Basic Res Cardiol.* 1983 Nov-Dec; 78(6):604-16.

# IEC 60601

- Family of standard for basic safety and essential performance

# IEC 60601-1-2

- Part 1-2: General requirements for basic safety and essential performance - Collateral standard: Electromagnetic phenomena - Requirements and tests

# IEC 60601-1-4

- Medical electrical equipment - Part 1-4: General requirements for safety - Collateral standard: Programmable electrical medical systems

# IEC 60601-1-6

- Medical electrical equipment - Part 1-6: General requirements for safety - Collateral standard: Usability IEC 60601-1-8:2006-Ed.2.0



# IEC 60601-1-8

- Medical electrical equipment - Part 1-8: General requirements for safety - Collateral standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems

# ISO 14971

**INTERNATIONAL  
STANDARD**

**ISO  
14971**

Second edition  
2007-03-01

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**Medical devices — Application of risk  
management to medical devices**

*Dispositifs médicaux — Application de la gestion des risques aux  
dispositifs médicaux*

Compliance is checked by inspection of appropriate documents.

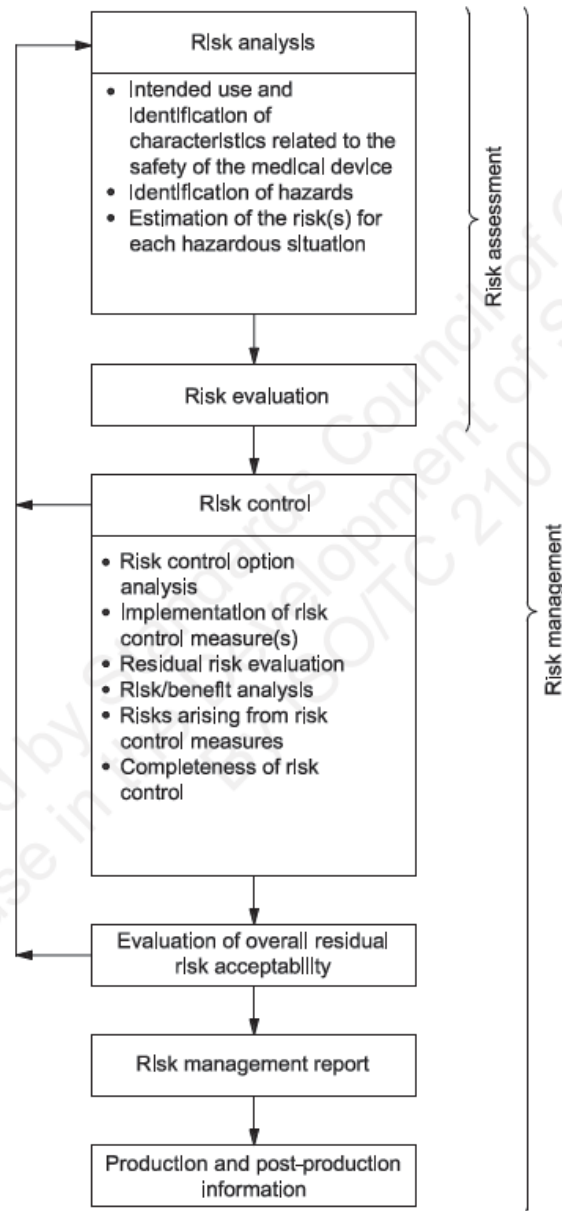


Figure 1 — A schematic representation of the risk management process

# QUALITY SYSTEMS

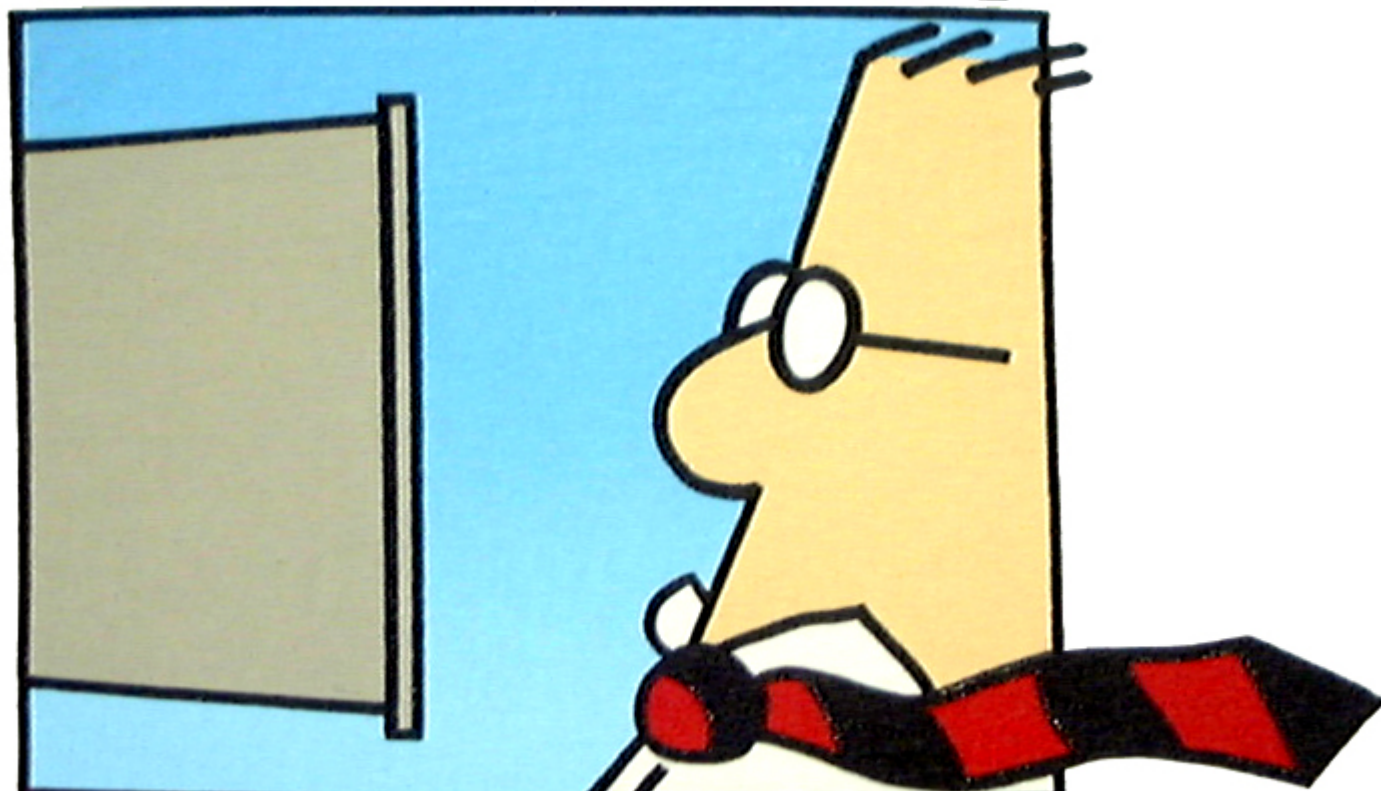
- ISO 13485:2003 specifies requirements for a quality management system
- an organization needs to demonstrate its ability to provide medical devices and related services that consistently meet customer requirements and regulatory requirements
- applicable to medical devices and related services
- Based ISO 9001

# RECOGNIZED STANDARDS

- Health Canada Website
- [http://www.hc-sc.gc.ca/dhp-mps/md-im/standards-normes/md\\_rec\\_stand\\_im\\_norm\\_lst-eng.php](http://www.hc-sc.gc.ca/dhp-mps/md-im/standards-normes/md_rec_stand_im_norm_lst-eng.php)



