

#### ORIGINAL RESEARCH

## Role of surface modification in zinc oxide nanoparticles and its toxicity assessment toward human dermal fibroblast cells

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**Abstract:** The wide-scale applications of zinc oxide (ZnO) nanoparticles (NPs) in photocatalysts, gas sensors, and cosmetics may cause toxicity to humans and environments. Therefore, the aim of the present study was to reduce the toxicity of ZnO NPs by coating them with a silica (SiO<sub>2</sub>) layer, which could be used in human applications, such as cosmetic preparations. The sol-gel method was used to synthesize core ZnO with SiO2-shelled NPs (SiO2/ZnO NPs) with varying degrees of coating. Diverse studies were performed to analyze the toxicity of NPs against cells in a dose- and time-dependent manner. To ensure the decreased toxicity of the produced SiO<sub>2</sub>/ZnO NPs, cytotoxicity in membrane damage and/or intracellular reactive oxygen species (ROS) were assessed by employing 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide, lactate dehydrogenase, 2',7'-dichlorofluorescin, and lipid peroxide estimations. The cores of ZnO NPs exhibited cytotoxicity over time, regardless of shell thickness. Nevertheless, the thicker SiO<sub>2</sub>/ZnO NPs revealed reduced enzyme leakage, decreased peroxide production, and less oxidative stress than their bare ZnO NPs or thinner SiO<sub>2</sub>/ZnO NPs. Therefore, thicker SiO<sub>2</sub>/ZnO NPs moderated the toxicity of ZnO NPs by restricting free radical formation and the release of zinc ions, and decreasing surface contact with cells.

Keywords: zinc oxide, silica coating, photostability, human dermal fibroblast, membrane damage, oxidative stress

#### Introduction

Advancements in science and technology have brought the promising tools of nanotechnologies for making diverse nanomaterials for various applications. In recent years, various studies have focused on diverse platforms to improve nanomaterial properties by altering the particle composition, size, and surface characterizations to make better and safer nanomaterials. 1-5 Among these, heterostructured inorganic nanoparticles (NPs) have become predominant materials for their high quantum confinement effects, and could be used in expanding solar cell and photocatalyst applications.<sup>6-8</sup> Furthermore, various core-shell NPs have exhibited unique properties for their respective applications in many fields of engineering and medicine. 9-15

Due to its semiconductivity, high transparency, large surface-to-volume ratio, and better ultraviolet (UV)-ray absorption, nanometer-scaled ZnO is regarded as a highly applicable nanomaterial in the fields of optoelectronics, photonics, clothing, paint, sports accessories, medicine, and cosmetics. 16-21 Nowadays, ZnO NPs are being widely used as a UV protector in modern personal care products, such as sunscreen creams. On the other hand, an increased number of nanobased products could bring greater risks to humans, wherein nanomaterials could enter biological systems through

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different routes of exposure. <sup>22,23</sup> Although reports on the safety/toxicity of inorganic NPs on skin are on the rise, toxicological evidence is still limited, especially for ZnO NPs. Previous studies have reported that ZnO NPs produce high toxicities in various biological systems, such as in many cellular organisms from bacteria, to fish, to mice. <sup>24–30</sup> A possible reason for this observed toxicity is reactive oxygen species (ROS) produced from the meta-based nanoparticles leading to oxidative stress. In addition, after stronger light absorption by the photocatalyst, free electrons and holes can be produced by NPs, leading to the production of free hydroxyl radicals by strong oxidation. <sup>31–33</sup>

Structurally, the surface chemistry of nanosized metal particles became a critical factor in designing cellular interactions with biological molecules in vitro.<sup>34</sup> Different toxicological responses can be obtained from unmodified core—shell and surface-modified NPs in various cell lines.<sup>35–37</sup> Insulating a toxic core with a nontoxic shell improves solubility, enhancing ease of functionalization with reduced toxicity.<sup>35,38</sup> These results suggest that the toxic effects of NPs can be controlled through surface modifications.

Several publications have reported the toxicity of ZnO NPs in different organisms and cells. In order to overcome the toxicity, several studies have focused on the fundamental mechanisms of ZnO toxicity by designing novel approaches in producing biocompatible ZnO NPs. In this study, we developed controlled ZnO NPs with silica shells at different thicknesses without altering their desired physical properties, and their cytotoxicity was compared against bare ZnO NPs in vitro. Human skin dermal fibroblast neonatal (HDFn) cells were used as a cell model to assess potential toxicity, since dermal fibroblasts could be the first portal of entry to many toxicants from the outer environment.<sup>39</sup> Various cytotoxicity parameters, such as cell-morphology analysis, cellular uptake, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), lactate dehydrogenase (LDH), lipid peroxide (LPO), and intracellular ROS assays, were employed.

