

1 **Age-sensitive High Density Surface Electromyogram Indices for Detecting Muscle Fatigue**
2 **Using Core Shape Modelling**

3 Bharath Krishnan¹, Serena Zanelli^{2,3}, Sofiane Boudaoud², Léa Scapucciati², John McPhee¹, Ning
4 Jiang^{4*}

5 ¹ Waterloo University, System Design Engineering, Waterloo, Canada

6 ² Alliance Sorbonne University, Université de technologie de Compiègne, CNRS, UMR 7338
7 Biomechanics and Bioengineering, Centre de recherche de Royallieu, 60203 Compiègne cedex,
8 France

9 ³Politecnico Di Milano, Biomedical Engineering department, Milano, Italy

10 ⁴National Clinical Research Center for Geriatrics, West China Hospital Sichuan University, and
11 the Med-X Center for Manufacturing, Sichuan University, Chengdu, Sichuan Province, China

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14 *Gaussianity monitoring, Motor unit synchronization*

15
16 **Abstract**

17 The purpose of this preliminary study was to examine age-sensitive High Density surface
18 Electromyogram (HD-sEMG) features by Core Shape Modelling (CSM) method. Fatiguing low
19 force isometric contractions of the biceps brachii was performed by eight young (age, 24.40 ± 2.42
20 years) and five elderly (72.90 ± 2.21 years) males, while HD-sEMG recorded signals from the
21 biceps brachii. The task was performed at 20% maximal voluntary contraction (MVC). From the
22 recorded HD-sEMG signals, three Probability Density Function (PDF) shape distances (SD)
23 measures the departure from Gaussianity, *i.e.* Left (LSD), Right (RSD), and Central (CSD), were
24 derived by the CSM method from non-overlapping five-second windows until task failure. A linear
25 regression analysis was then used to quantify the change of these shape parameters throughout the
26 contraction. The resultant slopes revealed that the elderly group showed a decreasing trend in PDF
27 shape parameters as the contraction approached task failure. In contrast, the young showed an

* Indicates the corresponding author: jiangning21@wchscu.cn

28 increasing trend. Statistical differences between the two groups were found for LSD ($p=0.006$) and
29 RSD ($p=0.001$). No such age-sensitivity was detected using conventional sEMG fatigue features.
30 These results suggest that the proposed CSM method can be used to obtain fatigue-related features
31 from HD-sEMG that are age-sensitive and possibly related to different motor unit recruitment and
32 synchronization schemes.

33 1. Introduction

34 Understanding physical limitations of the human body has been one of the primary focuses
35 in the fields of sport, exercise, rehabilitation and ergonomics (Mori et al., 2016, Gu et al., 2018).
36 Information about the underlying mechanisms responsible for these limitations are critical in the
37 improvement of performance and/or prevention of musculoskeletal injury. One of the most
38 prevalent mechanisms that results in a decrease in performance and increase in likelihood of injury
39 is muscular fatigue, which can be defined as a decrease in maximum voluntary contraction force
40 (MVC) or power production in response to contractile activity (Gandevia, 2001). Muscle fatigue
41 is influenced by two systems and as such is typically split into the following categories: central
42 fatigue and peripheral fatigue. Central fatigue stems from the central nervous system, which affects
43 the neural drive to the motor neuron pool in a muscle leading to a reduction of force (Wan et al.,
44 2017), whereas peripheral fatigue refers to the reduction of force generation capacity caused by
45 skeletal muscle neurophysiological change (Cè et al., 2020).

46 It is accepted that advanced aging causes modifications to the neuromuscular system which
47 can result in changes in the skeletal muscles such as their size, shape and fiber composition
48 (Faulkner et al., 1995; Lexell, 1995). The abnormal age-associated loss of muscle mass and
49 strength (force generation) is commonly referred to as sarcopenia. This condition typically results

* Indicates the corresponding author: jiangning21@wchscu.cn

50 in a loss of muscle fibers and an increase in fat infiltration at these sites (Santilli et al., 2014).
51 Epidemiological studies show that the prevalence of sarcopenia increases from 5% from those
52 aged 65-70 years old to 50% of those aged older than 80. Studies have shown that the prevalence
53 of sarcopenia is expected to rise from 600 million in 2000 to 1.2 billion by 2025, costing upwards
54 of 23.2 billion USD. Although the relationship between changes in muscle mass and strength due
55 to sarcopenia is well established (Brach & VanSwearingen, 2002; Faulkner et al., 1995), it is still
56 not obvious how these factors affect the muscle resistance to fatigue.

57 To accurately model muscle fatigue using electrophysiological data, it is important to
58 understand its underlying mechanisms. Typically, these mechanisms develop in the central and
59 peripheral nervous system causing variations in motor unit (MU) recruitment strategies,
60 conduction velocity or firing rate (McManus et al., 2016). Currently, Surface Electromyography
61 (sEMG) is one of the most widely accepted non-invasive modalities used in capturing these
62 variations (Cifrek et al., 2009). The sEMG signal typically depicts these changes in neuromuscular
63 and morphological properties through modifications in its time-domain or spectral parameters and
64 as such, these parameters are typically used to quantify muscle fatigue (Cifrek et al., 2009).
65 The robustness of these parameters in capturing age-related differences in fatigue development has
66 been in question as of late. Although some studies exist that have found differences in these
67 parameters with age (Yamada et al., 2000), several studies have not (da Silva et al., 2015;
68 Habenicht et al., 2020; Yassierli et al., 2007). The discrepancies in these results potentially
69 indicates that age-related differences in fatigue development vary with contraction type, intensity
70 and/or with muscle.

* Indicates the corresponding author: jiangning21@wchscu.cn

71 Apart from these well-established properties, motor unit synchronization has become
72 progressively more relevant in recent literature concerning muscle fatigue. MU synchronization
73 refers to the tendency of two MUs to fire within a fixed time interval with respect to each other,
74 more frequently than chance (De Luca et al., 1993). In fact, recent studies have shown evidence
75 that muscle fatigue had caused an increase in MU synchronization during sustained contraction
76 (Beretta-Piccoli et al., 2015). A study that investigated the synchronization of MU activity during
77 voluntary contraction revealed that the amount of synchronization reveals itself on a probabilistic
78 level and is not apparent on visual inspection of the sEMG signal (Datta & Stephens, 1990).
79 Considering this, a functional methodology, core shape modelling (CSM), explored by (S
80 Boudaoud et al., 2010) investigated the modifications of the probability density function (PDF)
81 shape during contraction due to MU synchronization. This methodology provided interesting
82 results in classifying different levels of synchronicity and contraction intensity (Ayachi et al. 2014)
83 on simulated sEMG signals. If synchronicity occurs during a fatiguing exercise, it induces
84 modifications in the probability density shape: the more the MUs are synchronized the more likely
85 for peaks and troughs to appear in the signals, leading to the modifications of the probability
86 density function (S Boudaoud et al., 2010).