# TECHNOLOGY



**NO PLACE FOR WIMPS**

**DILBERT**

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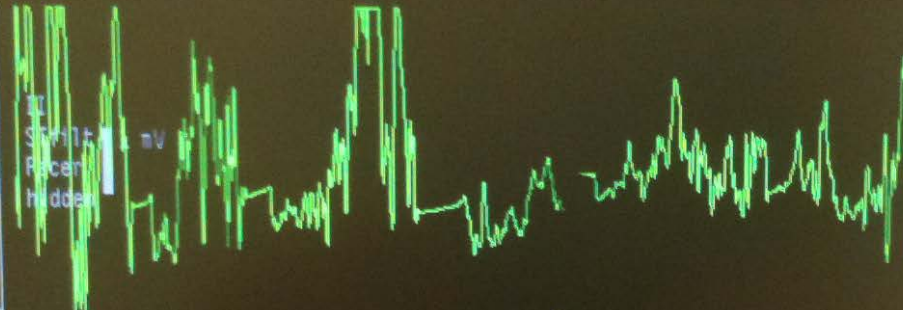
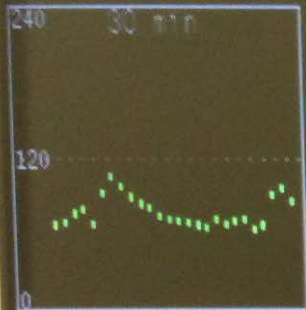
# PROBLEMS WITH CURRENT DEVICES

# PROBLEMS WITH CURRENT DEVICES

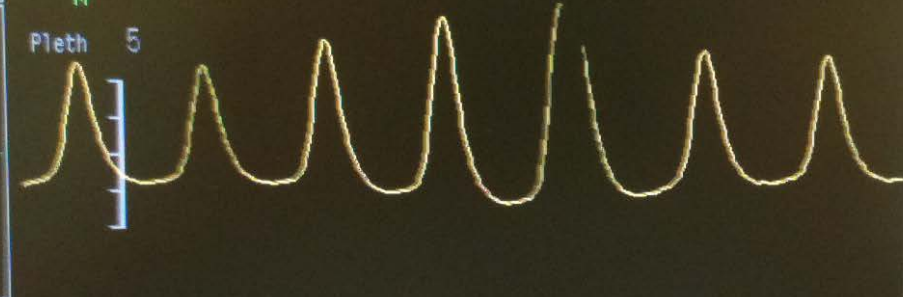
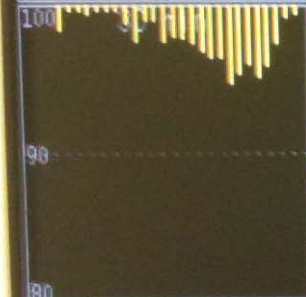
- Artifact
- Usability
- Alarms

