#### Eric Hanson<sup>1</sup>

Alain Joye<sup>2</sup>, Yan Pautrat<sup>3</sup>, Renaud Raquépas<sup>1</sup>

<sup>1</sup>McGill University, Montréal, QC,

<sup>2</sup>Institut Fourier, Saint-Martin d'Hères, France

<sup>3</sup>Université Paris-Saclay, Orsay, France

February 11, 2016

### Outline

#### Three ingredients

- 1. Landauer's Principle
- 2. Adiabatic theorems
- 3. Repeated Interaction Systems

#### Combining the ingredients

Two tools

- 1. An adiabatic theorem for RIS
- 2. Perturbation of relative entropy

Entropy production of RIS in adiabatic limit

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our work arxiv/1510.00533

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└-1. Landauer's Principle

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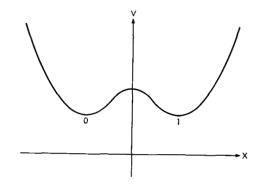
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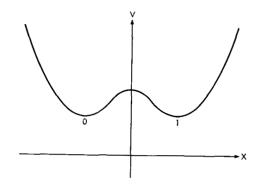
## Landauer's setup (1/4)



#### Figure 1 Bistable potential well. x is a generalized coordinate representing quantity which is switched.

- Three ingredients
  - 1. Landauer's Principle

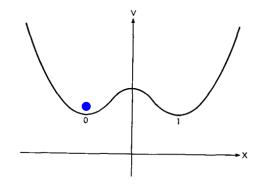
### Landauer's setup (1/4)Goal: Erasure process: $E : \{0, 1\} \rightarrow \{0\}$ .



#### Figure 1 Bistable potential well. x is a generalized coordinate representing quantity which is switched.

- Three ingredients
  - └-1. Landauer's Principle

# Landauer's setup (2/4) $_{0 \rightarrow 0}$

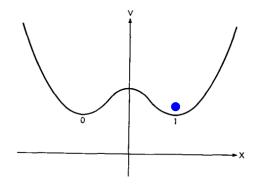


If the system is in 0, we don't have to do anything to erase.

— Three ingredients

└-1. Landauer's Principle

# Landauer's setup (3/4) $_{1 \rightarrow 0}$

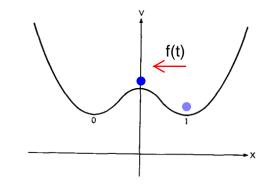


Now consider if the system starts in state 1

— Three ingredients

└-1. Landauer's Principle

# Landauer's setup (3/4) $_{1 \rightarrow 0}$

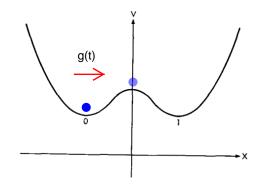


To get to zero, we could apply a force f(t) to the left

— Three ingredients

└-1. Landauer's Principle

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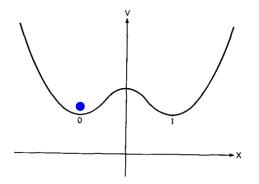


Then remove the energy we added with a force g(t) to the right

— Three ingredients

1. Landauer's Principle

# Landauer's setup (3/4) $_{1 \rightarrow 0}$



Resulting in the system "erased" in state zero without any net energy cost.

- -Three ingredients
  - └-1. Landauer's Principle

### Landauer's setup (4/4)Landauer's formulation

- Three ingredients
  - └-1. Landauer's Principle

# Landauer's setup (4/4) Landauer's formulation

#### Wrong.

▶ We used two different processes depending on the initial state

- Three ingredients
  - -1. Landauer's Principle

### Landauer's setup (4/4)Landauer's formulation

- ▶ We used two different processes depending on the initial state
- Our erasure operation should take any initial state ρ<sub>i</sub> to the given final state ρ<sub>f</sub>

- Three ingredients
  - -1. Landauer's Principle

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- $\blacktriangleright$  Our erasure operation should take any initial state  $\rho_{\rm i}$  to the given final state  $\rho_{\rm f}$ 
  - Landauer's motivation [Lan61]: computers operate as a function of circuit connections, not the specific data being handled

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- ▶ Landauer 1961: If we had friction, this would work (with  $g(t) \equiv 0$ )
  - On the other hand, our erasure map E cuts the size of phase space; since entropy shouldn't decrease, we must have heat output.

