

On the bit complexity of some randomized algorithms in real algebraic geometry

Jesse Elliott, Mark Giesbrecht, Éric Schost

David R. Cheriton School of Computer Science, University of Waterloo

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

Problem statement

Problem 1

- Suppose that $F = (f_1, \dots, f_p) \in \mathbb{Z}[X_1, \dots, X_n]^p$ is a sequence of polynomials.
- Suppose that the ideal $\langle f_1, \dots, f_p \rangle$ is radical and that $V = V(F) \subset \mathbb{C}^n$ is smooth and equidimensional with dimension $n - p$.
- Compute at least one point in each connected component of $V(F) \cap \mathbb{R}^n$.

Applications

- Used in higher level algorithms.
- Decide if $V(F) \cap \mathbb{R}^n$ has solutions.
- Determine an upper bound on the number of connected components of $V(F) \cap \mathbb{R}^n$.

Starting point

- An algorithm by [Safey El Din, Schost, 2003]
 - ▶ Uses random changes of variables that are proven to **generically** ensure certain desirable geometric properties.
 - ▶ Cost given in an algebraic complexity model.

Contributions

- We determine the bit complexity and error probability.
- We provide a **quantitative** analysis of the genericity properties:
 - ▶ Weak transversality.
 - ▶ Noether normalization for polar varieties.

Future work

- Reuse the techniques in the analysis of other algorithms.
 - ▶ Randomized algorithms for deciding connectivity queries on smooth and bounded real hypersurfaces.

Main result

- Let $F = (f_1, \dots, f_p) \in \mathbb{Z}[X_1, \dots, X_n]^p$ with $\deg(f_i) \leq d$ and $\text{ht}(f_i) \leq b$. Suppose $\langle f_1, \dots, f_p \rangle$ is radical and $V = V(F) \subset \mathbb{C}^n$ is smooth and equidimensional with dimension $n - p$.
 - ▶ The **height** of a polynomial $f \in \mathbb{Z}[X_1, \dots, X_n]$ is the maximum of the logarithms of the absolute values of the coefficients of f .

Theorem

- For $0 < \epsilon < 1$, there exists a randomized algorithm that takes F and ϵ as input and returns a finite set including at least one point on each connected component of $V(F) \cap \mathbb{R}^n$.
- The algorithm succeeds with probability at least $1 - \epsilon$, and otherwise returns a proper subset of the points or FAIL.
- The algorithm uses

$$O^{\sim}(d^{3n+2p+1}(\log 1/\epsilon)(b + \log 1/\epsilon))$$

bit operations. The polynomials in the output have degree at most d^{n+p} , and height

$$O^{\sim}(d^{n+p+1}(b + \log 1/\epsilon)).$$

Main result

- The algorithm uses

$$O^{\sim}(d^{3n+2p+1}(\log 1/\epsilon)(b + \log 1/\epsilon))$$

bit operations. The polynomials in the output have degree at most d^{n+p} , and height

$$O^{\sim}(d^{n+p+1}(b + \log 1/\epsilon)).$$

- Roughly optimal: equal to the output bit-size times the algebraic complexity.
- Close to matching what is implemented in practice, in Maple, available through RAGlib.
- A different algorithm with bit complexity $d^{O(n)}$ [Basu, Pollack, Roy, 2003].
 - ▶ This algorithm is general and makes no assumptions on the input polynomials.
 - ▶ Given the generality of the algorithm, the constant in the exponent is large (not used in practice).

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - **Polar varieties**
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

- Let $T_{\mathbf{x}}V$ denote the **Zariski-tangent space** to V at $\mathbf{x} \in V$. And for $i \in \{1, \dots, n - p + 1\}$, denote by π_i the projection

$$\begin{aligned}\mathbb{C}^n &\rightarrow \mathbb{C}^i \\ (x_1, \dots, x_n) &\mapsto (x_1, \dots, x_i).\end{aligned}$$

- The i -th **polar variety**

$$W(i, V) := \{\mathbf{x} \in V \mid \dim \pi_i(T_{\mathbf{x}}V) < i\}$$

is the set of critical points of π_i on V .

