Classical communication over classical channels using non-classical correlation.

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- ► Classical code: Encoder Pr(X = x|Q = q) = F(x|q); Decoder Pr(Q̂ = q̂|Y = y) = G(q̂|y).
- ► $M_{\epsilon}(\mathcal{E}^n) := \max M$ such that \exists code with $\Pr(Q \neq \hat{Q} | \mathcal{E}^n, F, G) \leq \epsilon$ (hard to compute exactly).



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- ▶ Non-signalling assisted: $Z(x, \hat{q}|q, y)$ is non-signalling.
- ► $M_{\epsilon}^{\mathrm{E}}(\mathcal{E}^n) := \max M$ such that \exists entanglement assisted code with $\Pr(Q \neq \hat{Q} | \mathcal{E}^n, Z) \leq \epsilon$.

$$\blacktriangleright C_{\epsilon}(\mathcal{E}) := \lim_{n \to \infty} \frac{1}{n} \log M_{\epsilon}(\mathcal{E}^n), \ C(\mathcal{E}) := \lim_{\epsilon \to 0} C_{\epsilon}^{\Omega}(\mathcal{E})$$

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- ► Leung, Mancinska, W.M., Ozols, Roy (1009.1195): Example of DMC with $C(\mathcal{E}) = C_0^{\text{E}}(\mathcal{E}) > C_0(\mathcal{E})$.

Converse and achievability bounds on the rate $\frac{1}{n} \log M_{\epsilon}(\mathcal{E}^n)$ when $\epsilon = 1/1000$ and \mathcal{E} is the BSC with $\Pr(\text{bit flip}) = 0.11^1$.



¹Polyanskiy, Poor, Verdú. IEEE Trans. Inf. T., 56, 2307-2359

Asymptotics of rate as function of n for DMCs:

$$\frac{1}{n}\log M_{\epsilon}(\mathcal{E}^{\otimes n}) = C(\mathcal{E}) - Q^{-1}(\epsilon)\sqrt{\frac{V(\mathcal{E})}{n}} + O(\log n)/n$$

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- Use of entanglement can't change capacity but can give striking qualitative changes to error behaviour below capacity.
- Goal: Looking beyond zero-error, *quantify* the extent to which entanglement improves coding.
- ► E.g. what does the asymptotic expansion of rate as a function of *n* look like after the capacity term with entanglement assistance?





$$P_{XY}: \Pr(X = a) = \frac{1}{M} \sum_{q} F(x|q) =: p_x, \\ \Pr(Y = y|X = x) = \mathcal{E}(y|x).$$



$$P_{XY}: \operatorname{Pr}(X = a) = \frac{1}{M} \sum_{q} F(x|q) =: p_x, \\ \operatorname{Pr}(Y = y|X = x) = \mathcal{E}(y|x). \\ P_X \times R_Y: \operatorname{Pr}(X = a) = p_x, \operatorname{Pr}(Y = y|X = x) = r_y.$$



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• Test T for P_{XY} : Specifies Pr(pass T | X = x, Y = y).



►
$$P_{XY}$$
: $\Pr(X = a) = \frac{1}{M} \sum_{q} F(x|q) =: p_x$,
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- ▶ Test T for P_{XY} : Specifies Pr(pass T | X = x, Y = y).
- ▶ $\beta_{\epsilon}(P_{XY}, P_X \times R_Y)$: Minimum $\Pr(\text{pass } T | P_X \times R_Y)$ for all T with $\Pr(\text{pass } T | P_{XY}) \ge 1 \epsilon$.

Given (M, ϵ) code for \mathcal{E} with input distribution P_X , construct test T for P_{XY} (vs. $P_X \times R_Y$):

$$\Pr(\text{pass } T | X = x, Y = y) = T_{xy} := \sum_{q \in [M]} G(q|y) \Pr(Q = q | X = x, F).$$

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For P_{XY} : $\Pr(X = x, Y = y) = p_x \mathcal{E}(y|x)$, the probability of passing the test is

$$\sum_{x,y} T_{xy} p_x \mathcal{E}(y|x) = \sum_{x,y,q} G(q|y) \mathcal{E}(y|x) p_x \Pr(Q = q|X = x, F)$$
$$= \sum_{x,y,q} G(q|y) \mathcal{E}(y|x) \Pr(Q = q \land X = x|F)$$
$$= \frac{1}{M} \sum_{x,y,q} G(q|y) \mathcal{E}(y|x) F(x|q)$$
$$= 1 - \epsilon.$$