— Three ingredients

└-1. Landauer's Principle

# Modern (finite-dimensional) quantum formulation (1/3) The process

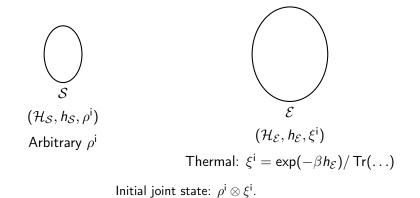


Initial joint state:  $\rho^{i} \otimes \xi^{i}$ .

Three ingredients

└─1. Landauer's Principle

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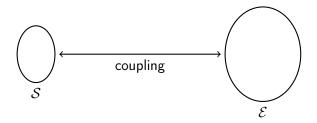


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— Three ingredients

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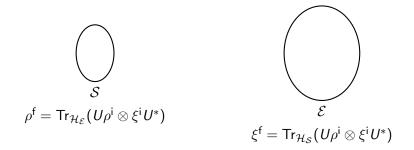


Time evolution by unitary U...

— Three ingredients

└─1. Landauer's Principle

# Modern (finite-dimensional) quantum formulation (1/3) The process



Final joint state:  $U\rho^{i} \otimes \xi^{i}U^{*}$ .

— Three ingredients

└-1. Landauer's Principle

Modern (finite-dimensional) quantum formulation (2/3)Quantities of interest

 $\mathsf{Def:}\ S(\rho) := -\operatorname{\mathsf{Tr}}\rho\log\rho, \qquad S(\eta|\nu) := \operatorname{\mathsf{Tr}}\left(\eta(\log\eta - \log\nu)\right)$ 

— Three ingredients

└-1. Landauer's Principle

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- Def:  $S(\rho) := -\operatorname{Tr} \rho \log \rho$ ,  $S(\eta | \nu) := \operatorname{Tr} (\eta (\log \eta \log \nu)) \ge 0$ . • Entropy change of system:  $\Delta S_S := S(\rho^i) - S(\rho^f)$

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- Energy change of reservoir:  $\Delta Q_{\mathcal{E}} := \operatorname{Tr}(h_{\mathcal{E}}\xi^{f}) \operatorname{Tr}(h_{\mathcal{E}}\xi^{i}).$

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  - Entropy production:  $\sigma := S(U\rho^{i} \otimes \xi^{i} U^{*} | \rho^{f} \otimes \xi^{i}) \geq 0$

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• Computation of  $\sigma$  using  $\xi^{i}$  is Gibbs:

$$\begin{split} \sigma &= -S(U\rho^{i} \otimes \xi^{i}U^{*}) - \mathsf{Tr}\left(U\rho^{i} \otimes \xi^{i}U^{*}\left(\log\rho^{f} \otimes \mathrm{Id}\right)\right) \\ &- \mathsf{Tr}\left(U\rho^{i} \otimes \xi^{i}U^{*}\left(\mathrm{Id} \otimes \log\xi^{i}\right)\right) \\ &= -S(\rho^{i} \otimes \xi^{i}) + S(\rho^{f}) - \mathsf{Tr}(\xi^{f}\log\xi^{i}) \\ &= -S(\rho^{i}) - S(\xi^{i}) + S(\rho^{f}) - \mathsf{Tr}(\xi^{f}\log\xi^{i}) \\ &= -\Delta S_{\mathcal{S}} + \beta \Delta Q_{\mathcal{E}}. \end{split}$$

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-1. Landauer's Principle

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└-1. Landauer's Principle

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 $\sigma \geq \mathbf{0}$ 

-Three ingredients

└-1. Landauer's Principle

### Modern (finite-dimensional) quantum formulation (3/3)The Principle

#### $\sigma \geq \mathsf{0} \quad \Longrightarrow \quad$

— Three ingredients

└-1. Landauer's Principle

### Modern (finite-dimensional) quantum formulation (3/3)The Principle

$$\sigma \geq 0 \quad \Longrightarrow \quad \Delta Q_{\mathcal{E}} \geq \beta^{-1} \Delta S_{\mathcal{S}}.$$

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Special case: Erasure process of qubit:  $\mathcal{H}_{S} = \mathbb{C}^{2}$ ,  $\rho^{i} = \frac{1}{2}Id$ ,  $\rho^{f} = |0\rangle \langle 0|$ . Then  $\Delta S_{S} = \log 2$ , and LP becomes

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 $\Delta Q_{\mathcal{E}} \geq T \log 2. \qquad (T = \beta^{-1}, k_{\mathsf{B}} \equiv 1)$ 

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└-1. Landauer's Principle

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In fact, tighter bounds exist in finite dimensions [RW14].