87 The primary aim of this study was to determine age-specific differences in measures
88 derived from the CSM methodology between young and elderly males during fatiguing isometric
89 contractions of the biceps brachii. Specifically, the effect of muscle fatigue on the shape of the
90 HD-sEMG PDF was determined using Left (LSD), Right (RSD), and Central (CSD) shape distance
91 measures derived from the CSM methodology. A secondary aim of this study was to compare this
92 novel methodology to measures widely used in the literature such as time and frequency measures

* Indicates the corresponding author: jiangning21@wchscu.cn

93 from the sEMG signal, and torque variability measures extracted from force signals obtained from
94 the dynamometer system (Al-Mulla et al., 2011; Tracy & Enoka, 2002). The HD-sEMG technique
95 was used to record 64 sEMG signals from the biceps during contraction such that a Laplacian filter
96 can be applied, to reduce the effect of crosstalk between the electrodes and to increase PDF shape
97 modification contrast as observed in (Ayachi et al., 2014).
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* Indicates the corresponding author: jiangning21@wchscu.cn

99 **2. Methods**

100 **2.1. Participants**

101 Twenty able-bodied male subjects were recruited from the University of Waterloo to
102 participate in this study. Subjects were assigned to two groups based on their age; the young group
103 consisted of 10 individuals between the ages of 22 and 30 years old (24.40 ± 2.42 years) and the
104 elderly group had 8 males between the ages 68 and 75 years old (72.90 ± 2.21 years). All subjects
105 were right-handed, and no one reported suffering from myopathy, abnormal pathologies, and
106 musculoskeletal injury within the past six months. None of the participants required assistance for
107 typical daily activities or had any cognitive impairments. Using the body mass index (BMI), no
108 subject in either the young group (22.34 ± 1.66) or the elderly group (25.55 ± 3.06) was reported as
109 underweight ($BMI \leq 18.5$) or obese ($BMI \geq 30$). Informed consent was obtained from each
110 participant before the experiment and procedures were in accordance with the Declaration of
111 Helsinki.

112 **2.2. Experimental Protocol**

113 A HD-sEMG electrode system (EMG-USB2+, OT Bioelettronica, Italy), was used to
114 acquire sEMG data in monopolar montage. An 8x8 flexible electrode grid (10mm inter-electrode
115 distance, 4mm electrode diameter) was placed on top of the biceps brachii (approximately placed
116 between 20% and 61% of the upper limb length; Figure 1), with the reference electrode placed on
117 the wrist after skin preparation. The signals recorded by the device were sampled at a rate of 2048
118 Hz, with a gain of 500.

* Indicates the corresponding author: jiangning21@wchscu.cn

119 A Dynamometer system (System 4 Pro, Biodex, USA) was used to acquire torque
120 generated from the subject during exercise. This system ensured a fully isometric contraction as

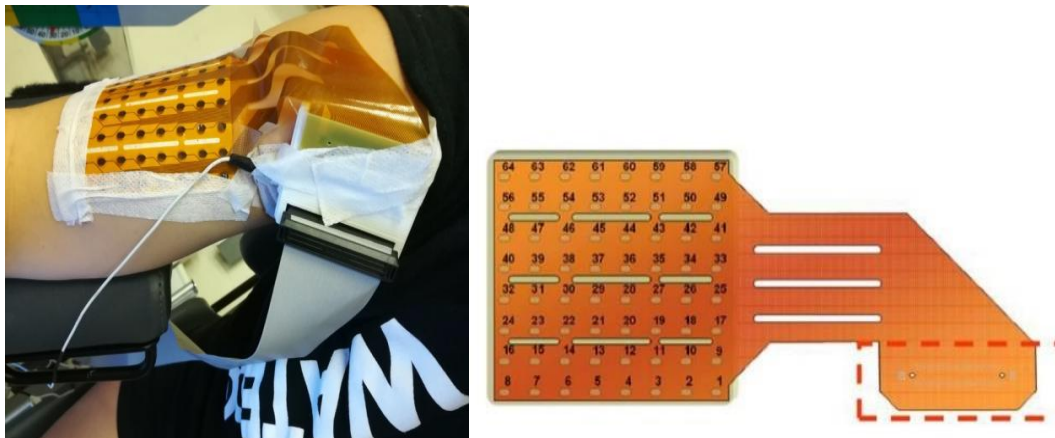


Figure 1: High Density sEMG (HD-sEMG) electrode grid placement on subject and numerical reference of the electrodes

121 zero velocity is maintained in all possible range of motions, allowing no significant variations in
122 muscle length or joint angle during contraction. According to guidelines set by the manufacturer,
123 the participants were seated in the dynamometer with 85° hip flexion from the anatomical position.
124 The dynamometer arm was oriented 30° toward the participant which caused the forearm angle to
125 be 30° from the horizontal prior to elbow flexion. Following calibration, the user was seated with
126 their right elbow resting against a support. The forearm was placed in supination position firmly
127 holding the fixed handle on the dynamometer arm. Due to the electrodes placed on the arm, it was
128 not possible to immobilize the arm completely.

129 Before the experiment, the subject performed test contractions to get familiar with the
130 experimental setup. During the experiment, both HD-sEMG and torque signals were recording
131 continuously until the experiment was over. The subjects were first instructed to elicit a maximal
132 voluntary contraction (MVC) by pulling the dynamometer handle towards them at maximal effort
133 and maintaining it for five seconds. This was repeated three times to get an accurate reading of the

* Indicates the corresponding author: jiangning21@wchscu.cn

134 MVC value. To minimize the effect of fatigue on the MVC recordings, two-minute breaks were
135 provided between the MVC contractions. Following the MVC contractions, subjects would rest
136 for another two minutes. Then subjects were instructed to perform three brisk contractions to allow
137 for realignment between the sEMG and torque data in offline analysis. Next, subjects were asked
138 to maintain 20% MVC contraction until a subjectively determined endurance limit, which was
139 defined as “task failure”. Subjects were able to see their torque output overlaid with the 20% MVC
140 target on a monitor, allowing them to constantly maintain a force above the 20% MVC torque
141 objective.