GENERAL

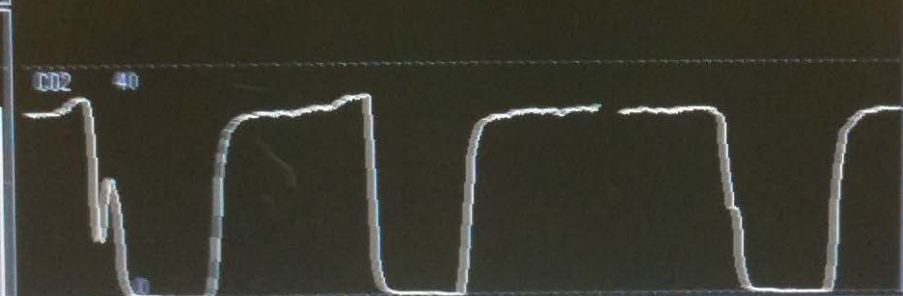
8 34



ECG 40..160  
**67** /min  
Pleth 66/min



% 90..OFF  
**100**



mmHg ET 20..54  
ET **35**  
FI **0**  
RR **8** /min

TEMP  
°C  
102/65

Sys 102/65  
Dia 65  
Mean 102/65  
5 min

FI 20..OFF  
ET **41**  
FI **46**  
FI/ET **5.0**

MAC  
IN20  
Sev 2.0 1.0  
2.5



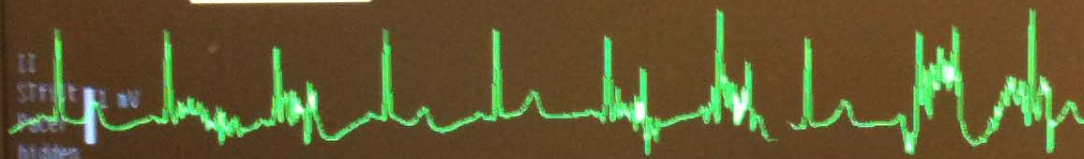
NEC

MultiSync LCD1770NX

GENERAL

8:56

Brady



ECG

H R

68

/min

Arrh. analys: Severe

Sev 5.0



S E V

Z

ET

2.6

FI

3.0

MAC

1.3

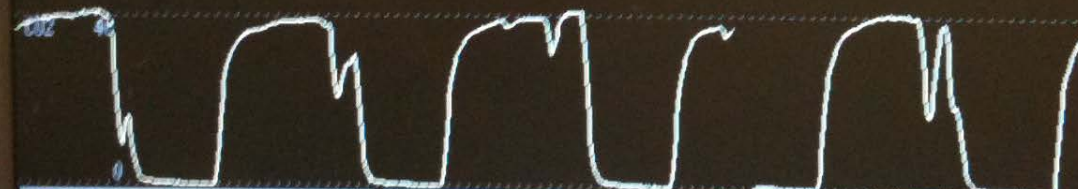
Pleth



S P O 2

Z

100



Capn

ET

41

FI

0

RR

8/min

Gases			
%	O2	N2O	Sev
ET	40	0	2.6
FI	45	0	3.0

NIBP		
mmHg	Sys	Dia
	131	80
Mean	(97)	0
		5 min

T1	
°C	35.5
T1	

Pneum TV	
cmH2O	Adult
Ppeak	25
PEEPtot	2
	TVexp ml
	630

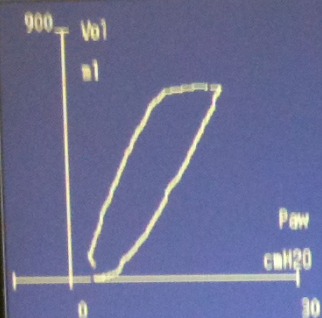


NEC

GENERAL

9:18

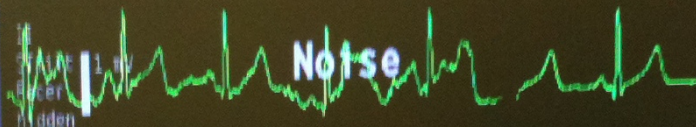
Brady



Adult cmH2O ml  
 Ppeak **21** TVinsp **690**  
 Pplat **18** TVexp **690**  
 Pmean **8** l/min  
 PEEPtot **2** MVinsp **5.5**  
 MVexp **5.5**  
 I:E 1:1.9  
 Compl **44** ml/cmH2O  
 Raw **8** cmH2O/l/s

Paw TV

cmH2O OFF..40 Adult  
 Ppeak **21** TVexp ml  
 PEEPtot **2** **690**

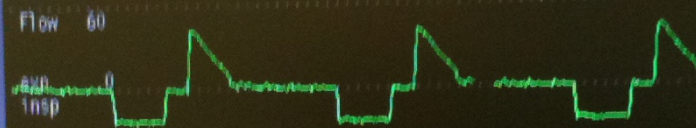


ECG

HR

&lt;30

/min 71/min



FLOW

TV ml

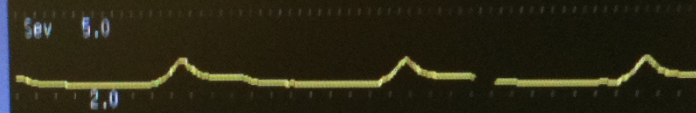
Adult

insp

exp

690

690



Sev

% FI OFF..5.1

ET

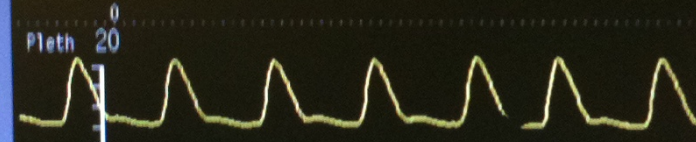
2.4

FI

2.9

MAC

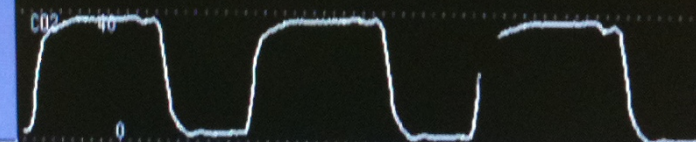
1.2



SPO2

% 90..OFF

97



CO2

mmHg

ET 20..55

ET

38

FI

2

RR

8/min

NIBP Sys 70..100

mmHg Sys Dia

116/67

Mean (83) 0 5 min

Gases O2: FI 20..OFF

% O2 Δ N2O Sev

ET 40 0 2.4

FI 45 0 2.9

T1

Performing temp test

T1

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# The Problem of Artifacts in Patient Monitor Data During Surgery: A Clinical and Methodological Review