- Three ingredients
  - └-2. Adiabatic theorems

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Entropy production of RIS in adiabatic limit

Landauer's Principle in Repeated Interaction Systems — Three ingredients

└-2. Adiabatic theorems

### The adiabatic limit

In this unitary time evolution set up, we write Schrödinger's equation

$$i \frac{\mathrm{d}}{\mathrm{d}s} U(s) = h(s)U(s), \ s \in [0,1], \ \text{with} \ U(0) = \mathrm{Id}.$$

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► Then adiabatic limit concerns the solution U<sub>T</sub>(s) of the rescaled Schrödinger equation

$$i \frac{\mathrm{d}}{\mathrm{d}t} U_T(t) = h(t/T) U_T(t), \ t \in [0, T], \text{ with } U_T(0) = \mathrm{Id},$$

in the limit  $T \to \infty$ .

- -Three ingredients
  - └\_2. Adiabatic theorems

#### Kato's adiabatic theorem

Many, but Kato's is representative [Kat50].

- Three ingredients
  - 2. Adiabatic theorems

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- 2. e(s) separated from the rest of the spectrum by a constant gap.

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Kato: Then there exists a unitary operator-valued function  $[0, T] \ni t \mapsto W(t)$  that

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- 1. Intertwine with P: W(t)P(0) = P(t/T)W(t).
- 2. Approximate time evolution on Ran P(0):

$$\left(U_{T}(t) - \exp\left(-iT\int_{0}^{t/T} e(s) ds\right) W(t)\right) P(0) = O(T^{-1})$$
  
uniformly in  $t \in [0, T]$ .

Three ingredients

2. Adiabatic theorems

## Adiabatic limit of Landauer's Principle

At fixed T > 0, we can consider the quantities  $\Delta S_T$ ,  $\Delta Q_T$  defined through the time evolution  $U_T = U_T(1)$ . Then we obtain our balance equation

$$\Delta S_T + \sigma_T = \beta \Delta Q_T,$$

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Three ingredients

2. Adiabatic theorems

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▶ In [JP14], the authors use a different adiabatic theorem and show  $\sigma_T \rightarrow 0$ , when the reservoir is infinite dimensional, with the help of an ergodicity assumption.

- Three ingredients
  - -3. Repeated Interaction Systems

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Entropy production of RIS in adiabatic limit

- -Three ingredients
  - └-3. Repeated Interaction Systems

# RIS Setup (1/2)

1. System  ${\cal S}$  starts in state  $ho^{\rm i}$ 

- Three ingredients
  - └-3. Repeated Interaction Systems

## RIS Setup (1/2)

- 1. System  ${\cal S}$  starts in state  $ho^{\rm i}$
- 2. S interacts with a chain of probes  $\{\mathcal{E}_k\}_{k=1}^{\infty}$ , one at a time.

— Three ingredients

-3. Repeated Interaction Systems

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$$U_k := \exp\left(-i\tau_k(h_{\mathcal{S}}\otimes \mathrm{Id} + \mathrm{Id}\otimes h_{\mathcal{E}_k} + \lambda_k v_k)\right)$$

— Three ingredients

└-3. Repeated Interaction Systems

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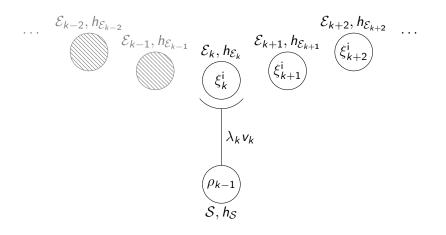
$$U_k := \exp\left(-i\tau_k(h_{\mathcal{S}}\otimes \mathrm{Id} + \mathrm{Id}\otimes h_{\mathcal{E}_k} + \lambda_k v_k)\right)$$

4. We trace out  $\mathcal{E}_k$  to obtain the system state

$$\rho_k = \operatorname{Tr}_{\mathcal{E}} (U_k(\rho_{k-1} \otimes \xi_k^{\mathsf{i}}) U_k^*).$$

- Three ingredients
  - └-3. Repeated Interaction Systems

RIS Setup (2/2)



- -Three ingredients
  - └-3. Repeated Interaction Systems

### Simplifications

• Here we will take  $\tau_k \equiv \tau > 0$  constant.