142 2.3. Data Processing

143 The HD-sEMG signals recorded were filtered with a 4th order Butterworth bandpass filter between
144 10 and 450Hz and then segmented into non-overlapping 5-second analysis windows. Power-line
145 interference was rejected through a feedback circuit located on the amplifier within the HD-sEMG
146 electrode system. An expert inspected the raw HD-sEMG data for quality assurance, identifying
147 channels that either have no signal or excessive noise (<1% of the channels recorded, only found
148 in five subjects). The data of these channels were substituted by the average value of surrounding
149 channels. As task failure was subjectively determined by each participant, the presence of fatigue
150 was confirmed through an increase rate in average rectified value (ARV) throughout the
151 contraction. Participants that did not exhibit an increasing trend were excluded. The signal-to-
152 noise ratio (SNR) for each subject was calculated to eliminate participants that had noisy EMG
153 signals. This metric represents the ratio of the EMG signal during a contraction over the
154 background noise during the resting periods. The SNR for each recording was calculated using a
155 one second non-overlapping moving window and translating it over the duration of the entire
156 signal. The noise was then determined by taking a one second window of the two-minute rest

* Indicates the corresponding author: jiangning21@wchscu.cn

157 periods in-between MVC contractions at the beginning of the protocol. Signals with excessive
158 noise (mean SNR<12dB over the 64 channels) were excluded from the study. This resulted in 8
159 young and 5 elderly subjects to remain for further analysis.

160 Signals that are recorded using the monopolar configuration are highly susceptible to
161 crosstalk contamination. To mitigate the effects of this, spatial filters such as the Laplacian filter
162 are introduced, as explained in the **2.6** subsection. Following the segmentation of the signal into
163 nonoverlapping 5 second windows, the sEMG features, including average rectified value (ARV),
164 mean frequency (MNF), and median frequency (MDF) were extracted. In addition, PDF shape
165 analysis features, including left shape distance (LSD), right shape distance (RSD) and central
166 shape distance (CSD), were extracted as well. The details of these shape analysis features are
167 presented below in subsection **2.5**. Using the data from the three brisk contractions taken before
168 the fatiguing contractions, an alignment procedure was employed to realign the EMG and torque
169 signals. First, the cross-correlation function between the HD-sEMG signals and the torque signal
170 of the three brisk contractions was calculated. Next, the position of the peak values of the cross-
171 correlation function was identified, which is subsequently used to realign the HD-sEMG signals
172 and the torque properly.

173 **2.4. Torque Analysis**

174 The ability to produce consistent torque while performing a task is known to decline with
175 age (Tracy & Enoka, 2002). As such, the coefficient of variation (CV) was selected to quantify the
176 consistency of the torque throughout the fatiguing contraction for both groups. This was performed
177 by segmenting the acquired torque signal, from the start of the contraction to failure, into non-
178 overlapping 5-second segments. The CV was then calculated for each of these segments for the
179 entire length of the torque signal.

* Indicates the corresponding author: jiangning21@wchscu.cn

2.5. Shape Analysis

Core shape modelling (CSM) is a shape analysis technique that provides an average shape curve and distance measure of shapes that can be used to describe shape dispersion among a set of signals. For the polynomial degree of $k=1$, the average shape curve (formally known as core shape) is invariant to any linear time transforms, which allows for the conservation of shape (S. Boudaoud et al., 2010). The CSM approach has been successfully used to measure the distance of simulated sEMG with non-Gaussian PDF shapes (S. Boudaoud et al., 2014, Ayachi et al., 2014) and in monitoring P-wave modifications with sleep apnea (Boudaoud et al., 2007). These metrics were used as an evaluation criterion in the current study. To generate a core shape (CS) model, a set of N time series s_i positive on their time support and linked in the normalized integral domain F is considered and expressed as:

$$S_i = \Gamma_{cs} \circ \varphi_i \quad (1)$$

where S_i is the normalized integral of the s_i time series, Γ_{cs} is the normalized integral of the CS curve and the φ_i function represents the shape and time variability. $S_i = \Gamma_{cs} \circ \varphi_i$ is shorthand notation for the composition function $S_i = \Gamma_{cs}(\varphi_i(x))$. Suppose that $p(x)$ and $g(x)$ are probability density functions (PDFs) that depict a random sEMG PDF and a Gaussian PDF, respectively. The normalized integrals $p(x)$ and $g(x)$ can be represented using the following equations (S. Boudaoud et al., 2014):

$$P(x) = \frac{\int_{a_p}^x p(u) du}{\int_{a_p}^{b_p} p(u) du}, G(x) = \frac{\int_{a_g}^x g(u) du}{\int_{a_g}^{b_g} g(u) du} \quad (2)$$

where $[a_p, b_p] \subset [-1, 1]$, $[a_g, b_g] \subset [-1, 1]$ are the nonzero supports of $p(x)$ and $g(x)$, respectively. Using the relationship stated in (1) the distribution functions $P(x)$ and $G(x)$ can be connected using a warping function, φ or ψ :

* Indicates the corresponding author: jiangning21@wchscu.cn

$$P(x) = G(x) \circ \varphi_i, G(x) = P(x) \circ \psi_i \quad (3)$$

200 where the time warping function $\psi = \varphi^{-1}$ links both distribution functions and represents the
 201 fluctuations of the CS curve in both shape and abscissa support (S. Boudaoud et al., 2010). For an
 202 accurate PDF analysis, it is important to isolate intrinsic shape variations from variations caused
 203 by first or second order moments. To do this, the warping function is proposed in the following
 204 way:

$$\varphi_i = v \circ A(x), \psi_i = A^{-1}(x) \circ w, w = v^{-1} \quad (4)$$

205 where A is defined as affine polynomial function that accounts the for mean and variance of $p(x)$
 206 and is represented by the function $A(x) = \alpha x + \beta$. Using this relationship, (4) can be written as
 207 follows in the F and F^{-1} respectively (Sofiane Boudaoud et al., 2007).

$$P(x) = G(x) \circ v \circ A(x), G(x) = P(x) \circ A^{-1}(x) \circ w$$

$$P^{-1}(x) = G^{-1}(x) \circ w \circ A^{-1}(x), A(x) \circ P^{-1}(x) = G^{-1}(x) \circ w \quad (5)$$

208 In this context, w , and v , are increasing nonlinear functions that characterize shape fluctuation
 209 present on the same time support in the CS and can be written as:

$$w_i(y) = n_i(y) + y, v_i(y) = m_i(y) + y \quad (6)$$

210 The functions $n_i(y)$ and $m_i(y)$ characterize the amount of intrinsic shape fluctuations present in the
 211 set of curves. Therefore, by rewriting (5) and substituting this equation into the rewritten form, a
 212 function can be derived and simplified as:

$$\alpha P^{-1}(y) + \beta = G^{-1}(y) + n_i(G^{-1}(y)), y \in [0,1] \quad (7)$$

213 where $n_i(G^{-1}(y))$ is the shape difference between the realigned function $\hat{A}(P^{-1}(y))$ and $G^{-1}(y)$. The
 214 α and β values are approximated using a constrained linear regression between $P^{-1}(x)$ and $G^{-1}(x)$.
 215 Using this, three distances, the Center Shape Distance (CSD), that evaluates PDF peakedness, the
 216 Left Shape Distance (LSD) and the Right Shape Distance (RSD), that both evaluate PDF