Determinantal modeling of polar varieties

- Let $\text{jac}(F, i)$ denote the truncated Jacobian matrix

$$\begin{bmatrix} \frac{\partial f_1}{\partial X_{i+1}} & \cdots & \frac{\partial f_1}{\partial X_n} \\ \vdots & & \vdots \\ \frac{\partial f_p}{\partial X_{i+1}} & \cdots & \frac{\partial f_p}{\partial X_n} \end{bmatrix}.$$

- ▶ $W(i, V(F)) = \{\mathbf{x} \in \mathbb{C}^n \mid F(\mathbf{x}) = 0 \text{ and } \text{rank}(\text{jac}_{\mathbf{x}}(F, i)) < p\}.$
- Let $M_{i,1}, \dots, M_{i,S_i}$ be the p -minors of $\text{jac}(F, i)$.
 - ▶ $W(i, V(F)) = V(F, M_{i,1}, \dots, M_{i,S_i}).$
- When $V = V(F) = V(f)$ is a hypersurface, then

$$W(i, V(f)) = V\left(f, \frac{\partial f}{\partial X_{i+1}}, \dots, \frac{\partial f}{\partial X_n}\right).$$

Example

- Let $f = X_1^2 + X_2^2 + X_3^2 - 1$ and consider

$$V = V(X_1^2 + X_2^2 + X_3^2 - 1) \subset \mathbb{C}^3.$$

- The critical points of the projection

$$\pi_2 : (x_1, x_2, x_3) \mapsto (x_1, x_2)$$

on $V(f)$ are defined by $V(f, \frac{\partial f}{\partial X_3})$. Hence, the polar variety is defined by those points where

$$X_1^2 + X_2^2 + X_3^2 - 1 = X_3 = 0.$$

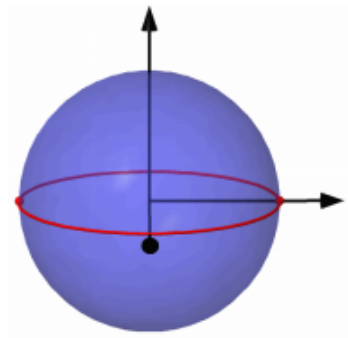


Image from
[Safey El Din, Schost, 2017]

Lagrangian modeling of polar varieties

- Due to the relations between minors of a matrix, the equations

$$(F, M_{i,1}, \dots, M_{i,S_i})$$

are in general not a complete intersection.

- For both the polynomial system algorithm we use, and an effective Nullstellensatz application, we want equations that define a complete intersection.
- By introducing new indeterminates (L_1, \dots, L_p) , we can model polar varieties as projections of Lagrange systems:

$$V \left(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i), \sum_{i=1}^p u_i L_i - 1 \right).$$

- The existence of a solution characterizes the set where $\text{jac}(F, i)$ is rank deficient.

Example

- Consider $f = X_1^2 + X_2^2 + X_3^2 - 1$ and $V(X_1^2 + X_2^2 + X_3^2 - 1) \subset \mathbb{C}^3$, then

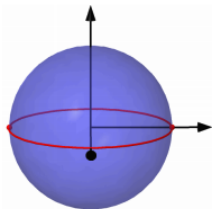
$$\text{jac}(X_1^2 + X_2^2 + X_3^2 - 1, 2) = 2X_3.$$

- The Lagrangian modeling gives

$$V(X_1^2 + X_2^2 + X_3^2 - 1, LX_3, L - 1) = V(X_1^2 + X_2^2 - 1, X_3, L - 1).$$

The equations on the right hand side are a lexicographically ordered Gröebner basis of the ideal $\langle X_1^2 + X_2^2 + X_3^2 - 1, LX_3, L - 1 \rangle$.

- $\pi_{\mathbf{X}}(V(X_1^2 + X_2^2 - 1, X_3, L - 1))$ describes $W(2, V(f))$:



- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - **The algorithm**
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

The algorithm

- If we apply a **generic** change of coordinates $\mathbf{A} \in \mathbb{Z}^{n \times n}$ to $F = (f_1, \dots, f_p)$:

$$F^{\mathbf{A}} = (f_1(\mathbf{A}\mathbf{X}), \dots, f_p(\mathbf{A}\mathbf{X})),$$

then $W(i, V(F^{\mathbf{A}}))$ is known to be equidimensional of dimension $(i - 1)$ or empty
[Bank, Giusti, Heintz, Mbakop, 1997]

and to be in *Noether position*.