George Takla, MS

John H. Petre, PhD

D. John Doyle, MD, PhD

Mayumi Horibe, MD

Bala Gopakumaran, PhD

Artifacts are a significant problem affecting the accurate display of information during surgery. They are also a source of false alarms. A secondary problem is the inadvertent recording of artifactual and inaccurate information in automated record keeping systems. Though most of the currently available patient monitors use techniques to minimize the effect of artifacts, their success is limited. We reviewed the problem of artifacts affecting patient monitor data during surgical cases. Methods adopted by currently marketed patient monitors to eliminate and minimize artifacts due to technical and environmental factors are reviewed and discussed. Also discussed are promising artifact detection and correction methods that are being investigated. These might be used to detect and eliminate artifacts with improved accuracy and specificity.

(Anesth Analg 2006;103:1196-1204)

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# ARTIFACTS-CAUSES

ECG	Electrosurgical Unit knife interference Cardiopulmonary bypass machine interference Power-line interference Movement artifact (Surgical preparation, patient movement, etc.) Electrode instability and electrode distortion due to external forces EMG interference Improper lead contact or connection Pacing or defibrillation Abnormally tall T-waves mistaken as QRS complex Intraoperative MRI scanners (59)	Heart rate ST values Arrhythmia detection
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# ARTIFACTS-CAUSES

Non-invasive Blood Pressure (Oscillometric)	Movement artifact (14) Improper cuff size or position Kinked cuff tubing and leaking cuff bladder Compression of cuff by extrinsic forces such as a surgeon or a piece of equipment pressing against the cuff	Systolic, diastolic, and mean NBP values NBP pulse rate
Spo <sub>2</sub> signal	Movement artifact Injection of contrast dye Occlusion of blood flow due to NBP cuff constriction Ambient light interference	O <sub>2</sub> saturation Spo <sub>2</sub> pulse rate

# ARTIFACT-PREVENTION

- Need for better artifact detection and signal extraction
- Improvements in biological sensors
- Better electronic filtering
- Improved digital signal processing
- Combining information from multiple sensors
  - Heart rate from ECG, SpO<sub>2</sub>, arterial lines



# CONCLUSIONS

- Artifacts remain a significant problem for processing and displaying correct clinical information
- Most current devices still use simple linear filters that are often ineffective
- Need for improved methods of artifact prevention, detection and elimination

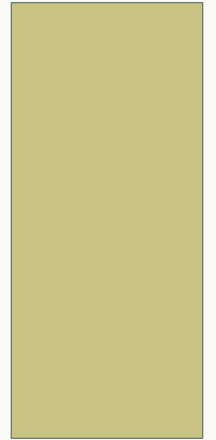
# USABILITY ENGINEERING

# USABILITY ENGINEERING

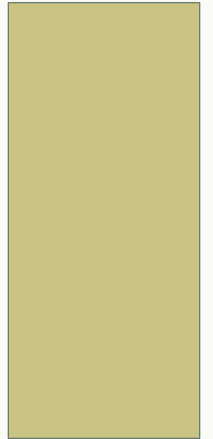
The application of knowledge about human behavior, abilities, limitations, and other characteristics to the design of equipment, systems, tasks, jobs, and environments to achieve productive, safe, comfortable, and effective human use.

# KISS PRINCIPLE

# HUMAN FACTORS DESIGN PROCESS



KEEP IT SIMPLE &  
SAFE!



SO EASY.....



# USABILITY MYTHS

Usability testing is the same as Functional Testing.

We can't involve users in the design because they'll want to change the functionality and scope of the product.



# USABILITY MYTHS

- The design is finished.
- We'll handle that problem in the help documentation.
- We'll handle that problem in the training.

# HUMAN FACTORS DESIGN PROCESS

- Product Idea
- Target user and environment
- Focus Groups

# HUMAN FACTORS ENGINEERING

- Usability goal setting, ergonomics
- Design and Prototype development
- Usability testing
- Further design and iterative testing

# USABILITY TESTING

- Frequent use scenarios
- Critical use scenarios
- Does the equipment do what I want, is it easy to learn, easy to remember, easy to use, and intuitive?

