

3. Cook-Levin's theorem.

Given $X = \{x_1, \dots, x_n\}$ boolean variable ($x_i \in \{0, 1\}$)

Clause: Variable (and negation) conjugated by OR

$$x_2 \vee x_3 \vee (\neg x_4)$$

Formula: Clause conjugated by AND

$$F = (x_2 \vee x_3 \vee (\neg x_4)) \wedge (x_1 \vee x_3 \vee x_5) \dots$$

$$F: \{0, 1\}^n \rightarrow \{0, 1\}$$

Fact: Every formula can be written in this form

SAT problem: Given $X = \{x_1, \dots, x_n\}$ and formula F , decide whether $\exists A \in \{0, 1\}^n$

s.t. $F(A) = 1$

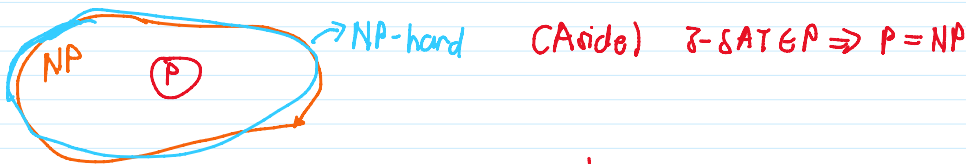
3-SAT problem: SAT problem, but every Clause have at most 3 variable

Thm (Cook-Levin): 3-SAT is NP-complete

or 1. 3-SAT \in NP

2. Every NP problem can be reduce to solving an instance of 3-SAT problem

"reduce": Transform solving any problem in NP \rightarrow Solving the 3-SAT problem



proof (sketch): (Tseytin Transformation)

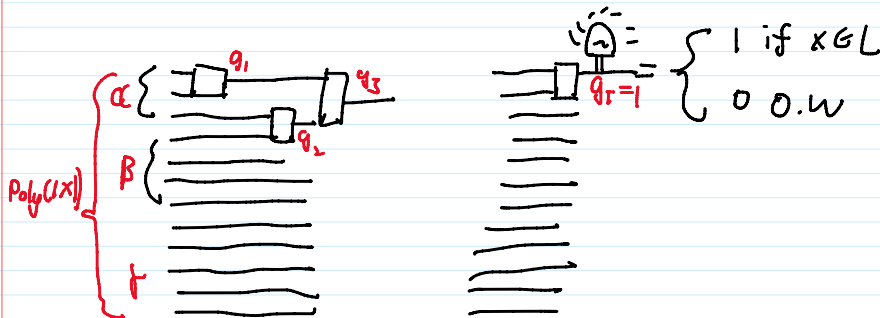
For any $L \subseteq \{0, 1\}^n$. How can I convince you that $L \in NP$?

Fix n , consider the circuit C_n , $T = \text{poly}(n)$ gates.

If you pick some $x \in L$, $|x| = n$,

I should be able to provide some certificate y with $|y| = m = \text{poly}(n)$

How can we check the circuit actually works.



We want to encode the computational step in a 3-SAT formula ϕ_x

s.t.: Computation step is valid $\Rightarrow \exists$ assign ϕ_x s.t. $\phi_x = 1$

of variable

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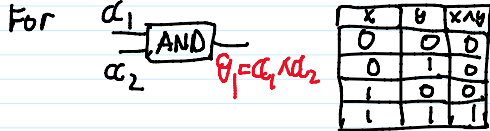
α, β, τ Input variable g_1, \dots, g_T gate variable
 $\underbrace{\alpha, \beta, \tau}_{\text{poly}(n)}$

$O(\text{poly}(n))$ size

1. Start OK: $\alpha = x, \tau = 0$

$(\alpha_i \vee x_i) \wedge (\neg \alpha_i \vee x_i) \quad \forall i \in [n]$ $\text{poly}(n)$ clause
 \hookrightarrow encode $\alpha_i = x_i$

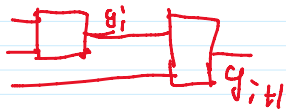
2. Evolve OK: Every step of the computation is consistent



This can be encode as:

$$(\neg \alpha_1 \vee \neg \alpha_2 \vee g_1) \wedge (\alpha_1 \vee \neg g_1) \wedge (\alpha_2 \vee \neg g_1)$$

1, 2 bit gates $\Rightarrow O(1)$ clause for each gate



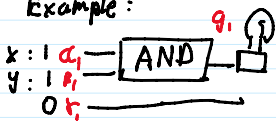
$O(1) = O(\text{poly}(n))$ clause

3. End OK: $g_T = 1$, easy!

Let $\phi_x = (\text{Input clause}) \wedge (\text{Evolve clause}) \wedge (\text{End OK})$

$O(\text{poly}(n))$ clause!

Example:



Start OK:

$$S = (\alpha_1 \vee 0) \wedge (\neg \alpha_1 \vee 1) \wedge (\beta_1 \vee 1) \wedge (\neg \beta_1 \vee 0)$$

Evolve OK:

$$E = (\neg \alpha_1 \vee \neg \beta_1 \vee g_1) \wedge (\alpha_1 \vee \neg g_1) \wedge (\beta_1 \vee \neg g_1)$$

End OK:

$$F = (g_1 \vee 0) \wedge (\neg g_1 \vee 1)$$

$$\phi = S \wedge E \wedge F$$

Correctness:

$x \in L \Rightarrow C_{ix}(x, y) = 1 \Rightarrow$ the Boolean formula can be satisfied

$x \notin L \Rightarrow$ No matter what y I put into C_{ix} , always reject
 $\Rightarrow \phi_x$ cannot be satisfy

→ ψ_x cannot be satisty



One step of computation is Local! Hence only a constant # of Clause is needed!

Reference:

[SIPO6]: Introduction to the Theory of Computation

[Yue 20]: The Complexity of Entanglement, Lecture 2.