- It then suffices to choose a **generic** $\sigma = (\sigma_1, \dots, \sigma_{n-p})$ in \mathbb{Z}^{n-p} and solve the systems defined by

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, \left(F^{\mathbf{A}}, M_{i,1}^{\mathbf{A}}, \dots, M_{i,S_i}^{\mathbf{A}} \right)$$

for $i = 1, \dots, n - p + 1$.

- ▶ **Computes the intersection of $W(i, F^{\mathbf{A}})$ with the fiber $\pi_i^{-1}(\sigma_1, \dots, \sigma_{i-1})$.**
- They all admit finitely many solutions.
- The union of their solution sets contains one point on each connected component of $V(F) \cap \mathbb{R}^n$.
[Safey El Din, Schost, 2003]

The algorithm

- Since the equations

$$(F, M_{i,1}, \dots, M_{i,S_i})$$

are in general not a complete intersection, we instead use the Lagrangian modeling of polar varieties and solve the equations

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, \left(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i), \sum_{i=1}^p u_i L_i - 1 \right),$$

for $i = 1, \dots, n - p + 1$, and then compute the projections of each solution set on the \mathbf{X} -space.

The algorithm

Main contributions

- We analyze precisely what conditions on our change of coordinates $\mathbf{A} \in \mathbb{Z}^{n \times n}$ guarantee success.
- We revisit key ingredients in the proofs given in [\[Bank, Giusti, Heintz, Mbakop, 1997\]](#), [\[Safey El Din, Schost, 2003\]](#) and we give quantitative versions of these results, bounding the degrees of the hypersurfaces we have to avoid.
- To solve the equations

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, \left(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i), \sum_{i=1}^p u_i L_i - 1 \right)$$

we use the algorithm in [\[Safey El Din, Schost, 2018\]](#) for which a complete bit complexity analysis is available.

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - **Weak transversality**
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

Thom's weak transversality theorem

- Generalizes *Sard's lemma*: *the set of critical values of a smooth function $\mathbb{R}^n \rightarrow \mathbb{R}^m$ have measure zero.*
 - ▶ Algebraic versions exist for which the sets of critical values are contained in algebraic sets in the codomain.
- We develop a quantitative version which allows us to bound the degrees of the hypersurfaces we have to avoid.
- The bad parameters show up as the critical values of a smooth function.

Weak transversality

- Let n, s , and m be positive integers, with $m \leq n$, and let

$$\Phi : \mathbb{C}^n \times \mathbb{C}^s \rightarrow \mathbb{C}^m$$

be a mapping defined by polynomials in $\mathbb{C}[\mathbf{X}, \Theta]$.

- For ϑ in \mathbb{C}^s , let

$$\begin{aligned}\Phi_{\vartheta} : \mathbb{C}^n &\rightarrow \mathbb{C}^m \\ x &\mapsto \Phi(x, \vartheta).\end{aligned}$$

- A point z is a **regular value** of Φ iff for all (\mathbf{y}, ϑ) with $\Phi(\mathbf{y}, \vartheta) = z$, the Jacobian of Φ has full rank at (\mathbf{y}, ϑ) .

Proposition (weak transversality)

- Suppose that $\mathbf{0}$ is a regular value of Φ . Then there exists a non-zero polynomial $\Gamma \in \mathbb{C}[\Theta]$ of degree at most d^{m+n} such that for ϑ in \mathbb{C}^s , if $\Gamma(\vartheta) \neq 0$, then $\mathbf{0}$ is a regular value of Φ_{ϑ} .

Our contribution is the degree estimate.