* Indicates the corresponding author: jiangning21@wchscu.cn

217 assymetry, are proposed to quantify the shape differences between the realigned function and $\hat{A}(P^{-1}(y))$ and $G^{-1}(y)$. These distances exhibited robustness against noise and small sample effect
 218 compared to classical high order statistics (S. Boudaoud et al. 2014). They are defined as follows
 219 for two PDFs p, q in the continuous domain (numerical expression are available):
 220

$$CSD_{(p,q)} = \sqrt{\int_{0.4}^{0.6} (\alpha P^{-1}(y) + \beta - G^{-1}(y))^2 dy} \quad (8)$$

$$LSD_{(p,q)} = \sqrt{\int_0^{0.25} (\alpha P^{-1}(y) + \beta - G^{-1}(y))^2 dy} \quad (9)$$

$$RSD_{(p,q)} = \sqrt{\int_{0.75}^1 (\alpha P^{-1}(y) + \beta - G^{-1}(y))^2 dy} \quad (10)$$

221 2.6. EMG Spatial Filtering

222 The 64-channel electrode grid allowed spatial filtering to allow better spatial resolution. In
 223 this study, a classic Laplacian spatial filter was used. It is a spatially high-pass filter and is more
 224 sensitive to the activities of the superficial motor units of the muscle directly below the
 225 measurement site (Fukuoka et al., 2013). As such, it is also less susceptible to surface EMG
 226 crosstalk (Fukuoka et al., 2013). It has been also observed that Laplacian filtering increases PDF
 227 shape changes in sEMG signals related to MU control scheme modifications (Ayachi et al. 2014).

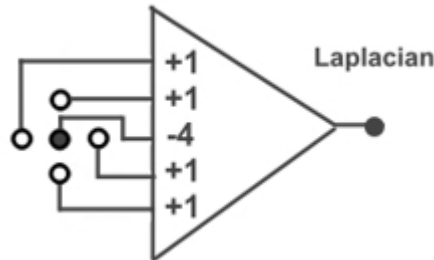


Figure 2: Laplacian Configuration of Electrodes in HD-sEMG

* Indicates the corresponding author: jiangning21@wchscu.cn

228 The Laplacian filter is set up by assigning fixed weighting factors (see Figure 2) to one electrode
229 and other electrodes surrounding it, and the output of the filter is the weighted summation of all
230 the channels, i.e. one virtual sEMG signal. In the current study, a Laplacian configuration was
231 used and moved over the grid. Thus, 36 Laplacian channels were obtained from the 64 monopolar
232 ones.

233 2.7. HD-sEMG Feature Extraction

234 For assessing muscular fatigue, three widely used features were extracted from the HD-
235 sEMG signal after Laplacian filtering. In the time domain, the average rectified value (ARV) was
236 used to characterize the amplitude, which helped give insight into the muscle activities under
237 fatiguing conditions (Al-Mulla et al., 2011). The ARV was calculated by using the following
238 discrete equation:

$$\text{ARV} = \frac{1}{N_{tot}} \sum_{i=1}^{N_{tot}} |x_i| \quad (11)$$

239 where N_{tot} is the number of samples chosen in the time window, and x_i is the i^{th} sample of the
240 analyzed sEMG signal. In the frequency domain, the mean frequency (MNF) and median
241 frequency (MDF), from power spectral density (PSD) estimated by Welch method, was used to
242 represent the sEMG spectral component during fatiguing contractions. Indeed, they are known to
243 reflect the muscle fiber conduction velocity (Merletti et al., 1990a). For both spectral indices, every
244 five second window of the sEMG signal throughout the fatiguing contraction was further split into
245 five smaller time-windows. For each window within the five sub-windows the periodogram has
246 been estimated and then averaged over the five sub-windows. The following discrete expressions
247 were used to calculate the mean frequency and median frequencies:

* Indicates the corresponding author: jiangning21@wchscu.cn

$$MNF = \frac{\sum_{k=1}^{F_{tot}} f_k S_k}{\sum_{k=1}^{F_{tot}} S_k} \quad (12)$$

$$\sum_{k=1}^{MDF} S_k = \sum_{k=MDF}^{F_{tot}} S_k \quad (13)$$

248 where F_{Tot} is the total frequency bins and S_k is the magnitude of the power spectrum at the k th bin.

249 Using the CSM approach presented in section 2.5, the effect of muscular fatigue was
 250 analyzed by generating a PDF of the spatially filtered sEMG signal. For this, the Gaussian curve
 251 is used as a reference and the PDF of the sEMG signal was estimated by a kernel density estimator.
 252 The LSD, RSD and CSD shape parameters extracted from the CSM were charted throughout the
 253 protocol and the trend of each parameter was investigated throughout the entire time course of the
 254 signal. Similarly, the time/spectral parameters were tracked throughout the protocol to observe
 255 how to accumulation of fatigue affects each parameter, as commonly seen in the literature (Cifrek
 256 et al., 2009; Georgakis et al., 2003).

257 2.8. Statistical Analysis

258 For each of the young and elderly groups, the trend of the ARV, MDF and MNF parameters
 259 were calculated using a linear regression to observe any differences in the trend of each parameter.
 260 Mann-Whitney U tests were employed to investigate whether they varied significantly across both
 261 age groups in the study. The shape distances extracted through shape analysis were calculated from
 262 Laplacian HD-sEMG signals and averaged over the 36 channels. To compare the effect each state
 263 had on the three shape distances, a linear regression was performed to investigate the change of
 264 each shape parameter during the fatigue protocol. The slopes derived from the regression analysis
 265 was then averaged for each group and a Mann-Whitney U test was used to test for significance.
 266 For all analyses, the level of significance was set to 0.05.

