ERS 415 Environmental Assessment Planning and Design
Winter 2014

Time and location:  Mondays 2:30-4:20, HH 280
Instructor: Dan McCarthy, EV-2 2027; 519 888-4567 x33065, dmccarthy@uwaterloo.ca
Teaching Assistant: Kathryn Jastremski, kjastremski@uwaterloo.ca
Julie DeWolf, jdewolf@uwaterloo.ca

Roles and purposes of the course
As the final core course in the series for a Diploma in Environmental Assessment, ERS 415 is the culmination of a series of studies in the field. The course is meant to review and synthesize material from the preceding pre-requisite courses on environmental assessment principles and methodologies. But it focuses on the major current directions and trends in environmental assessment – the most advanced thinking and applications in the field and the most pressing stresses today. In particular, the course examines how new appreciation of complexities, uncertainties and new commitments to sustainability are affecting the evolution of environmental assessment thinking and application and how they are coming up against competing pressures for more streamlined decision making.

While project-specific environmental assessments have improved over the years with greater practitioner experience, higher public expectations and stronger assessment laws and practices, they have also proved to have serious limitations as tools for advancing the environmental quality of decision making. In particular, project level environmental assessments have tended to be too narrow in scope and too late in decision making to address overall concerns about the integrity of ecosystems and communities or to introduce significantly more sensitive and sustainable approaches. Moreover, conventional project-based environmental assessments have tended to be inadequate means of ensuring properly integrated consideration of the interrelated ecological, social and economic factors that determine long term effects.

In response, various authorities have initiated more comprehensive and anticipatory assessments. These have included efforts
• to recognize effects on ecological and socio-ecological systems, rather than just individual receptors, and to respect the complexities of these systems;
• to identify and evaluate the cumulative effects of multiple projects;
• to consider ecological and community wellbeing factors more rigorously in land use planning and other area-based deliberations;
• to incorporate environmental considerations in evaluations of future options in whole sectors (e.g. mining, agriculture, energy);
• to give greater attention to uncertainties and to the associated need for precaution and public choice;
• to apply environmental assessment principles more generally at the strategic level of policies, programmes and plans, with particular attention to providing guidance for project-level undertaking; and
• to specify and apply sustainability-centred criteria for decision making.

Together these changes suggest the beginnings of a considerably more ambitious and promising, though also challenging, era in assessment.

At the same time, environmental assessment processes have been criticized and resisted as an apparent barrier to efficient decision making on undertakings that powerful interests consider desirable. While some of this may be explained as a cover for opposition to the substantive requirements of good assessment, there are evident needs for greater consistency and better coordination of the many assessment regimes in Canada.

The course will examine the nature, significance and application of these broader approaches to assessment, and accompanying efficiency issues, with emphasis on Canadian cases in various jurisdictions, within and beyond the usual realm of environmental assessment law. It will review the academic and professional literature on advanced assessment thinking and will emphasize critical examination of practical cases where advanced assessment initiatives have been proposed and/or undertaken. Participants in the course will be expected to become familiar with the main components of advanced environmental assessment, to see how they may be integrated in practical circumstances, and to show how this learning might be applied in actual cases.

**Text and readings**

There is one course text:

Robert B. Gibson (with Selma Hassan, Susan Holtz, James Tansey and Graham Whitelaw), *Sustainability Assessment: criteria and processes* (London: Earthscan, 2005)

Beyond that, the course will rely heavily on web-based sources (see the schedule of events and readings). Many of the readings will be available on the course UW Learn site. Users can login to LEARN via [http://learn.uwaterloo.ca/](http://learn.uwaterloo.ca/). Use your WatIAM/Quest username and password. Documentation is available at [http://av.uwaterloo.ca/uwace/training_documentation/index.html](http://av.uwaterloo.ca/uwace/training_documentation/index.html)

Some of the readings on the course UW Learn site are long reports. You are not expected to read them through. Skim as needed.

**Course structure, assignments and evaluation**

After week one, each weekly session of the course will be divided into two parts, a lecture for the first half and discussion of weekly questions related to the lecture and the readings considered from the perspectives of various interests and the implications for various practical applications.

The lecture schedule is set out below. The first three weeks provide an overview and background to the main issues now facing environmental assessment in Canada and a couple of illustrative cases to which we will be referring throughout the course. The next two consider sustainability assessment practice and issues and a third case that we will
also be discussing in subsequent classes. Sessions 6-11 will address particular areas of innovation. The final session will be about employment and other activities in the environmental assessment field, broadly defined.