## Materials and methods

#### **Materials**

ZnO suspension (30 wt% in ethanol; Advanced Products, Daejeon, South Korea), tetraethyl orthosilicate (TEOS; 99.999%; Sigma-Aldrich, St Louis, MO, USA), ethanol (99.9%; Samchun Chemical, Seoul, South Korea), and ammonium hydroxide (NH<sub>4</sub>OH 25%–28%; Sigma-Aldrich, St Louis, MO, USA) were purchased. In-house Milli-Q<sup>®</sup> water (EMD Millipore, Billerica, MA, USA) was used throughout the experiments.

# Synthesis of silica-coated zinc oxide nanoparticles

NP hybrids were synthesized using a modified sol-gel process, as reported previously. In brief, ethanol (35 mL), water (15 mL), and NH<sub>4</sub>OH (10 mL, pH 12) were poured into a round-bottomed flask (100 mL) with stirring at room temperature. ZnO (0.5 g) was dispersed with TEOS (30 and 80 wt% to the respective amount of ZnO) and injected into the reaction flask. After ultrasonic treatment for 10 minutes, the colloidal dispersion was stirred overnight for the complete hydrolysis of TEOS. NPs were collected by centrifugation at 5,000 rpm (2-16PK; Sigma-Aldrich), washed subsequently with ethanol three times, and then dried overnight in a vacuum oven at 60°C. The thin and thick silica coating was achieved by adjusting the TEOS to the ZnO weight ratio. Pure ZnO NPs were labeled as thin or thick SiO<sub>2</sub>/ZnO NPs.

#### Characterizations

The morphologies of NPs were characterized using highresolution transmission electron microscopy (HR-TEM; Tecnai™ G2 TF 30ST; FEI, Hillsboro, OR, USA). The silica-shell thickness in each particle was measured; at least three particles were measured per image. Elemental analysis of the identical NPs was performed using energydispersive X-ray spectroscopy (EDX) interfaced with HR-TEM. Hydrodynamic diameters and the zeta potentials were measured using dynamic light scattering with a Malvern Zetasizer 3000HS (Malvern Instruments, Malvern, UK) for the particle-size distribution and electrokinetic behavior of the NPs at pH 7. The degree of photodegradation was assessed by measuring the photoluminescence (PL) using a spectrofluorometer (Varian Cary Eclipse; Agilent Technologies, Santa Clara, CA, USA). Bare ZnO and thin and thick SiO<sub>2</sub>/ZnO NPs were exposed to UV light (CN-6 UV lamp, 60 HZ with 365 nm) for a maximum of 72 hours, and the respective PL-intensity responses were recorded at intervals of 4 hours.

## Cell culture and exposure to NPs

HDFn cells from the Korea Cell Line Bank (Seoul, South Korea) were cultured in supplemented Dulbecco's Modified Eagle's Medium with 10% fetal bovine serum and 1% penicillin, streptomycin, and amphotericin mixture at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. Confluence cells (85%) were subcultured in six-well plates or 96-well plates for 24 hours prior to the treatment. NPs were suspended in cell-culture medium to attain desired concentrations, and

were sonicated before administering them to the cells. Cells without NPs served as controls in each experiment.

#### Cellular uptake

Fluorescence laser-scanning microscopy (FLSM; Eclipse TE2000-U; Nikon, Tokyo, Japan) was employed to assess the cellular uptake of NPs. Previously, fluorescein isothiocyanate (FITC)-adsorbed SiO<sub>2</sub>/ZnO NPs were synthesized, as previously reported.<sup>42</sup> Briefly, both thin and thick SiO<sub>2</sub> ZnO NPs was stirred for 48 hours in the dark at room temperature to attain FITC-SiO<sub>2</sub>/ZnO NPs.

Cells cultured for 24 hours were added to the FITC-SiO<sub>2</sub>/ZnO NPs at a concentration of 50 μg/mL. After 4 hours' incubation, cells were washed twice with phosphate-buffered saline (PBS) in order to remove excess NPs, and stained with 4',6-diamidino-2-phenylindole dihydrochloride (DAPI). Cells were viewed under inverted-phase FLSM.

In addition, the endocytosis of NPs in cells was observed for 4 hours with field-emission scanning electron microscopy (FE-SEM; JSM-7500F; JEOL, Tokyo, Japan). Prior to imaging, the cells were fixed on the glass substrate by using an osmium tetroxide-based standard-fixation method. Then, they were sputter-coated before being analyzed under a microscope.

#### Cell morphology

For the morphological study, cells were incubated with uncoated and coated NPs at  $50 \,\mu\text{g/mL}$  concentrations. After 48 hours of incubation, cellular morphological changes were assessed by using a Nikon phase-contrast microscope with attached digital camera (Diaphot 300).

#### Cell-viability assay

The cells were exposed to 0, 5, 10, 20, 30, 40, and 50 μg/mL suspensions of the NPs for 12, 24, and 48 hours. MTT (Sigma-Aldrich)/Dulbecco's Modified Eagle's Medium was added to each well and incubated for 3 hours. The MTT solution was aspirated, and dimethyl sulfoxide was added. The absorbance from the formed purple MTT formazan crystals was measured at 570 nm by using a microplate reader (VersaMax<sup>TM</sup>; Molecular Devices, Sunnyvale, CA, USA).