* Indicates the corresponding author: jiangning21@wchscu.cn

* Indicates the corresponding author: jiangning21@wchscu.cn

268 3. Results

269 3.1. Torque Analysis

270 The coefficient of variation (CV) of the torque was charted in 5 second bins throughout the
271 entirety of the recorded signal for both young and elderly groups, as seen in Figure 3A and
272 3C. Within each group, a linear regression revealed that the CV generally increased as the
273 contraction approached fatigue, which is consistent with the literature (Hunter et al., 2005; Ng et
274 al., 2003). However, the observed change was not significant within either group when comparing
275 CV at the start of the contraction and just before task failure, as seen in Figure 3D. The Mann-

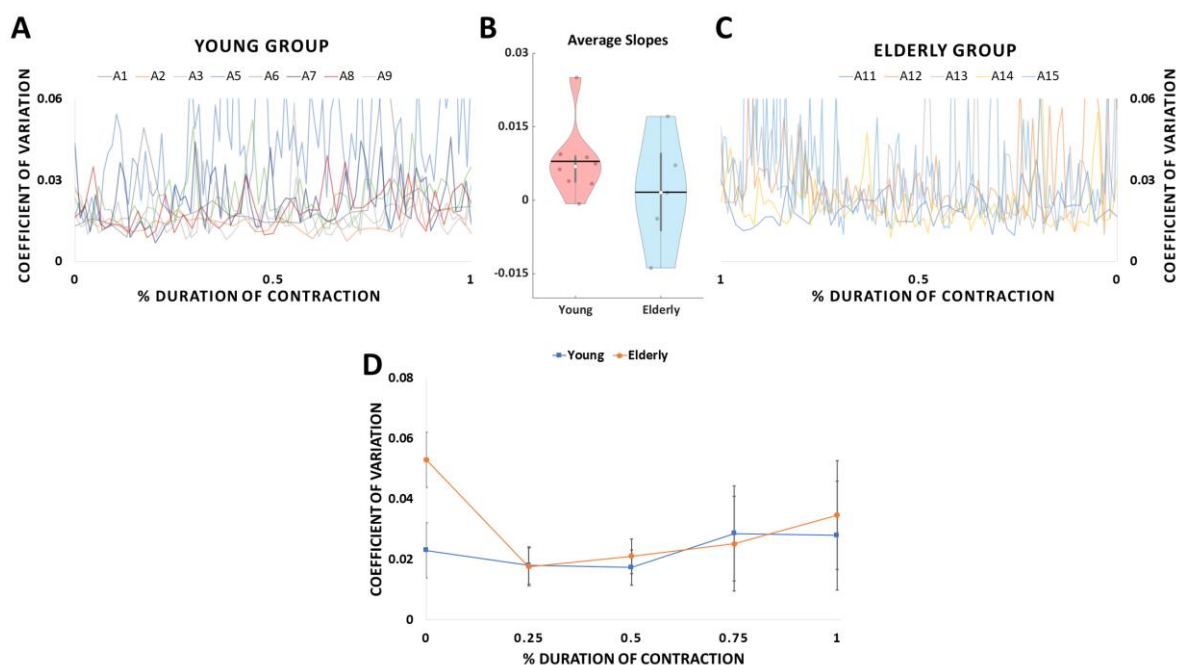


Figure 3: Coefficient of Variation (CV) values calculated in 5 second bins along a normalized time axis for each subject, with (A) being the young group and (C) being the elderly group. (B) Violin plot depicting the distribution of CV slopes derived from Linear Regression analysis of subjects throughout the length of the contraction. (D) Fluctuations in torque quantified as the coefficient of variation (CV) for the torque exerted by the biceps. Mean (\pm SD) CV of the torque is shown for young and elderly men for 5-s intervals at the start, 25,50,75 and 100% of the time the sustained contraction reached task failure.

276 Whitney U test revealed that there was no significant difference in CV between the beginning of
277 the contraction and just before failure in the young ($p=0.1949$) and elderly ($p=0.4206$) groups.
278 Comparison of the average CV between both groups at the beginning ($p=0.0655$) and before failure

* Indicates the corresponding author: jiangning21@wchscu.cn

279 (p=0.3054) also revealed no significant difference. The slopes of the trend lines calculated using
 280 linear regression, are shown using violin plots in Figure 3B (Bechtold, 2016). This revealed that
 281 the young group had a higher average slope of 0.007 ± 0.007 when compared to the elderly group
 282 which had a slope of 0.001 ± 0.013 . Although the young group was higher, there was no significant
 283 difference between the CV slopes of both groups ($p=0.2844$).

284 3.2. Time and Frequency Analysis

285 The Figure 4 shows the rate of change of the ARV, MNF, and MDF parameters throughout
 286 the contraction. In general, ARV parameter increased in the fatiguing conditions, while MNF and
 287 MDF parameters decreased, which is expected and in agreement with other reports in the literature
 288 (Cifrek et al., 2009; Daanen et al., 1990; Merletti et al., 1990b).

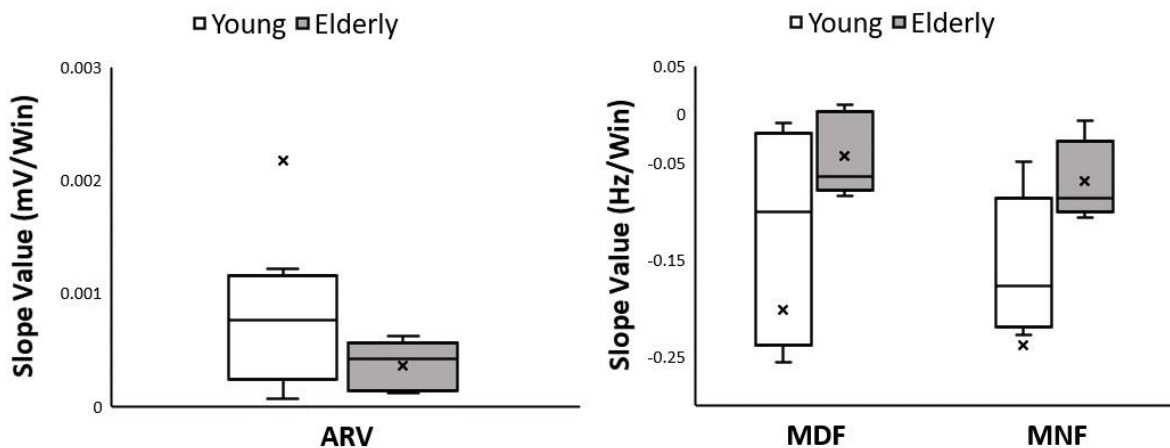


Figure 4: Boxplot of the slopes extracted from the linear regression of the conventional sEMG features, i.e., ARV, MDF and MNF and non-overlapping 5 windows (Win) throughout the fatiguing contraction for young (white) and elderly (grey) subjects. The mean of each group is shown through the 'x' marker.