Each student’s work in the course will centre on five contributions:
- participation in the weekly discussions, including participation in leading one of the weekly discussions;
- class preparation notes for each week except the first week and the last week (to be graded in two sets – notes for weeks 2-6 and for weeks 7-11);
- two papers – one generally covering weeks 1-5 and one covering the whole course but with emphasis on weeks 6-11.

The evaluations will be weighted as follows:
- Participation weeks 1-12 20% (includes panel presentation)
- Class preparation notes weeks 2-6 15%
- Class preparation notes weeks 7-11 15%
- Paper 1 20%
- Paper 2 30%

Participation and presentation
Each week the second half of the class will be devoted to discussion of two core questions related to the week’s topic. The questions are included below in the section on the weekly topics, readings and questions. The discussions will consider implications for environmental assessment law and policy reform in Canada and implications for practical application in current or anticipated cases. As well, the discussions should be useful in preparing for the two papers.

In weeks 2, 11 and 12, the discussions will be led by the course instructors and teaching assistant. In weeks 3-10, the discussions will be initiated by four-member (or occasionally five-member) student panels, one panel for each discussion question. All other students in the class will have assignments as supporting commentators. The course instructor and/or teaching assistant will be the moderators of these discussions.

To initiate the discussion of a question, the four panelists will make a brief opening statement (maximum three minutes each) setting out what are in his or her view the most important matters and how they should be addressed as the answer to the question. Each panelist will be assigned to represent the interests of one of the following four categories, each with two sub-categories:
1. proponents: 1.1 public sector proponents and 1.2 private sector proponents
2. governments: 2.1 federal government and 2.2 provincial and territorial governments
3. other governments: 3.1 Aboriginal governments and 3.2 Municipal governments (and related community organizations)
4. non-government organizations: 4.1 NGOs focusing on ecological and social justice issues and 4.2 NGOs focusing on the interests of future generations.

The panelists should play their roles professionally. Their positions should draw from the readings and from material discussed in previous weeks (and previous courses) as well as...
from general knowledge of the key concerns of the interests being represented. The positions presented should be well informed and enlightened.

Each panelist will be supported by roughly a quarter of the rest of the class, who will act as a supporting team of commentators. After the opening presentations, the floor will be open to additional contributions from the groups of commentators. In each discussion, we will aim to see what level of agreement can be reached among the different (but enlightened) interests.

To facilitate all this, the class has been divided into eight groups (A-H) that have been given rotating assignments through weeks 2-11. See the document “415w13 participant assignments” on the course UW Learn site. The teams have eight or nine members and will be the set of panelists for one of the weeks 3-10. For the weeks when they are not the panelists, each team has been assigned to think from the perspective of an interest from one of the four categories (each of the categories have been subdivided into two subcategories so there are somewhat different interests for each of the eight groups). Each week, the group members will take on a different role.

The assignment of individuals to groups and panel presentation weeks and questions will be announced at the first class and posted on the course UW Learn site (the “415w13 participant assignments” document mentioned above). The assignment of individuals to rotating interest perspectives for weeks 2-10 will also be announced at the first class and posted on the course UW Learn site (same document).

Students in each panel should meet with the instructor or teaching assistant during the class break at the session one week prior to the presentation week to divide out representation responsibilities. Beyond that, there is no expectation that the panel members or the teams of commentators will need to meet to coordinate positions. The contributions of the panelists and commenting participants will be graded individually.

Recognizing the constraints of a large class, each student is encouraged and expected to participate thoughtfully in the class discussions as well as the panel presentations. Evaluation of participation will be based on the quality as well as the extent of contributions. Evaluation of participation quality will take the following criteria into account:

- understanding of the concepts and issues introduced and insight into their practical implications;
- evident familiarity with the readings
- careful listening and thoughtful reflection before making comments;
- communication skills (clear, constructive, etc.);
- synthesis, integration and drawing connections between and among immediate subject matter and ideas, issues and insights from the course materials or elsewhere; and
- accuracy and creativity in illustrating implications.