- Three ingredients
  - -3. Repeated Interaction Systems

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- -Three ingredients
  - └-3. Repeated Interaction Systems

# Favorite example(s) (1/2)

• Qubits: 
$$\mathcal{H}_{\mathcal{S}} = \mathbb{C}^2$$
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## Favorite example(s) (2/2)

Two choices of potential for our qubits:

- -Three ingredients
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We will consider both potentials.

- Three ingredients
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## Reduced dynamics

▶ We can consider only the dynamics on the system. Define

$$\begin{array}{cccc} \mathcal{L}_k : & \mathcal{I}_1(\mathcal{H}_{\mathcal{S}}) & \to & \mathcal{I}_1(\mathcal{H}_{\mathcal{S}}) \\ & \eta & \mapsto & \mathsf{Tr}_{\mathcal{E}} \left( U_k(\eta \otimes \xi_k^i) U_k^* \right) \end{array}$$

where  $\mathcal{I}_1(\mathcal{H}_S)$  are the trace-class operators on  $\mathcal{H}_S$ , i.e., all linear operators.

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#### Then

$$\rho_k = \mathcal{L}_k \mathcal{L}_{k-1} \cdots \mathcal{L}_1 \rho^{\mathsf{i}}.$$

This is a *Markovian* form for the sequence of states  $(\rho_k)_k$ .

- Three ingredients
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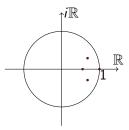
### Reduced dynamics in our favorite examples

With both v<sub>RW</sub> and v<sub>FD</sub>, we've computed a 4x4 matrix representation of the reduced dynamics L(β) in terms of E, E<sub>0</sub>, β, τ and λ. Recall only β changes with the step, so we may obtain L<sub>k</sub> = L(β<sub>k</sub>).

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Numerically obtained eigenvalues of  $\mathcal{L}_{FD}$  with  $\lambda = 2$ ,  $\tau = 0.5$ ,  $E_0 = 0.8$ , and E = 0.9. The evals of  $\mathcal{L}_{FD}$  are independent of  $\beta$ .

- -Three ingredients
  - └-3. Repeated Interaction Systems

## Properties of the reduced dynamics

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▶ However, in general, we could have  $\|\mathcal{L}_k\| > 1$  when considered as an operator on  $(\mathcal{I}_1(\mathcal{H}_S), \|\cdot\|_2)$ , where  $\|A\|_2 = \sqrt{\operatorname{Tr}(A^*A)}$ .

## Outline

#### Three ingredients

- 1. Landauer's Principle
- 2. Adiabatic theorems
- 3. Repeated Interaction Systems

#### Combining the ingredients

#### Two tools

- 1. An adiabatic theorem for RIS
- 2. Perturbation of relative entropy

Entropy production of RIS in adiabatic limit

### Landauer's Principle at step k of an RIS

► The entropy change of S and energy change of E<sub>k</sub> at step k is given by

$$\Delta S_k := S(\rho_{k-1}) - S(\rho_k) = S(\rho_{k-1}) - S(\mathcal{L}_k(\rho_{k-1})),$$
  
$$\Delta Q_k := \operatorname{Tr}\left(h_{\mathcal{E}_k}\underbrace{\operatorname{Tr}_S\left(U_k(\rho_{k-1}\otimes\xi_k^i)U_k^*\right)}_{\xi_k^f}\right) - \operatorname{Tr}(h_{\mathcal{E}_k}\xi_k^i),$$

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So the balance equation holds at step k

$$\Delta S_k + \sigma_k = \beta_k \Delta Q_k,$$

with

$$\sigma_k := S(U_k(\rho_{k-1} \otimes \xi_k^i)U_k^* | \mathcal{L}_k(\rho_{k-1}) \otimes \xi_k^i).$$

## Adiabatic RIS

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$$s\mapsto h_{\mathcal{E}}(s), \qquad s\mapsto eta(s), \qquad s\mapsto v(s)$$

for  $s \in [0,1]$ , and choose

$$h_{\mathcal{E}_{k,T}} = h_{\mathcal{E}}(k/T), \qquad \beta_{k,T} = \beta(k/T), \qquad v_{k,T} = v(k/T).$$

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- We are interested in  $\lim_{T\to\infty} \sigma_T$ . Does this vanish?