Example

- Consider $f \in \mathbb{C}[X_1, X_2]$, squarefree, $\deg(f) \leq d$ and $V(f) \subset \mathbb{C}^2$ smooth.
- Let the mapping $\Phi : \mathbb{C}^2 \times \mathbb{C} \rightarrow \mathbb{C}^2$ be defined by

$$\Phi(X_1, X_2, \Theta) = (X_1 - \Theta, f(X_1, X_2)).$$

- The Jacobian of Φ has rank two at any point in $\Phi^{-1}(\mathbf{0})$:

$$\text{jac}(\Phi) = \begin{bmatrix} 1 & 0 & -1 \\ \partial f / \partial X_1 & \partial f / \partial X_2 & 0 \end{bmatrix}.$$

- ▶ The assumptions of the proposition apply.

Example (continued)

- Thus $\Gamma \in \mathbb{C}[\Theta]$ exists, with $\deg(\Gamma) \leq d^4$, such that when $\Gamma(\vartheta) \neq \mathbf{0}$ then $\mathbf{0}$ is a regular value of

$$\Phi_{\vartheta}(X_1, X_2) = (X_1 - \vartheta, f(X_1, X_2)).$$

- The Jacobian of Φ_{ϑ} has rank two at any point in $\Phi_{\vartheta}^{-1}(0)$:

$$\text{jac}(\Phi_{\vartheta}) = \begin{bmatrix} 1 & 0 \\ \partial f / \partial X_1 & \partial f / \partial X_2 \end{bmatrix}.$$

- By the **Jacobian Criterion**, the ideal $(X_1 - \vartheta, f(X_1, X_2))$ is radical; equivalently, $f(\vartheta, X_2)$ is squarefree.
 - ▶ For all ϑ in \mathbb{C} except at most d^4 values.
- **Note that using the discriminant of f with respect to X_2 produces the same result.**
- This examples illustrates how we apply the result when solving the equations in the main algorithm.

Example (continued)

Compare with the equations solved in the main algorithm

- In the example, $\Phi : \mathbb{C}^2 \times \mathbb{C} \rightarrow \mathbb{C}^2$ is defined by the polynomials

$$X_1 - \Theta, f(X_1, X_2).$$

- Compare with the equations we solve in the main algorithm:
(for $i = 1 \dots, n - p + 1$)

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, \left(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i), \sum_{i=1}^p u_i L_i - 1 \right).$$

- Following the same steps as in the example, we bound the degree of a polynomial such that if σ is not a zero then these equations have finitely many solutions.

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - **Quantitative genericity statements**
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

Genericity properties

- Let $F = (f_1, \dots, f_p) \in \mathbb{C}[X_1, \dots, X_n]^p$.
- For $i = 1, \dots, n - p + 1$,

F satisfies H_i if

- 1 $W(i, V(F))$ is either empty or $(i - 1)$ -equidimensional.
- 2 The Jacobian matrix of the polynomials

$$(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i))$$

has full rank at any (\mathbf{x}, \mathbf{l}) that cancels equations.

- 3 $W(i, V(F))$ is either empty or in Noether position for π_{i-1} .

Noether position

- An equidimensional algebraic set $X \subset \mathbb{C}^n$ of dimension d is in **Noether position** for the projection

$$\pi_d : (x_1, \dots, x_n) \mapsto (x_1, \dots, x_d)$$

when the extension

$$\mathbb{C}[X_1, \dots, X_d] \rightarrow \mathbb{C}[X_1, \dots, X_n]/I(X)$$

is integral.

- Consequently, for any $\mathbf{x} \in \mathbb{C}^d$, the fiber $X \cap \pi_d^{-1}(\mathbf{x})$ has dimension zero (so it is finite and not empty).

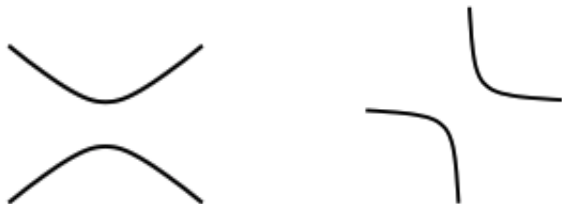


Figure 1: X is in Noether position for π_1 on the left, but not on the right.