289 More interestingly, the two frequency domain indices (MNF and MDF) showed slightly
 290 larger changes in young population than in the elderly group. The median MNF and MDF of the
 291 young population decreased at a rate of -0.101Hz/Win and -0.176Hz/Win respectively as the

* Indicates the corresponding author: jiangning21@wchscu.cn

292 contraction reached mechanical failure. The elderly population only decreased -0.063Hz/Win and
 293 -0.086Hz/Win for the same indices. No meaningful difference was found in ARV, with the ARV
 294 increasing $-0.757\mu\text{V/Win}$ for the young group and the elderly group increasing at a lesser rate of
 295 $-0.422\mu\text{V/Win}$. However, due to large variability in the data, Mann-Whitney U tests between both
 296 groups reported non-significant changes for the time domain feature ARV ($p=0.127$) as well as
 297 frequency domain features MDF ($p=0.435$) and MNF ($p=0.354$).

298 3.3. Shape Analysis

299 The PDF shape distances throughout the contraction, between the different populations (young
 300 and elderly) were quantified using the LSD, RSD and CSD shape parameters. Shape parameter
 301 analysis revealed that the elderly group exhibited different changes in average shape parameter
 302 values during sustained contraction when compared to the young group. This was quantified using
 303 a linear regression which computed the average shape parameter, for each subject with respect to

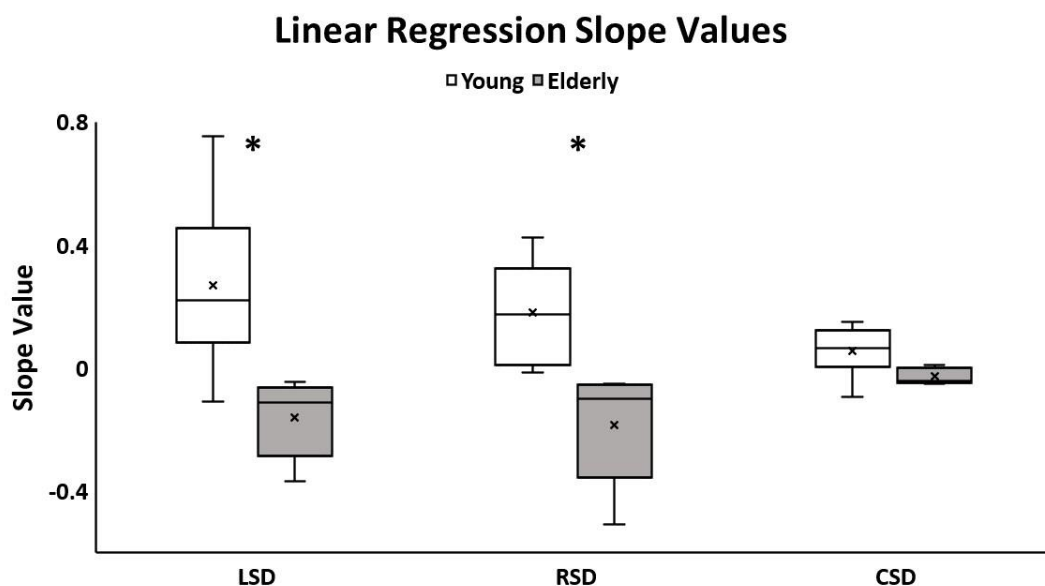


Figure 5: Average slope value (derived from linear regression) of each shape parameter (LSD, RSD, CSD) calculated from the start of the contraction to task failure. This is shown for both young (white) and elderly (black) groups. * Indicates significant differences ($p < 0.05$) between both groups.

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304 the time axis normalized to the length of the sustained contraction, as shown in Figure 5**Error!**
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306 Interestingly, within the young group, all shape parameters displayed positive slope values, with
307 the LSD shape parameter increasing the most with an average slope of 0.268 ± 0.256 . The RSD
308 and CSD shape parameters increased at a slightly slower rate with average slopes of 0.176 ± 0.154
309 and 0.053 ± 0.074 , respectively. In contrast, the elderly group results showed that the shape
310 parameters generally decreased as the contraction went on. The RSD parameter decreased at the
311 most rapid rate with a slope of -0.185 ± 0.171 , while LSD and CSD parameters decreased
312 moderately with slopes of -0.162 ± 0.115 and -0.028 ± 0.024 , respectively. Mann-Whitney U test
313 revealed that there was a significant difference for the LSD ($p=0.006$) and RSD ($p=0.001$) shape

* Indicates the corresponding author: jiangning21@wchscu.cn

314 parameter slopes between both groups and non-significant differences in CSD ($p=0.065$). This
 315 change in shape parameters as subjects approach failure in both young and elderly groups are
 316 depicted in Figure 6.

317 4. Discussion

318 The primary goal of this preliminary study was to compare differences in muscle fatigue
 319 development of the biceps brachii for young and elderly males during sustained low effort (20%
 320 MVC) contractions. Widely used torque and sEMG parameters in the detection of muscle fatigue