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$$\sum_{x,y} T_{xy} p_x r_y = \sum_{x,y,q} G(q|y) r_y p_x \Pr(Q = q|X = x, F)$$
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$$= \frac{1}{M} \sum_{y,q} G(q|y) r_y$$
$$= 1/M.$$

If there exists a code of size M with distribution P_X for channel input X, and error prob. ϵ , then for all distributions R_Y on Y:

$$\beta_{\epsilon}(P_{XY}, P_X \times R_Y) \le \frac{1}{M}.$$

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PPV converse:

$$M_{\epsilon}(W) \le M_{\epsilon}^{\diamond}(W) := \max_{P_X} \min_{R_Y} \frac{1}{\beta_{\epsilon}(P_{XY}, P_X \times R_Y)}$$

Non-signalling assisted codes: Minimum error LP

$$q \in [M]$$

$$x \in A$$

$$\mathcal{E}$$

$$y \in B$$

$$\hat{q} \in [M]$$

$$\epsilon^{\text{NS}}(M, \mathcal{E}) := 1 - \max \frac{1}{M} \sum_{q \in [M]} \sum_{x \in A} \sum_{y \in B} Z(x, q | q, y) \mathcal{E}(y | x)$$
subject to
$$\forall \hat{q} \in [M], y \in B : \sum_{x, \hat{q}} Z(x, \hat{q} | q, y) = 1, Z \ge 0$$

$$\forall \hat{q}, y, q, q' : \sum_{x \in A} Z(x, \hat{q} | q, y) = \sum_{x \in A} Z(x, \hat{q} | q', y)$$

$$\forall x, q, y, y' : \sum_{\hat{q} \in [M]} Z(x, \hat{q} | q, y) = \sum_{\hat{q} \in [M]} Z(x, \hat{q} | q, y')$$

Let $\pi(q)$ denote the image of $q \in [M]$ under permutation $\pi \in S_M$.

$$\bar{Z}(x,\hat{q}|q,y) = \frac{1}{M!} \sum_{\pi \in S_M} Z(x,\pi(\hat{q})|\pi(q),y)$$

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Substitute this form into the LP for the error...

$$\epsilon^{\mathrm{NS}}(M,\mathcal{E}) := 1 - \max \frac{1}{M} \sum_{q \in [M]} \sum_{x \in A} \sum_{y \in B} Z(x, q | q, y) \mathcal{E}(y | x)$$

$$\forall \hat{q} \in [M], y \in B : \sum_{x, \hat{q}} Z(x, \hat{q} | q, y) = 1$$

$$Z \ge 0$$

$$\forall \hat{q}, y, q, q' : \sum Z(x, \hat{q} | q, y) = \sum Z(x, \hat{q} | q', y)$$

$$\forall x, q, y, y' : \sum_{\hat{q} \in [M]} Z(x, \hat{q} | q, y) = \sum_{\hat{q} \in [M]} Z(x, \hat{q} | q, y')$$

$$\epsilon^{\mathrm{NS}}(M,\mathcal{E}) := 1 - \max \sum_{x \in A} \sum_{y \in B} D_{xy} \mathcal{E}(y|x)$$

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$$\begin{split} \epsilon^{\mathrm{NS}}(M,\mathcal{E}) &:= 1 - \max \sum_{x \in A} \sum_{y \in B} D_{xy} \mathcal{E}(y|x) \\ \forall y \in B : \sum_{x} D_{xy} + (M-1) W_{xy} = 1 \\ R &\geq 0, W \geq 0 \\ \forall \hat{q}, y, q, q' : \sum_{x \in A} Z(x, \hat{q}|q, y) = \sum_{x \in A} Z(x, \hat{q}|q', y) \\ \forall x, q, y, y' : \sum_{\hat{q} \in [M]} Z(x, \hat{q}|q, y) = \sum_{\hat{q} \in [M]} Z(x, \hat{q}|q, y') \end{split}$$

$$\epsilon^{\mathrm{NS}}(M, \mathcal{E}) := 1 - \max \sum_{x \in A} \sum_{y \in B} D_{xy} \mathcal{E}(y|x)$$