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• In fact, want 
$$\sigma_{k,T} = O(1/T^2)$$
, so  $\sigma_T = O(1/T)$ .

Strategy

Our key object:

$$\sigma_{k,T} = S(U_{k,T}(\rho_{k-1,T} \otimes \xi_{k,T}^{i})U_{k,T}^{*}|\mathcal{L}_{k,T}(\rho_{k-1,T}) \otimes \xi_{k,T}^{i}),$$

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► Assume  $\rho^i$  is an eigenstate of  $\mathcal{L}_{0,T}$ . Then our adiabatic intuition tells us that for T large,  $\rho_{1,T} = \mathcal{L}_{1,T}(\rho^i)$  is close to an eigenstate of  $\mathcal{L}_{1,T}$ .

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- ▶ Repeating this, we hope that adiabatically,  $\rho_{k-1,T}$  is close to  $\rho_{k,T}$ , which we hope is close to an eigenstate of  $\mathcal{L}_{k,T}$ .
- Then σ<sub>k,T</sub> approximately only depends on parameters at step k, and not on steps 0,..., k − 1.

## Outline

### Three ingredients

- 1. Landauer's Principle
- 2. Adiabatic theorems
- 3. Repeated Interaction Systems

### Combining the ingredients

#### Two tools

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Entropy production of RIS in adiabatic limit

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└-1. An adiabatic theorem for RIS

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Assume  $s \mapsto \mathcal{L}(s)$  is obtained as an adiabatic RIS, i.e. parameters are sampled from  $C^2$  functions, and

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A1  $\mathcal{L}(s)$  is irreducible for each  $s \in [0, 1]$ . That is, if P is a Hermitian projector such that  $\mathcal{L}(P\mathcal{I}_1(\mathcal{H}_S)P) \subset P\mathcal{I}_1(\mathcal{H}_S)P$  then  $P \in \{0, \mathrm{Id}\}$ .

- Two tools

 $\vdash$ 1. An adiabatic theorem for RIS

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- Two tools

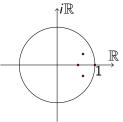
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$$\ell:=\sup_{s\in[0,1]}\|\mathcal{L}(s)Q(s)\|<1.$$

- Two tools

ergodicity

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-Two tools

-1. An adiabatic theorem for RIS

## Implications of these assumptions

1. At each step  $1 \le k \le T$ ,  $\mathcal{L}_{k,T} := \mathcal{L}(k/T)$  has a unique invariant state  $\rho_{k,T}^{inv} > 0$ .

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$$\begin{split} \|\mathcal{L}_{k,T}\cdots\mathcal{L}_{1,T}P_0-A_{k,T}\| &\leq \frac{C}{T(1-\ell)},\\ A_{k,T}P_0^j &= P_{k,T}^jA_{k,T}, \qquad A_{k,T}Q_0 = 0. \end{split}$$

- Two tools

-1. An adiabatic theorem for RIS

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-Two tools

-2. Perturbation of relative entropy

# Outline

#### Three ingredients

- 1. Landauer's Principle
- 2. Adiabatic theorems
- 3. Repeated Interaction Systems

#### Combining the ingredients

#### Two tools

- 1. An adiabatic theorem for RIS
- 2. Perturbation of relative entropy

Entropy production of RIS in adiabatic limit

-Two tools

-2. Perturbation of relative entropy

## Perturbation theory applied to relative entropy

For any state  $\eta$  and small enough perturbations  $D_1, D_2$ ,

$$S(\eta + D_1|\eta + D_2) = O((D_1 - D_2)^2).$$

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└-2. Perturbation of relative entropy