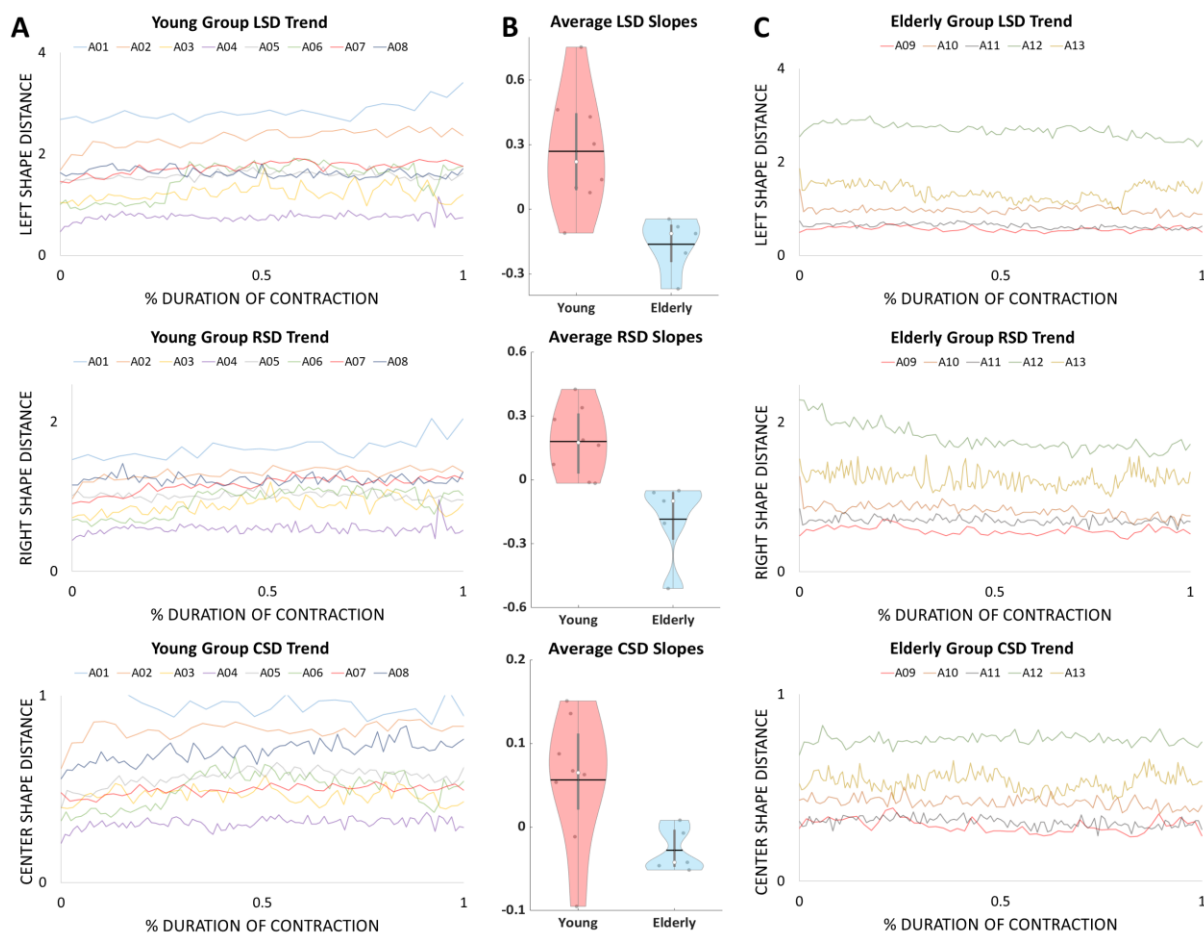


Figure 6: Shape parameters extracted from experimental data recorded using Laplacian configuration during sustained fatiguing contraction. Column A, Shape parameters, from top to bottom, left shape distance (LSD); right shape distance (RSD); center shape distance (CSD) calculated from the beginning of the contraction (0) to failure (1) for the young group. Column C, from top to bottom: Shape parameters calculated for the elderly group. Column B depicts the average slopes of each shape parameter derived from linear regression analysis of subjects throughout the length of the contraction.

* Indicates the corresponding author: jiangning21@wchscu.cn

321 were employed, discovering no difference in fatigue development between young and elderly
322 groups. As a result, one can conclude that these parameters may not be robust enough to capture
323 these age-related differences. Using the described CSM formalism, shape distance parameters
324 (LSD, RSD and CSD) were able to distinguish between the age groups. The new findings indicate
325 that there was an increase in the LSD and RSD parameters in the young group as the contraction
326 reached failure. In contrast, the elderly group displayed a decreasing trend for the same parameters.
327 Thus, it appears that contribution of MU synchronization, as characterized by increasing shape
328 parameter values, related to a departure from Gaussianity, was increased in the young group as
329 muscle fatigue developed (see next section for details).

330 A section of this study was designed to investigate the variability of torque throughout the
331 entire length of the bicep sustained contraction task in both young and elderly males. Linear
332 regression analysis revealed that torque variability was not significantly different between both
333 groups (Figure 3). Many studies have investigated the effect that age has on the variability of
334 torque while producing consistent, submaximal isometric torques (Galganski et al., 1993; Laidlaw
335 et al., 2000; Tracy & Enoka, 2002). These studies typically evaluate the torque variability using
336 either the coefficient of variation or standard deviation of the torque signal. The results found in
337 this study are generally consistent with the literature, with the elderly group having higher force
338 fluctuations throughout the sustained contraction. At the start of the contraction, the elderly group
339 had higher magnitudes of fluctuations, which has also been seen in other studies. This behavior
340 could stem from a more variable discharge rate resulting from aged active motor units (Hunter et
341 al., 2005; Laidlaw et al., 2000; Tracy et al., 2005). Linear regression analysis revealed that the
342 young group had a more rapid increase in torque fluctuations as the contraction continues toward

* Indicates the corresponding author: jiangning21@wchscu.cn

343 fatigue when compared to the elderly group. This is likely due to a more rapid rate of recruitment
344 of motor units that have increased discharge rate variability during the fatiguing task (Hunter et
345 al., 2005; Moritz et al., 2005). Though these changes were consistent with the literature, the
346 changes were not statistically significant between both groups, suggesting that this metric is not
347 sensitive enough to capture age-related differences in fatigue development.

348 **4.1. PDF Shape Parameter Slope Differences between Young and Elderly groups**

349 To find a more robust methodology to assess age-related differences in muscle fatigue
350 development, the CSM approach was adopted, and shape parameters were extracted from the HD-
351 sEMG amplitude PDF after Laplacian filtering. The analysis results revealed that all shape
352 parameters in the young group increased as the contraction approached mechanical failure. On the
353 contrary, all shape parameters in the old group decreased, with LSD and RSD parameters being
354 significantly different from the young group. This behavior suggested that the elderly group relied
355 less on MU synchronization as the PDFs of the Laplacian HD-sEMG signal became more Gaussian
356 as the contraction approached mechanical failure. Conversely, the increasing shape parameters for
357 the young population suggest that there is likely an increase in MU synchronization. This behavior
358 in young subjects is also consistent with another study that investigated MU synchronization and
359 muscle fatigue at low (20-30% MVC) contraction levels (Holtermann et al., 2009).

360 The differences in the MU synchronization between both groups contrasts with a previous
361 study, which adopted much higher levels of contraction (70% MVC). It was shown that the elderly
362 group displayed more MU synchronization than the young group (Boccia et al., 2015). The
363 discrepancies in the results could be attributed to how the neuromuscular physiology of the aged
364 muscle reacts to differing contraction levels. With aging, it is well known that the number and size
365 of type II (fast) MUs are reduced and remaining MUs have higher innervation ratios (Wu et al.,

* Indicates the corresponding author: jiangning21@wchscu.cn

366 2020). This can potentially result in aged muscle reacting differently during sustained contractions
367 of varying intensities. This is evidenced by the fact that higher contraction forces typically use a
368 considerable amount of type II MUs in order to sustain the contraction (Sale, 1987). Considering
369 this, it can be postulated that the remaining type II MUs increase in synchrony to sustain the higher
370 contraction forces. Aged MUs are known to innervate more muscle fibers of the slow twitch type
371 (Campbell et al., 1973), potentially making it more efficient at sustaining lower force contractions
372 thus causing a reduction in MU synchronization. However, this has yet to be validated in the
373 literature thus warranting additional studies on the effect of contraction intensity on MU
374 synchronization in the elderly.