There will be bonus marks for humour.
The class preparation notes
Each week from week 2 to week 11, inclusive, each participant must submit a one-page set of class preparation notes. The notes
• should address the questions posed for the week, but should be based on the course readings for that week readings (and any additional readings or other research that the student may choose to consult);
• should anticipate and be useful for participation in the class discussion;
• should not be limited to the perspective you are to represent in class that week (except for the week you are a panelist*);
• must be prepared before the class and printed out, though you are encouraged to add further annotations in pen or pencil during the class (e.g. to include points from the lecture and discussions);
• should normally be in point form;
• must include proper references to your sources;
• should normally be one page, single spaced; and
• must be submitted at the end of the class on the relevant week (if you cannot attend the class, email the course notes to the instructors before the class begins and provide a paper copy to Dan McCarthy’s mail slot in the ERS mail room, EV2 room 2028, as soon as possible thereafter).

* For the week you are assigned to make a panel presentation, the submitted notes will be your panel presentation notes. These can be more than one page, may or may not be in point form, and still must include proper references to your sources.

The class preparation notes will be graded in two packages: weeks 2-6 and weeks 7-11. Late notes submissions will be accepted for two days following the class when submission was due, but will be treated as worth 0.50% of notes submitted on time.

The two papers:
Submission of two papers is required. Both are to be in the form of briefing notes and appendices to relevant individuals or organizations. These paper writing assignments have two purposes. The main purpose is to encourage integration of understanding gained from the readings, lectures and discussions, with particular attention to the implications of what you are learning for practical application. The second purpose is to provide experience in a quite common style of professional writing.

In every case the submission should include
• proper bibliographic references to written materials, or other sources you’ve used;
• evidence of familiarity (though not necessarily agreement) with the key points raised in the readings, lectures and discussions, though you are also encouraged to incorporate material from of other sources;
• analysis of the significance and practical implications (directly and indirectly) of these points or questions for other jurisdictions and undertakings subject to assessment;
• attention to the perspectives of different interests; and
• consideration of how to ensure assessment is both more effective (as a means of contributing to sustainability) and more efficient (recognizing the diversity of interests and the multitude of jurisdictions involved).

Your papers should draw from the lectures, readings and discussions, and from any material you dig up that is relevant to the discussion. Be sure to provide proper references to your sources.

Given the complexities involved (many different applications, players, issues, possible responses, etc.), you cannot discuss everything. In choosing what to include in the briefing papers give particular attention to what you consider to be most significant for improving assessment law, policy and practice. You will have to consider carefully what is and is not crucial here.

Be concise. These are short papers. The people who read briefing papers are very busy. They need concise information presented in a format designed for quick grasp of the material, but also including necessary clarifications and evidence or reference to evidence supporting the argument. Remember that you are, at least implicitly, making an argument. Remember also that these are scholarly papers, expected to meet the usual expectations for sound argument, proper references and reasonable adherence to the conventions of grammar, even if you choose to rely to some extent on bulleted lists of key points. In addition to the considerations noted above, grading of the essays will be based primarily on evidence of
  • familiarity with (or mastery of) the concepts and sources, ideas and implications covered by the course;  
  • coherence (or brilliance) of argument; and  
  • clarity (or elegance) of writing.

Late penalties will be assessed for papers received after the due dates set out above. The standard penalty is 0.5% per day.

Paper 1: a briefing note
For the first paper, covering weeks 1-5, you will be preparing a briefing document for the environment minister in a Canadian province or territory (with copies to the environment critics of the opposition parties, and the relevant media outlets).

The question:
What are the key design features of a revised environmental assessment law for [insert your chosen province of territory] that would ensure effective and efficient sustainability-based assessment? While the focus should be on how to ensure that the sustainability-based agenda is incorporated, the paper should also address
• how to ensure the new regime would contribute to introducing more consistency in environmental assessment requirements across Canada while respecting the particular needs for and challenges of environmental assessment in the province/territory, which will differ somewhat from those elsewhere in Canada; and
• how to deliver more effective assessment and greater process efficiency at the same time.

Consider what sorts of undertakings ought to be covered, what general assessment requirements ought to be imposed, and how various stakeholders, including members of the public, should be involved. Where appropriate, provide illustrative examples of implications for particular kinds of undertakings that should be subject to the new assessment regime. Include attention to overlaps with federal assessment requirements, implications for cooperation with other jurisdictions (e.g. Aboriginal authorities and other provinces). You should include some general attention to the broad issues that will be covered in future weeks of this course, but details are not expected (they will be addressed in the second paper).