Genericity properties

- Let $F = (f_1, \dots, f_p) \in \mathbb{C}[X_1, \dots, X_n]^p$.
- For $i = 1, \dots, n - p + 1$,

F satisfies H_i if

- 1 $W(i, V(F))$ is either empty or $(i - 1)$ -equidimensional.
- 2 The Jacobian matrix of the polynomials

$$(F, [L_1 \dots L_p] \cdot \text{jac}(F, i))$$

has full rank at any (x, l) that cancels equations.

- 3 $W(i, V(F))$ is either empty or in Noether position for π_{i-1} .

Note that $W(i, V(F^A))$ may not equal $W(i, V(F))^A$, as, for instance, their dimensions may vary.

- For $i = 1, \dots, n - p + 1$, if F satisfies H_i , then given σ in \mathbb{Z}^{i-1} , we further say

F and σ satisfy H'_i if

- 1 0 is a regular value of the polynomials

$$(X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, F, [L_1 \cdots L_p] \cdot \text{jac}(F, i)),$$

in the open set defined by $(L_1 \cdots L_p) \neq (0 \cdots 0)$.

- For $i = 1, \dots, n - p + 1$, if F satisfies \mathbf{H}_i , and F and σ satisfy \mathbf{H}'_i , then given $\mathbf{u} \in \mathbb{C}^p$ we further say

\mathbf{u} satisfies \mathbf{H}''_i if

- ① \mathbf{u} is such that the projections on the \mathbf{X} -space of the solutions of

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, \left(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i), \sum_{i=1}^p u_i L_i - 1 \right) \quad (1)$$

are the solutions of

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, (F, M_{i,1}, \dots, M_{i,S_i}). \quad (2)$$

Proposition

- There exists a polynomial

$$\Delta_1 \in \mathbb{C}[(\mathfrak{A}_{j,k})_{1 \leq j,k \leq n}]$$

of degree at most $5n^3(2d)^{5n}$ such that if $\mathbf{A} \in \mathbb{C}^{n \times n}$ does not cancel Δ_1 , then \mathbf{A} is invertible and $F^{\mathbf{A}} = F(\mathbf{A}\mathbf{X})$ satisfies \mathbf{H}_i :

- 1 $W(i, V(F))$ is either empty or $(i-1)$ -equidimensional.
- 2 The Jacobian matrix of

$$(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i))$$

has full rank at any (\mathbf{x}, \mathbf{l}) that cancels equations.

- 3 $W(i, V(F))$ is either empty or in Noether position for π_{i-1} .

For all $i \in \{1, \dots, n-p+1\}$.

Genericity statements

Proposition

- Suppose that $F = (f_1, \dots, f_p)$ satisfies H_i for all $i = 1, \dots, n - p + 1$.
- There exists a polynomial

$$\Delta_2 \in \mathbb{C}[S_1, \dots, S_{i-1}]$$

of degree at most nd^{4n} such that if $\sigma \in \mathbb{C}^{i-1}$ does not cancel Δ_2 , then F and σ satisfy H'_i :

- ① 0 is a regular value of the polynomials

$$(X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, F, [L_1 \cdots L_p] \cdot \text{jac}(F, i)),$$

in the open set defined by $[L_1 \cdots L_s] \neq [0 \cdots 0]$.

For all $i \in \{1, \dots, n - p + 1\}$.

Genericity statements

Proposition

- Suppose that F satisfies \mathbf{H}_i and F and σ satisfy \mathbf{H}'_i , for all $i \in \{1, \dots, n - p + 1\}$.
- There exists a polynomial

$$\Delta_3 \in \mathbb{C}[T_1, \dots, T_p]$$

of degree at most $n(n(d-1))^n$ such that if $\mathbf{u} \in \mathbb{C}^p$ does not cancel Δ_3 , then \mathbf{u} satisfies \mathbf{H}_i'' :

- 1 \mathbf{u} is such that the projections on the \mathbf{X} -space of the solutions of

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, \left(F, [L_1 \cdots L_p] \cdot \text{jac}(F, i), \sum_{i=1}^p u_i L_i - 1 \right) \quad (1)$$

are the solutions of

$$X_1 - \sigma_1, \dots, X_{i-1} - \sigma_{i-1}, (F, M_{i,1}, \dots, M_{i,S_i}). \quad (2)$$

For all $i \in \{1, \dots, n - p + 1\}$.