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$$R \ge 0, W \ge 0$$

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$$R \ge 0, W \ge 0$$
$$\forall y \in B : \sum_{x \in A} D_{xy} = \sum_{x \in A} W_{xy}$$
$$\forall x, y : D_{xy} + (M-1)W_{xy} = p_x$$

$$\epsilon^{\mathrm{NS}}(M, \mathcal{E}) := 1 - \max \sum_{x \in A} \sum_{y \in B} D_{xy} \mathcal{E}(y|x)$$

subject to

$$\forall y \in B : \sum_{x \in A} D_{xy} = 1/M (= \gamma)$$

$$\sum_{x \in A} p_x = 1,$$

$$\forall x \in A, y \in B : D_{xy} \ge p_x,$$

$$\forall x \in A, y \in B : D_{xy} \ge 0.$$

LP for $M_{\epsilon}^{\rm NS}$

Can rewrite to find the smallest value of 1/M for a given $\epsilon :$

$$\begin{split} M_{\epsilon}^{\mathrm{NS}}(\mathcal{E})^{-1} &= \min \gamma \\ \forall x \in A, y \in B : D_{xy} \leq p_x \\ \forall y \in B : \sum_{x \in A} D_{xy} \leq \gamma \\ \sum_{x \in A} \sum_{y \in B} \mathcal{E}(y|x) D_{xy} \geq 1 - \epsilon \\ \sum_{x \in A} p_x &= 1 \\ \forall x \in A, y \in B : D_{xy} \geq 0, p_x \geq 0. \end{split}$$

LP for $M_{\epsilon}^{\mathrm{NS}}$

Can rewrite to find the smallest value of 1/M for a given ϵ :

$$M_{\epsilon}^{NS}(\mathcal{E})^{-1} = \min \gamma$$

$$\forall x \in A, y \in B : D_{xy} \leq p_x$$

$$\forall y \in B : \sum_{x \in A} D_{xy} \leq \gamma$$

$$\sum_{x \in A} \sum_{y \in B} \mathcal{E}(y|x) D_{xy} \geq 1 - \epsilon$$

$$\sum_{x \in A} p_x = 1$$

$$\forall x \in A, y \in B : D_{xy} \geq 0, p_x \geq 0.$$

Also gives a converse for classical codes: $M_{\epsilon}(\mathcal{E}) \leq M_{\epsilon}^{NS}(\mathcal{E}).$

The PPV converse is

$$\begin{split} M^{\diamond}_{\epsilon}(\mathcal{E})^{-1} &= \min_{p} \max_{r} \min_{T} \sum_{x \in A} \sum_{y \in B} T_{xy} p_{x} r_{y} \\ \text{subject to} \\ &\sum_{x \in A} \sum_{y \in B} \mathcal{E}(y|x) T_{xy} p_{x} \geq 1 - \epsilon \\ &\sum_{x} p_{x} = 1, \sum_{y} r_{y} = 1 \\ &\forall x \in A, y \in B : p_{x} \geq 0, 0 \leq T_{xy} \leq 1, r_{y} \geq 0 \end{split}$$

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By von Neumann's minimax theorem.

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By convexity of optimisation over r.

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$$\begin{split} M^{\diamond}_{\epsilon}(\mathcal{E})^{-1} &= \min_{D,p} \max_{y \in B} \sum_{x \in A} D_{xy} \\ \text{subject to} \\ &\sum_{x \in A} \sum_{y \in B} \mathcal{E}(y|x) D_{xy} \geq 1 - \epsilon \\ &\sum_{x} p_x = 1 \\ &\forall x \in A, y \in B : p_x \geq 0, 0 \leq T_{xy} \leq 0 \end{split}$$

1

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The PPV converse is

$$M^{\diamond}_{\epsilon}(\mathcal{E})^{-1} = \min_{D,p} \gamma$$

subject to $\sum \sum \mathcal{E}(y|x)D_{xy} \ge 1 - \epsilon$ $x \in A \ y \in B$ $\sum p_x = 1$ $\forall x \in A, y \in B : p_x \ge 0, 0 \le D_{xy} \le p_x,$ $y \in B : \gamma \ge \sum D_{xy}$ $x \in A$ $D_{xy} := T_{xy}p_x$

The PPV converse is

$$M^{\diamond}_{\epsilon}(\mathcal{E})^{-1} = \min_{D,p} \gamma$$

subject to $\sum \sum \mathcal{E}(y|x)D_{xy} \ge 1 - \epsilon$ $x \in A \ u \in B$ $\sum p_x = 1$ $\forall x \in A, y \in B : p_x \ge 0, 0 \le D_{xy} \le p_x,$ $y \in B : \gamma \ge \sum D_{xy}$ $x \in A$ $M^{\diamond}_{\epsilon}(\mathcal{E}) = M^{\mathrm{NS}}_{\epsilon}(\mathcal{E})$

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Linear program formulation of the PPV converse:

- Dual formulation of LP gives a converse bound for any feasible point.
- For channels with permutation covariance (e.g. DMCs), LP can be simplified to size poly(n).

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For DMCs

$$C_0^{\rm NS}(\mathcal{E}) = C(\mathcal{E}) \iff V(\mathcal{E}) = 0.$$

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