Requirements:
The first briefing paper is to be no longer than 2000 words, not including references. You should use (flexibly) the standard format for briefing a minister, which is a briefing note, usually not more than 2 pages, plus appendices on the key details. Some examples of real briefing notes (mostly without the appendices) are posted on the course UW Learn site along with some generic briefing note information from Rob Parkinson at http://writingforresults.net/. The examples do not all use the standard format, and you can diverge from it too, if you think an adjusted approach will work better for the purposes. But remember that real ministers will rarely have time to read (skim) more than 2 pages.

The paper is to be submitted for evaluation at or before midnight on Friday, February 14 via the appropriate drop-box on LEARN.

**Paper 2: details on major issues for briefing note appendices**
The second paper, covering the whole course, but focusing on the material for weeks 6-11 will be a more detailed appendix for the briefing document you submitted for the first paper assignment. The intended core reader is still the environment minister in a Canadian province or territory (with copies to the environment critics of the opposition parties, and the relevant media outlets).

The questions:
1. What are the key details to be incorporated in the new sustainability-based law and policy regime that you have proposed in the initial briefing document that would incorporate responses to the challenges addressed in the lectures, readings and discussions for weeks 6-11?
2. How would these characteristics of the new regime apply to an illustrative pair of related undertakings – one at the strategic level and one at the project level or equivalent? Take into consideration overlapping federal, provincial/territorial and Aboriginal authority and the perspectives of other interests. Also, where appropriate, consider use of processes beyond environmental assessment law (e.g. laws related to urban planning or resource management for forest, fisheries, parks, etc.). At least half the paper should be devoted to discussion of application to the illustrative case. You will need to provide basic information on the strategic level undertaking’s basic purpose, the nature of
alternatives to be considered, and the main issues raised; the more specific level undertaking’s purposes, alternatives and key issues; and the expected connections between the two undertakings (especially how the strategic level undertaking might guide or direct the more specific undertaking (or important aspects of it). Your focus, however, is on incorporating all the main requirements for addressing the weeks 6-11 issues, and showing how the planning, evaluation, approval and implementation of your pair of undertakings ought to be linked, what benefits that linking should deliver and what problems will have to be faced.

You will need to pick a pair of related strategic and project level undertakings in the province or territory of your initial briefing. The strategic undertaking – the development of a major policy or plan or program, or a regional or sectoral cumulative effects study or the equivalent – will be one that is expected to inform the planning and assessment of certain kinds of project or more specific program undertakings, guiding or directing at least some important aspects of how the particular project-scale undertakings are to be conceived, designed and approved. The second undertaking is a proposed project or more specific program that will be informed, guided and/or directed by your chosen strategic level undertaking. Examples are provided below. Each undertaking must have environmental significance, broadly defined, and the pair will be most suitable if they can illustrate application of the advanced assessment ideas discussed in the course.

You can use paired undertakings related to the Ring of Fire mining and associated developments in northern Ontario, or the infrastructure and regional growth management planning initiatives in York Region in southern Ontario. Some other illustrative examples of possible pairs of strategic/project undertakings are listed in the document “415w13 paired case examples” on the course UW Learn site. You may also propose other options. You may wish to discuss your choice of paired undertakings with one of the course instructors or the teaching assistant well before starting the assignment.

Requirements:
This second paper is to be no longer than 2500 words, not including references. It is to be submitted for evaluation at or before midnight on Monday, April 7 via the appropriate drop-box on LEARN. Any late submissions should be submitted electronically, by email to the course instructors (email addresses above).

University of Waterloo policies on key course related matters

*Academic Integrity:* In order to maintain a culture of academic integrity, members of the University of Waterloo community are expected to promote honesty, trustworthiness, fairness, respect and responsibility. [Check www.uwaterloo.ca/academicintegrity/ for more information.]

*Grievance:* A student who believes that a decision affecting some aspect of his/her university life has been unfair or unreasonable may have grounds for initiating a grievance. Read Policy 70, Student Petitions and Grievances, Section 4, www.adm.uwaterloo.ca/infosec/Policies/policy70.htm. When in doubt please contact the department’s administrative assistant who will provide further assistance.
**Discipline:** A student is expected to know what constitutes academic integrity [check www.uwaterloo.ca/academicintegrity/] to avoid committing an academic offence, and to take responsibility for his/her actions. A student who is unsure whether an action constitutes an offence, or who needs help in learning how to avoid offences (e.g., plagiarism, cheating) or about “rules” for group work/collaboration should seek guidance from the course instructor, academic advisor, or the undergraduate Associate Dean. For information on categories of offences and types of penalties, students should refer to Policy 71, Student Discipline, www.adm.uwaterloo.ca/infosec/Policies/policy71.htm. For typical penalties check Guidelines for the Assessment of Penalties, www.adm.uwaterloo.ca/infosec/guidelines/penaltyguidelines.htm.