# HCI DESIGN GOALS

- Learnability
- Memorizability
- Efficiency
- Errors
- Satisfaction



# ERRORS

- Design the equipment to minimize errors
- If an error is made, design equipment for easy and safe recovery from the error
- Risk Analysis Process





# DEADLY DESIGN ERROR

AECL radiation therapy machine

- Text based operator interface
- Operator made typing mistake, thought she corrected it, but machine delivered a lethal dose of radiation

# NUISANCE AND POTENTIAL HAZARD

Baxter syringe pump AS50

- Must turn off pump and reprogram pump if patient's weight wrongly entered



# SATISFACTION

- Enjoyment of use
- Want to use equipment vs fear or hating to use equipment

# HUMAN EQUIPMENT INTERFACE

- GUI Design
- Expected persons to use equipment
- Expected Environment of use

# EXPECTED PERSONS OF USE

- Age – adults, teens, children, the elderly
- Height and Weight
- Eyesight -- presbyopia
- Colour blindness –red/green
- Other common diseases – diabetes, rheumatoid arthritis

# INSULIN PUMP





## MiniMed Paradigm® Veo™ Insulin Pump and CGM System

Features    FAQ



### Get ahead of diabetes with Continuous Glucose Monitoring (CGM)

With the MiniLink® transmitter and glucose sensor; CGM allows you to read your glucose levels at any time- keeping you informed around the clock and giving you the jump on information you need to take action sooner.

[Get Started](#)

## CGM is always alert.

The Veo Insulin Pump and CGM System is the world's only insulin pump engineered with a Low Glucose Suspend (LGS) function. LGS is designed to help prevent severe hypoglycemia day and night.

### Take Advantage of the Evidence.

**CGM can help you:**

### What Our Pumpers Say



# EXPECTED PERSONS OF USE

- Level of Education
- Technical Knowledge

# EXPECTED ENVIRONMENT OF USE

- Inside/Outside - Temperature
- Hospital
- Clinic
- Ambulance/Helicopter
- Homecare

# EXPECTED POSITION

- Sitting
- Standing
- Operators –Height/Weight

A Cool Interface is not  
always Usable

Usable = Cool

Need to design equipment that is  
so foolproof that it can't be  
brought to its knees by a  
well-intentioned novice.



Thank-you

Steven Dain  
[sdain@uwo.ca](mailto:sdain@uwo.ca)



# ALARM SYSTEMS



# PROBLEMS

- It is estimated that alarm signals annunciate in the operating room every 4-5 minutes during each general anesthetic

# ALARM STANDARDS

60601-1-8 General requirements and guidelines for the application of alarms in medical electrical equipment

# ALARM SYSTEMS

Number of pulses in burst <sup>1)</sup>	5 	3 
<b>Pulse spacing(<math>t_s</math>)<sup>2)</sup></b> Between 1st and 2nd pulses between 2nd and 3rd pulses between 3rd and 4th pulses between 4th and 5th pulses	$x$ <sup>3)</sup> $x$ $2 x$ $x$	$y$ <sup>3)</sup> $y$ not applicable not applicable
<b>Burst spacing(<math>t_b</math>)</b>	2 s +/- 0,2 s	not applicable

# ALARM SYSTEMS

- Pleasant sounds
- Easy to identify and read
- Disable, Mute, Suspend, Silence
- Latching or non-latching
- Able to disable separate functions

# ALARM SYSTEMS

- One of the largest sources of irritation in the OR and ICU's
- Anesthesiologists tend to disable alarm systems in the OR
- Prompted by poor design of alarm systems in existing equipment

# CURRENT PROBLEMS

- Loud
- Annoying, irritating
- Too many artifacts
- Recognition of auditory alarm signals
- Continuous sounds