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - **Proving the main result**
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

Proving the main result

- 1 The algorithm first randomly chooses $\mathbf{A} \in \mathbb{Z}^{n \times n}$. Using the degree bound for Δ_1 , the entries of \mathbf{A} are chosen from a sufficiently large set so that by the Schwartz–Zippel lemma

$$\mathbb{P}[\Delta_1(\mathbf{A}) = 0] \leq 1 - \epsilon.$$

- 2 Next, the algorithm chooses $\boldsymbol{\sigma} \in \mathbb{Z}^{n-p}$ at random and we again quantify using the Schwartz–Zippel lemma: we bound

$$\mathbb{P}[\Delta_2(\boldsymbol{\sigma}) = 0 \mid \Delta_1(\mathbf{A}) \neq 0] \leq 1 - \epsilon.$$

- 3 Finally, the algorithm randomly chooses $\mathbf{u} \in \mathbb{Z}^p$ and we quantify once more using the Schwartz–Zippel lemma: we bound

$$\mathbb{P}[\Delta_3(\mathbf{u}) = 0 \mid \Delta_1(\mathbf{A})\Delta_2(\boldsymbol{\sigma}) \neq 0] \leq 1 - \epsilon.$$

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

Problem statement

Roadmaps

- A **roadmap** \mathcal{R} for an algebraic set X is a curve with non-empty and connected intersection with all connected components of X .

Applications

- Deciding connectivity queries.
- Robot motion planning.

Problem 2

- Let f be a squarefree polynomial in $\mathbb{Q}[X_1, \dots, X_n]$ such that $V(f)$ has a finite number of singular points and $V(f) \cap \mathbb{R}^n$ is bounded.
- Compute a roadmap \mathcal{R} of $V(f) \cap \mathbb{R}^n$.

Starting point

- Another algorithm by [Safey El Din, Schost, 2011].
 - ▶ Also uses random changes of variables proven to generically ensure weak transversality and Noether position.
 - ▶ Recursive algorithm based on calculating polar curves of polar varieties.
 - ▶ Cost given in an algebraic complexity model:

$$(nd)^{O(n^{1.5})} \text{ operations in } \mathbb{Q}.$$

Contributions (ongoing)

- Determining the bit complexity and error probability.
- Giving a quantitative analysis of the genericity properties.
 - ▶ Weak transversality (reusing techniques from previous analysis).
 - ▶ Noether normalization for polar varieties (reusing techniques from previous analysis).
 - ▶ Additional genericity properties.

Another genericity property

- Let $F = (f_1, \dots, f_p) \in \mathbb{Z}[X_1, \dots, X_n]^p$ with degree $f_i \leq d$. Suppose the F defines a radical ideal and $V(F)$ is equidimensional of dimension $n - p$ with a finite number of singular points and $V(F) \cap \mathbb{R}^n$ bounded.
- For $i = 2, \dots, (n - p + 3)/2$,

F satisfies G_i if

- $W(1, W(i, V(F)))$ is finite.

▶ Proven to hold generically in [Safey El Din, Schost, 2011].

Proposition

- There exists a hypersurface $\Delta \subset \mathbb{C}[(\mathfrak{A}_{j,k})_{1 \leq j, k \leq n}]$ with degree at most

$$n(p + n)^n (2d)^{p+n}$$

with the property that if $\Delta(\mathbf{A}) \neq 0$ then $F^{\mathbf{A}} = F(\mathbf{A}\mathbf{X})$ satisfies G_i , for all $i \in \{2, \dots, (n - p + 3)/2\}$.