**Appeals:** A decision made or penalty imposed under Policy 70 (Student Petitions and Grievances) (other than a petition) or Policy 71 (Student Discipline) may be appealed if there is a ground. A student who believes he/she has a ground for an appeal should refer to Policy 72 (Student Appeals), www.adm.uwaterloo.ca/infosec/Policies/policy72.htm.

**Disabilities:** The Office for Persons with Disabilities (OPD), located in Needles Hall, Room 1132, collaborates with all academic departments to arrange appropriate accommodations for students with disabilities without compromising the academic integrity of the curriculum. If you require academic accommodations to lessen the impact of your disability, please register with the OPD at the beginning of each academic term.

**Religious Observances:** A student needs to inform the instructor at the beginning of term if special accommodation needs to be made for religious observances that are not otherwise accounted for in the scheduling of classes and assignments.

**Schedule of events and readings**

1. **January 6**  **Introduction to course**
   - core elements of advanced environmental assessment and associated efficiency issues
   - course structure and assignments:

2. **January 13**  **The state of the art: a history of uneven progress, innovation, retreat, and continuing competing challenges**
   - the evolution of environmental assessment, esp. in Canada
   - federal, provincial and territorial processes and legislation
   - assessments under other laws and processes
   - competing challenges (more effective, more efficient)
   - positive steps, limitations and retreats
   - the big issues for the future: sustainability, complexity, cumulative effects, precaution, harmonization, links between strategic and project levels, etc.

**Readings:**
Robert B. Gibson, *Sustainability Assessment*, chapter 1, "Beginnings: stumbling towards sustainability assessment" and chapter 2, "Thirty-some years of environmental assessment"


Deborah Carver et al., Interjurisdictional coordination of EA: challenges and opportunities arising from differences among provincial and territorial assessment requirements and processes (Halifax: East Coast Environmental Law Association, November 2010), sections 1-4 and 7-8; on course UW Learn site.


Recommended background readings:
Government of Canada, Canadian Environmental Assessment Act 2012; on course UW Learn site.
Government of Ontario, Environmental Assessment Act; on course UW Learn site.
International Association for Impact Assessment, "Principles of Environmental Impact Assessment Best Practice," (January 1999); www.iaia.org/ go to "publications"; also on course UW Learn site.
Elvis Au, International Association for Impact Assessment, "Impact assessment, sound business operation, and corporate responsibility for sustainable development," IAIA May 2002); on course UW Learn site.

Other additional readings:
Canadian Environmental Assessment Agency, "Canadian Environmental Assessment Act: An Overview," (October 2003); on course UW Learn site
Canadian Environmental Assessment Agency, "Federal-provincial/territorial environmental assessment agreements";
House of Commons Standing Committee on Environment and Sustainable Development, Sustainable Development and Environmental Assessment: Beyond C-9 (June 2003); http://www.parl.gc.ca/InfoComDoc/37/2/ENVI/Studies/Reports/envirp02-e.htm; also on course UW Learn site.

Discussion questions
Q2a: What have been the main accomplishments of environmental assessment advance, especially in Canada so far, and what potential future advances offer the greatest promises for your interest?
Q2b: What have been the greatest weaknesses and continuing deficiencies of environmental assessment in Canada so far; how may these affect your interest and what would you want done about them?

3. January 20  York Region and The Ring of Fire – two cases of assessment complexities

infrastructure projects in York Region in southern Ontario that are subject to environmental assessment but arise through regional planning to meet municipal and provincial objectives (big issues include how to link planning and assessment, where best to address alternatives, how far to look ahead, how to deal for interregional implications and effects and how to integrate provincial and regional/municipal requirements, etc.)

multiple mining projects and associated infrastructure in the remote and pristine Ring of Fire region of northern Ontario (big issues include how best to deal with multiple projects with cumulative effects, and legacy effects, how to ensure effective consultation and accommodation of the interests of multiple communities with Aboriginal and treaty rights, how to harmonize responsibilities of overlapping jurisdictions, how to ensure effective engagement of all stakeholders, etc.)