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In fact, if  $\eta = \sum_{i} \mu_{i} p_{i}$  is the eigendecomposition of  $\eta$ , then

$$|S(\eta + D_1|\eta + D_2) - F_{\eta}(D_1 - D_2)| = O((||D_1|| + ||D_2||)^3)$$

where  $F_{\eta}(A) := F_{\eta}(A, A)$  for

$$F_{\eta}(A,B) := \sum_{i} \operatorname{Tr}(Ap_{i}Bp_{i}) \frac{1}{2\mu_{i}} + \sum_{i < j} \operatorname{Tr}(Ap_{j}Bp_{i}) \frac{\log(\mu_{i}) - \log(\mu_{j})}{\mu_{i} - \mu_{j}}$$

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└-2. Perturbation of relative entropy

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2nd order term:  $\|F_{\eta}(A)\| = O(\|A\|^{2}).$ 

## Outline

#### Three ingredients

- 1. Landauer's Principle
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#### Combining the ingredients

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#### Entropy production of RIS in adiabatic limit

## Perturbing $\sigma_{k,T}$

Now we want to write  $\sigma_{k,T} = S(\eta + D_1|\eta + D_2)$ . But

$$\sigma_{k,T} := S\big(U_{k,T}(\rho_{k-1,T} \otimes \xi_{k,T}^{i})U_{k,T}^{*}|\mathcal{L}_{k,T}(\rho_{k-1,T}) \otimes \xi_{k,T}^{i}\big).$$

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So we want to approximate  $\rho_{k-1,T} \approx \rho_{k,T} \approx \rho_k^{\text{inv}}$ . The error is the difference

$$D_{k,T} := \mathcal{L}_{k,T}(\rho_{k-1,T} - \rho_{k,T}^{\mathsf{inv}}) \otimes \xi_{k,T}^{\mathsf{i}} - U_{k,T}((\rho_{k-1,T} - \rho_{k,T}^{\mathsf{inv}}) \otimes \xi_{k,T}^{\mathsf{i}}) U_{k,T}^*.$$

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Next, we'll try to approximate  $U_{k,T}((\rho_{k,T}^{\text{inv}}) \otimes \xi_{k,T}^{\text{i}}) U_{k,T}^* \approx \rho_{k,T}^{\text{inv}} \otimes \xi_{k,T}^{\text{i}}.$ 

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So we want to approximate  $\rho_{k-1,T} \approx \rho_{k,T} \approx \rho_k^{\text{inv}}$ . The error is the difference

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Using our adiabatic theorem and perturbation of entropy, we can find

$$\sigma_{k,T} = \mathcal{F}_{\rho_{k,T}^{\text{inv}} \otimes \xi_{k,T}^{\text{i}}}(D_{k,T} - X_{k,T}) + O(1/T^3).$$

### Back to our examples

Consider our 2x2 examples.

• With 
$$v_{\text{RW}}$$
,  $X_{k,T} \equiv 0$ . Then

$$\sigma_{k,T} = F_{
ho_{k,T}^{ ext{inv}}}(D_{k,T}) + O(1/T^3)$$
  
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and the entropy production  $\sigma_T \rightarrow 0$ .

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▶ With  $v_{\text{FD}}$ ,  $X_{k,T} = O(\lambda)$ . We in fact find  $\sigma_T \to \infty$ , even in the small coupling limit  $\lambda^2 T \to 0$  which I have not discussed.<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>There are subtleties about A2 that require a modification to the setup.

Consider our 2x2 example with the RW approximation.

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Since any qubit state ρ<sup>f</sup> > 0 may be written as a Gibbs state at some temperature β<sup>f</sup>, we may choose our temperatures so that β<sub>T,T</sub> = β(1) = β<sup>f</sup>. Then the system will be driven to ρ<sup>inv</sup><sub>T,T</sub> = ρ<sup>f</sup>, and thus drive initial states to our target state.

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- If the initial state is in the eigenspace of the invariant state, our adiabatic theorem tells us we create  $\sigma_T \sim \frac{1}{T}$  entropy production, and approximate  $\rho_{T,T} = \rho^{f} + O(T^{-1})$ .
- Otherwise, we create  $\sigma_T \sim \frac{1}{T} + \frac{1}{(1-\ell)}$  entropy production, and approximate  $\rho_{T,T} = \rho^{f} + O(1/T) + O(\ell^{T})$ .

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Entropy production of RIS in adiabatic limit