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result

- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - **Other work on roadmap computation**
 - Estimating the height of the output

Other work on roadmap computation

- $d^{O(n^4)}$, deterministic, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, randomized, semi-algebraic sets, no assumptions
[Canny, 1987]

Other work on roadmap computation

- $d^{O(n^4)}$, deterministic, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, randomized, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, deterministic, semi-algebraic sets, no assumptions
[Basu, Pollack, Roy, 1999]

Other work on roadmap computation

- $d^{O(n^4)}$, deterministic, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, randomized, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, deterministic, semi-algebraic sets, no assumptions
[Basu, Pollack, Roy, 1999]

- $(nd)^{O(n^{1.5})}$, randomized, real hypersurfaces, smooth and bounded
[Safey El Din, Schost, 2011]
- $d^{O(n^{1.5})}$, deterministic, real algebraic sets, no assumptions
[Basu, Roy, Safey El Din, Schost, 2014]

Other work on roadmap computation

- $d^{O(n^4)}$, deterministic, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, randomized, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, deterministic, semi-algebraic sets, no assumptions
[Basu, Pollack, Roy, 1999]

- $(nd)^{O(n^{1.5})}$, randomized, real hypersurfaces, smooth and bounded
[Safey El Din, Schost, 2011]
- $d^{O(n^{1.5})}$, deterministic, real algebraic sets, no assumptions
[Basu, Roy, Safey El Din, Schost, 2014]

- $(nd)^{O^{\sim}(n)}$, deterministic, real hypersurfaces, no assumptions
[Basu, Roy, 2014]

Other work on roadmap computation

- $d^{O(n^4)}$, deterministic, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, randomized, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, deterministic, semi-algebraic sets, no assumptions
[Basu, Pollack, Roy, 1999]

- $(nd)^{O(n^{1.5})}$, randomized, real hypersurfaces, smooth and bounded
[Safey El Din, Schost, 2011]
- $d^{O(n^{1.5})}$, deterministic, real algebraic sets, no assumptions
[Basu, Roy, Safey El Din, Schost, 2014]

- $(nd)^{O(\tilde{n})}$, deterministic, real hypersurfaces, no assumptions
[Basu, Roy, 2014]
- $(nd)^{O(n \log d)}$, real algebraic sets, smooth and bounded
[Safey El Din, Schost, 2017]

Other work on roadmap computation

- $d^{O(n^4)}$, deterministic, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, randomized, semi-algebraic sets, no assumptions
[Canny, 1987]
- $d^{O(n^2)}$, deterministic, semi-algebraic sets, no assumptions
[Basu, Pollack, Roy, 1999]

- $(nd)^{O(n^{1.5})}$, randomized, real hypersurfaces, smooth and bounded
[Safe El Din, Schost, 2011]
- $d^{O(n^{1.5})}$, deterministic, real algebraic sets, no assumptions
[Basu, Roy, Safe El Din, Schost, 2014]

- $(nd)^{O(\sim n)}$, deterministic, real hypersurfaces, no assumptions
[Basu, Roy, 2014]
- $(nd)^{O(n \log d)}$, real algebraic sets, smooth and bounded
[Safe El Din, Schost, 2017]
- $(nd)^{O(n \log d)}$, real algebraic sets, smooth (unbounded)
[Prebet, Safe El Din, Schost, 2022]

- 1 Computing one point in each connected component of a smooth real algebraic set
 - Problem statement
 - Polar varieties
 - The algorithm
 - Weak transversality
 - Quantitative genericity statements
 - Proving the main result
- 2 Deciding connectivity queries in smooth and bounded real hypersurfaces
 - Problem statement
 - Other work on roadmap computation
 - Estimating the height of the output

Height of the output

- Algebraic complexity and degree of the output: $(nd)^{O(n^{1.5})}$.
- Expect height to be $(nd)^{O(n^{1.5})}$.

Difficulties

- Need to solve polynomial equations with a special shape.
- Two blocks of variables subject to different constraints:
 - ▶ X_1, \dots, X_i , high degree and bit-size.
 - ▶ X_{i+1}, \dots, X_n , low degree and bit-size.
- **Classical arithmetic Bézout** gives height $(nd)^{O(n^2)}$.

Solutions

- Multi-projective height techniques that involve the **arithmetic Chow ring** [[Krick, Sombra, D'Andrea, 2012](#)] precisely allow you to handle the two blocks separately.
- We get height $(nd)^{O(n^{1.5})}$.

Thank you.