# Current Medical Equipment



# Alarm Process

Alarm condition

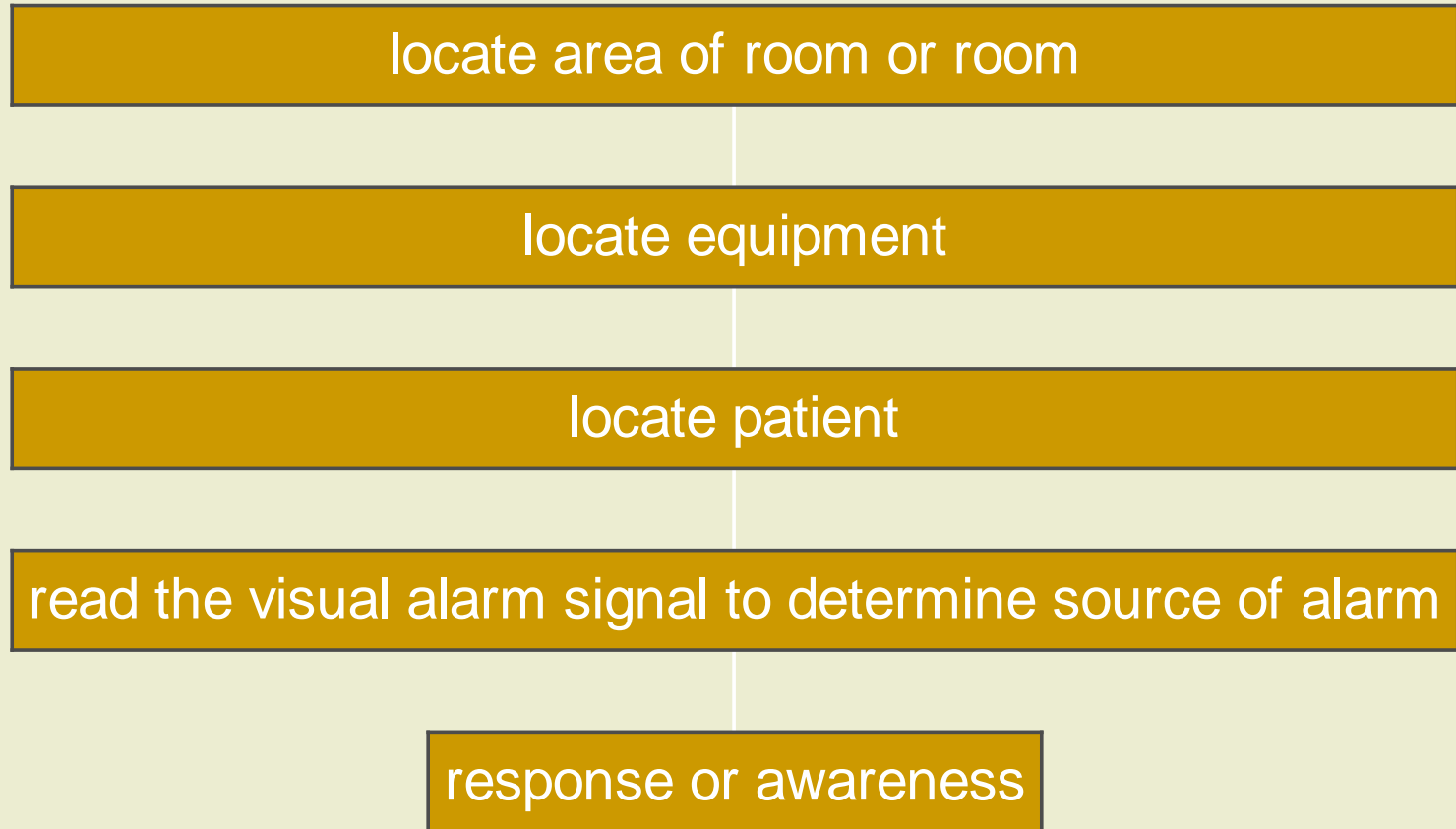
what alarm and when to annunciate

Is the system disabled, silenced or suspended?

Alarm signal  
(auditory, visual, verbal, vibratory)

Priority of alarm signal--urgency of response required

# Alarm Process



# Philosophy of Alarm Design

- Pleasant non-startling sounds
- non-continuous
- not overly loud
- recognition of device
- urgency mapping/encoding

# LEARNABILITY

- Is it easy to learn
- Is there a rapid learning curve
  - easy to learn vs rapid to use

# MEMORIZABILITY

- Once learned, is the knowledge retained
- Frequent use
- Intermittent use

# EFFICIENCY

- Intuitive, minimal number of steps for frequently used functions
- Preferably one menu deep
- Never more than 3 deep
- Short-cuts for expert users