Readings – York Region:


Readings – Ring of Fire:


Heather Scoffield, “‘Ring of Fire’ mining prospect empowers some of Canada’s most downtrodden First Nations,” Vancouver Sun, 20 December 2012; http://www.vancouversun.com/business/Ring+Fire+mining+prospect+empowers+som
Cliffs Natural Resources, “Cliffs Chromite Project,” home page
http://www.cliffsnaturalresources.com/EN/aboutus/globaloperations/chromite/Pages/default.aspx; reports page
http://www.cliffsnaturalresources.com/EN/aboutus/GlobalOperations/chromite/Pages/StakeholderEngagement.aspx, includes Cliffs Ferroalloys, Amended Terms of Reference for Cliffs Chromite Project Individual Environmental Assessment, November 2012; also on course UW Learn site.


Discussion questions
Q3a: What, from the perspective of your interest, are the main environment and sustainability related challenges facing York Region and similar urban communities that might be addressed usefully by environmental assessment and related planning processes (such as regional growth management planning that is a form of strategic environmental assessment)?

Q3b: What, from the perspective of your interest, are the main environment and sustainability related challenges facing the Fire of Fire region and its communities in light of the proposed and anticipated mining and related developments there, and how might they be addressed usefully by environmental assessment and related processes (such as regional land use planning that is a form of strategic environmental assessment)?

4. January 27  Sustainability assessment
international and Canadian developments
case examples: Voisey's Bay mine assessment, Tulsequah Chief mine, Mining, Minerals and Sustainable Development project, Mackenzie Gas Project, Ontario Power Authority Integrated Power Systems Plan, Kemess North Copper-Gold Mine Project, White’s Point Quarry and Marine Terminal

Readings:
Robert B. Gibson, Sustainability Assessment, chapters 5-7, "Criteria," “Trade-offs,” and “Processes”.

Possible additional readings:
Thomas L. Green, "Lasting Benefits from Beneath the Earth: Mining nickel from Voisey's Bay in a manner compatible with the requirements of sustainable development," report for the Environmental Assessment Hearings into the Proposed
Voisey's Bay Nickel Mine, prepared for the Innu Nation, 5 October 1998; on course UW Learn site.


MMSD, North American Regional Report, Seven Questions to Sustainability: How to Assess the Contribution of Mining and Minerals Activities; http://www.iied.org/mmsd/rrep/n_am.html; also on course UW Learn site.


Voisey's Bay Mine and Mill Environmental Assessment Panel Report (March 1999) http://www.cea.gc.ca/default.asp?lang=En&n=0a571a1a-1&xml=0a571a1a-84cd-496b-969e-7cf9cbea16ae&toc=show.

Discussion questions
Q4a: What would be the main advantages of sustainability-based assessments focused on delivering most positive contributions to sustainability (compared to the more usual assessments today, focused on mitigation of environmental negative effects? Illustrate with practical examples (historical or potential).

Q4b: What are likely to be the main challenges, potential weaknesses and grounds for concern? Illustrate with practical examples (historical or potential).

5. February 3 The Mackenzie case
the major innovations
the limitations
the responses

Readings:
Robert B. Gibson, Sustainability Assessment, chapters 5-7, "Criteria," “Trade-offs,” and “Processes”

Robert B. Gibson, “Application of a contribution to sustainability test by the Joint Review Panel for the Canadian Mackenzie Gas Project,” Impact Assessment and Project Appraisal 29:3 (September 2011), pp.231-244; on course UW Learn site.

Recommended background documents (skim):


Discussion questions:

Q5a: What were the main strengths and limitations of the Mackenzie case as an example of how to do environmental assessment?

Q5b: How could the Mackenzie approach be adjusted or supplemented by other initiatives that would make it more attractive and feasible for regular application, especially for different kinds of undertakings including smaller ones?

6. February 10  
Complex ecological, social and socio-ecological systems and the ecosystem approach

- complex systems theory
- complex systems in resource management
- ecosystem-based approaches
- applications to socio-ecological systems
- basic implications for environmental assessment research
- implications for environmental assessment process design

Readings:


Possible additional readings:
James Kay, Henry Regier, Michelle Boyle, and George Francis, "An Ecosystem Approach for Sustainability: Addressing the Challenge of Complexity," (the SOHO paper) *Futures* 31:7 (Sept 1999), pp.721-742, on course UW Learn site.

**Discussion questions:**

Q6a: What would be the most important considerations in applying an understanding of complex systems and use of an ecosystem approach to making decisions about how best to design assessment of a project to rehabilitate a degraded urban stream (not unlike Laurel Creek) in York Region, and what would be the most effective way of ensuring that these considerations are incorporated effectively and efficiently in the planning?