375 The differences in MU synchronization and recruitment between both age groups can be
376 attributed to different MU type distributions within the muscle anatomy of young and elderly
377 subjects. When comparing the MU distribution in the Biceps Brachii of both groups, the elderly
378 exhibited a lower number and size of type II MUs (Klein C.S et al. 2003). This can lead to modified
379 synchronization and recruitment strategy during fatigue development. This suggests that the aged
380 muscle can rely more on resistant type I (slow) MUs when compared to young muscle that has
381 more type II MUs that are more fatigable and perhaps more solicited during long sustained
382 contractions even at low-level isometric contraction level explaining increased torque CV slopes
383 for young subjects compared to elder ones (see Figure 6). This should have a strong impact on the
384 MU recruitment and synchronization scheme during fatigue and can explain the significant
385 differences observed in this study. In fact, increasing recruitment of type II (rapid) superficial
386 MUs, combined with specific synchronization strategies and better Laplacian detection, can
387 explain the positive slope observed in the young category due to increased non-Gaussianity

* Indicates the corresponding author: jiangning21@wchscu.cn

388 behavior. In contrary, older subjects seem to recruit deeper type I (slow) MUs and less type II
389 (rapid) MUs, as supposed before, with less synchronization and different recruitment scheme that
390 can explain a return to Gaussianity toward task failure, and the observed negative slope.

391 A recent simulation study has demonstrated the impact of MU type distribution on the PDF
392 shape distance trends from HD-sEMG signals with varying contraction level but with not varying
393 synchronization using a multiscale neuromuscular model (M. Al Harrach et al. 2017). However, a
394 direct link has been demonstrated, in simulation, between the level of MU synchronization and
395 PDF shape modifications (departure from Gaussianity) of sEMG signals using CSM formalism (S.
396 Boudaoud et al., 2010). Thus, specific fatigue simulation scenario, using recent neuromuscular
397 modelling (Carriou et al 2018), are definitively needed to test the proposed hypotheses, namely,
398 type dependent MU recruitment scheme and synchronization, occurring during fatigue in future
399 studies.

400 4.2. **Implications**

401 The results from the current study agreed with the existing evidence that MU
402 synchronization is a significant factor in the development of muscle fatigue (Dartnall et al., 2008).
403 This experiment also provides new insight into the difference of MU synchronization and
404 recruitment in the fatigue development between young and elderly age groups. Thus, shape
405 parameters revealed that the younger group seems to exhibit increasing level of MU
406 synchronization as the low-level contraction approached failure, while the elderly group showed
407 decreasing level. This antagonist behavior with aging cannot be related to simple well-known
408 conduction velocity decrease with fatigue. This showcases that the proposed PDF shape analysis
409 methodology is more sensitive to fatigue-related functional changes in elderly people when
410 compared to conventional approaches, such as torque analysis and conventional sEMG features in

* Indicates the corresponding author: jiangning21@wchscu.cn

411 time and frequency domain. As such, the proposed methodology provides insight into
412 neuromuscular changes such as motor unit synchronization and distinguishes between different
413 age groups during fatiguing contractions. In this study, indices averaging over the grid has been
414 favored. This choice is justified for providing simple, robust and comprehensive metrics for the
415 next clinical studies with larger cohorts. Thus, the proposed scalar indices simplify the statistical
416 comparative study to measure aging effect on muscle fatigue. Naturally, further studies are planned
417 to better assess the spatial repartition of PDF deformations over the HD-sEMG grid, measured by
418 shape CSM formalism, with fatigue and aging in a near future.

419 4.3. **Limitations**

420 A key limitation to this study is the relatively small size of the studied cohort. Multiple
421 reasons exist for the excess exclusion of elderly males such as increased subcutaneous fat in the
422 arm regions, adversely affecting the sEMG signal quality. Another limitation is that we were
423 unable to distinguish if the elderly participants were undergoing fatiguing contractions. As the user
424 was told to go until failure, there is some uncertainty in how each user perceives failure. This is
425 especially noticed in elderly participants as spectral analysis revealed positive trends in their MDF
426 meaning that they perceive failure before their muscles fatigue. Future studies will focus on the
427 recruitment of larger cohorts and will develop procedures to ensure that they truly exhibit muscle
428 fatigue. The gender effect and possible relationship with muscle atrophy was also not investigated
429 in the study and should be included in future studies.

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* Indicates the corresponding author: jiangning21@wchscu.cn

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581

* Indicates the corresponding author: jiangning21@wchscu.cn

582 **Figure Captions**

583 Figure 1: High Density sEMG (HD-sEMG) electrode grid placement on subject and numerical
584 reference of the electrodes 2

585 Figure 2: Laplacian Configuration of Electrodes in HD-sEMG 2

586 Figure 3: Coefficient of Variation (CV) values calculated in 5 second bins along a normalized
587 time axis for each subject, with (A) being the young group and (C) being the elderly group. (B)
588 Violin plot depicting the distribution of CV slopes derived from Linear Regression analysis of
589 subjects throughout the length of the contraction. (D) Fluctuations in torque quantified as the
590 coefficient of variation (CV) for the torque exerted by the biceps. Mean (\pm SD) CV of the torque
591 is shown for young and elderly men for 5-s intervals at the start, 25,50,75 and 100% of the time
592 the sustained contraction reached task failure. 2

593 Figure 4: Boxplot of the slopes extracted from the linear regression of the conventional sEMG
594 features, i.e., ARV, MDF and MNF and non-overlapping 5 windows (Win) throughout the
595 fatiguing contraction for young (white) and elderly (grey) subjects. The mean of each group is
596 shown through the ‘x’ marker. 2

597 Figure 5: Average slope value (derived from linear regression) of each shape parameter (LSD,
598 RSD, CSD) calculated from the start of the contraction to task failure. This is shown for both
599 young (white) and elderly (black) groups. * Indicates significant differences ($p < 0.05$) between
600 both groups..... 2

601 Figure 6: Shape parameters extracted from experimental data recorded using Laplacian
602 configuration during sustained fatiguing contraction. Column A, Shape parameters, from top to
603 bottom, left shape distance (LSD); right shape distance (RSD); center shape distance (CSD)

* Indicates the corresponding author: jiangning21@wchscu.cn

604 calculated from the beginning of the contraction (0) to failure (1) for the young group. Column
605 C, from top to bottom: Shape parameters calculated for the elderly group. Column B depicts the
606 average slopes of each shape parameter derived from linear regression analysis of subjects
607 throughout the length of the contraction. 2
608

* Indicates the corresponding author: jiangning21@wchscu.cn