Q6b: What would be the most important considerations in applying an understanding of complex systems and use of an ecosystem approach to making decisions about how best to assess the proposed Cliffs chromite mine in the Ring of Fire area, and what would be the most effective way of ensuring that these considerations are incorporated effectively and efficiently in the planning?

7. **February 24**  Tools for assessing complex socio-ecological systems: scenarios, public involvement, traditional knowledge and judgements about significance
socio-ecological systems and public choices
scenario building
citizens and experts: technical knowledge and public consultation, citizens experts, traditional knowledge
equity effects, including gender equity
means of determining significance in advanced assessment practice
case examples: growth management in BC’s Capital Regional District; community-based traditional expert monitoring in Lutsel 'Ke

**Readings:**
Robert B. Gibson, *Sustainability Assessment*, chapter 8, "Decisions"


Stephen Ellis, "Meaningful consideration? a review of traditional knowledge in environmental decision making," Arctic 58:1 (March 2005), on course UW Learn site.


David Lawrence, Significance criteria and determination in sustainability-based environmental impact assessment, a report commissioned and published by the Joint Review Panel for the Mackenzie Gas Project, 30 November 2005, on course UW Learn site.

Possible additional readings:


Canadian Environmental Assessment Agency, "Considering Aboriginal traditional knowledge in environmental assessments conducted under the Canadian Environmental Assessment Act -- Interim Principles," on course UW Learn site.


Gilberto C. Gallopin, Silvio Funтовicz, Martin O'Connor, and Jerry Ravetz, "Science for the twenty-first century: from social contract to the scientific core," International Journals of Social Science 168 (2001), pp.219-229, on course UW Learn site

Jan Rotmans, et al., "Visions for a Sustainable Europe," [re scenarios] International Centre of Integrative Studies, Maastricht, The Netherlands April 2000, on course UW Learn site.


**Discussion questions:**

Q7a: Could a sustainability-oriented future scenarios exercise be helpful in the Ring of Fire area to clarify assessment criteria, identify policy and project alternatives and guide judgements about the significance of effects? How might it be organized? How far should it look ahead? What major difficulties would you foresee and how would you address them?

Q7b: How might a sustainability-oriented future scenarios exercise be designed and used in a major southern Ontario urban region (e.g. Waterloo Region, or York Region)? What major issues and options should it address? What kinds of future plans and projects might it guide? Who should be involved? How far should it look ahead? What major difficulties would you foresee and how would you address them?

8. **March 3**  
Complexity, uncertainty and precaution

- complexity and uncertainty: lessons from experience in Canada
- risk and precaution (risk assessment versus/plus precautionary approach)
- adaptive design and management
- implications for advanced assessment, planning and design

**Readings:**


**Possible additional readings:**

Environmental Law Centre, University of Victoria, “The precautionary principle in Canada,” (June 2010), on course UW Learn site.


Canadian Biotechnology Advisory Committee, *Improving the Regulation of Genetically Modified Foods and Other Novel Foods in Canada: report to the Government of Canada Biotechnology Ministerial Coordinating Committee* (Ottawa: CBAC, August 2002), on course UW Learn site.

Michael McDonald, *Biotechnology, Ethics and Government: A Synthesis* prepared for the Canadian Biotechnology Advisory Committee, Project Steering committee on Incorporating Social and Ethical Considerations into Biotechnology (October 2000), on course UW Learn site.


Stuart Lee and Katherine Barrett, "Comments on *A Canadian Perspective on the Precautionary Approach/Principle: Discussion Document,"* (Science and Environmental Health Network, 28 March 2002), on course UW Learn site.

Discussion questions
Q8a: What are the most important ethical and practical considerations in deciding how to organize a public discussion of a proposal for a major controversial activity (e.g. pick one of the following: fracking, more bitumen extraction, uranium exploration/mining, new low density suburbs, food biotechnology)?

Q8b: What are the most important ethical and practical considerations in deciding how to organize a public discussion of a proposal for a major controversial activity (e.g. pick another of the following: fracking, more bitumen extraction, uranium exploration/mining, new low density suburbs, food biotechnology)?

9. March 10 Cumulative effects assessment
principles and challenges
guidance from the Canadian Environmental Assessment Agency
case examples: Fort Liard, oil sands and Fort McMurray, Mackenzie Gas Project and induced development, Puslinch gravel

Readings:

ceaa.gc.ca/default.asp?lang=En&n=155701CE-1; also on course UW Learn site for week 5.

Petr Cizek and Shelagh Montgomery, *A Choice of Futures: cumulative impact scenarios of the Mackenzie Gas Project Scoping and Development* (Yellowknife: Canadian Arctic Resources Committee, October 2005), on course UW Learn site.


Possible additional readings:

Petr Cizek, et al., *Fort Liard Area Cumulative Impact Mapping Project: Technical Report* (Yellowknife: Canadian Arctic Resources Committee, May 2002); on course UW Learn site.

Lorne Greig and Peter Duinker, “Scenarios of future development in cumulative effects assessment: approaches for the Mackenzie Gas Project” (March 2007), on course UW Learn site.


CEAA, *Cumulative Effects Assessment Practitioners Guide* (February 1999), on course UW Learn site.

Discussion questions:

Q9a What were the key cumulative effects issues surrounding the Mackenzie Gas Project case and how well did the assessment address them?

Q9b What are the major advantages and disadvantages of considering cumulative effects in project level assessments?

10. March 17  **Strategic environmental assessment**

principles and international and Canadian practice
case examples: salmon aquaculture in British Columbia, DFAIT assessment of trade agreements, growth management planning
introduction to linking strategic and project level assessments

Readings:

IAIA, *Strategic Environmental Assessment Performance Criteria*; available at http://www.iaia.org/ go to "publications"; also on course UW Learn site.


basic options,” *Journal of Environmental Law and Practice*, 20:3 (2010), pp.175-211, on course UW Learn site.

Government of British Columbia, Environmental Assessment Office, "Backgrounder: How the Salmon Aquaculture Review was conducted," (September 1997), on course UW Learn site.

Ontario Ministry of the Environment, "Backgrounder: Declaration Order for Forest Management" (July 2003), on UW Learn site.

**Possible additional readings:**


Discussion questions:
Q10a: What would be the main advantages and challenges of introducing a legal obligation for environmental assessment of strategic undertakings in Canada at the federal, provincial and territorial levels?
Q10b: What would be the main challenges of organizing cooperative inter- or multi-jurisdictional assessment of strategic undertakings in Canada (e.g. federal-provincial or inter-provincial) and how might they be overcome?

11. March 24 Links between strategic and project assessment
   general case example growth management planning: smart growth, identification and public assessment of alternative futures, use of scenarios interjurisdictional, regional/sectoral and multi-tier planning and assessment particular case examples: Greater Golden Horseshoe planning, Ontario’s Greenbelt and the Oak Ridges Moraine, Waterloo Region, Greater Vancouver Regional District and Capital Regional District in BC

Readings:
Michelle Boyle, Robert B. Gibson and Deborah Curran, "If not here, then perhaps not anywhere: urban growth management as a tool for sustainability planning in British Columbia's Capital Regional District," Local Environment 9:1 (2004), pp.21-43; on course UW Learn site.
Government of Ontario, Oak Ridges Moraine Conservation Plan (2002), http://www.mah.gov.on.ca/Page1707.aspx; also on course UW Learn site
Region of Waterloo, Planning our Future: Regional Growth Management Strategy (June 2003), http://www.regionofwaterloo.ca/en/abouttheenvironment/growthmanagement.asp; also on course UW Learn site.

Possible additional readings:
Ontario Ministry of Municipal Affairs and Housing, Greenbelt Plan (February 2005) http://www.mah.gov.on.ca/Page189.aspx#greenbelt; on course UW Learn site.

Discussion questions:
Q11a: How might linked strategic and project assessments deliver both more effectiveness and more efficiency in environmental assessments?
Q11b: What interests would be most likely to benefit from and support the idea and which interests would be most likely to foresee problems and resist such initiatives?
Q11c: What applications might be most promising?
12. March 31 Course summary: Opportunities for application and implications for professional practice
what it’s like working in environmental assessment and related areas
where the biggest challenges and most attractive opportunities lie

Special note:
The window of opportunity for April Fool’s Day trickery closes at noon.

Discussion questions:
Q12a: What would be the most important and interesting environmental assessment improvement initiative(s) to be hired to work on for a future federal, provincial, territorial or aboriginal authority in Canada?
Q12b: Beyond environmental assessment law reform, what are the most significant needs (and attractive job opportunities) for improving the practice of planning, approving and implementing new undertakings in Canada and what are the most promising possible means of making these improvements?

Recommended reading:
IAIA Guidelines Standard for IA Professionals; on course UW Learn site