#### LDH-leakage assay

The cytoplasmic enzyme LDH released into the cellculture medium has been reported as an important toxicity indicator in vitro. 43 This assay was based on the nicotinamide adenine dinucleotide (NAD)+ reduction by the LDH enzyme. For evaluating cytotoxicities of bare ZnO NPs and those with different shell thicknesses, cells were exposed to 0–50  $\mu$ g/mL suspensions for 48 hours. The NP-exposed cell suspension was centrifuged at 300 g for 3 minutes. The supernatant was mixed with a TOX7 assay kit (Sigma-Aldrich) for enzymatic analysis. The stoichiometric conversion of tetrazolium dye by NAD+ was detected at 490 nm (VersaMax).

### Oxidative stress assay

Previous studies have suggested that oxidative stress and LPO play a major role in NP-elicited cell-membrane disruption, deoxyribonucleic acid (DNA) damage, and cell death.<sup>44</sup> Therefore, it is important to analyze oxidative stress markers for the produced ZnO NPs. At first, the production of intracellular ROS was estimated with the dichlorofluorescein (DCF) assay, measuring the conversion of H<sub>2</sub>DCF (2',7'dichlorodihydrofluorescein) to fluorescent DCF by ROS.45 Briefly, cultured cells were exposed to NP suspensions of 0-50 µg/mL for 48 hours, washed with PBS, and incubated with 20 µM H<sub>2</sub>DCF-DA (2',7'-dichlorodihydrofluoresceindiacetate; Thermo Fisher Scientific, Waltham, MA, USA) for 60 minutes at 37°C. After being washed, the produced fluorescence was then detected at excitation and emission wavelengths of 488 and 528 nm, respectively. The mean fluorescence intensity was analyzed using a spectrofluorometer (Victor 3; PerkinElmer, Waltham, MA, USA). Basal ROS generation in cells without NPs was used as control.

#### Lipid peroxide estimation

When NPs react with cellular macromolecules, malondialdehyde (MDA) is produced. MDA is a proven mutagen and carcinogenic compound that reacts with cellular components, leading to cell-cycle arrest and cell death. 26,46,47 Also, it is an important oxidative stress marker produced by lipid peroxidation. Therefore, LPO levels were estimated as previously reported.<sup>48</sup> Briefly, the cultured cells were exposed to different concentrations of NPs for 48 hours. Then, the cells were scraped off, washed with PBS, and homogenized using cell lysis buffer. Then, the mixture was centrifuged at 1,500 g for 10 minutes at 4°C. The ice-cooled supernatant of the cell extract was incubated with phosphate buffered saline (0.1 M) at 37°C for 60 minutes. Trichloroacetic acid was added to precipitate the cell contents, and the mixture was then centrifuged to remove the supernatant separately. Next, tert-butyl alcohol (1%) was added to the supernatant and boiled for 15 minutes. Finally, the absorbance of the mixture was recorded at 532 nm, and the formed malondialdehyde was measured.

## Statistical analysis

All independent experiments were performed in triplicate for each experiment, and the results are expressed as means  $\pm$  standard deviation. Statistically significant changes between samples and control were analyzed by one-way analysis of variance using InStat and Prism 3 (GraphPad Software, La Jolla, CA, USA). The results were considered statistically significant at P<0.05 for all tests.

## **Results and discussion**

#### Particle characterizations

The HR-TEM images revealed that the hydrodynamic diameters of the nanoparticle suspensions were between 20 and 50 nm with sphere-like morphology (Figure 1A). From the drop-cast sample images, 200 random particles were measured, and their average sizes are given in Table 1. Also, dynamic light-scattering analysis revealed size distributions of dispersed particles of 76.8 nm for bare ZnO, 105.3 nm for thin SiO<sub>2</sub>/ZnO, and 158.1 nm for thick SiO<sub>2</sub>/ZnO NPs, which shows the uniform distribution of the particles. Also, the bare ZnO NPs showed a zeta-potential value of +33.0 mV. After

modification, a charge reversal of -20.7 mV was obtained from the thin SiO<sub>2</sub>/ZnO particles and -41.5 mV from the thick SiO<sub>2</sub>/ZnO NPs. These loosely aggregated particles from the coating with SiO, showed a thin layer on the surface, with the finding that increasing the Si-to-Zn molar ratio increased covering-layer thickness from 2 to 7 nm. Upon close inspection, thinly coated ZnO NPs with SiO, showed an uncovered core in some areas of the particle (Figure 1B). However, the thickly coated particles revealed a homogeneous layer around each particle, with complete covering with an SiO, shell (Figure 1C). In the EDX spectra of the bare and SiO<sub>2</sub>-coated samples (Figure 1, A'-C'), all characteristic peaks were well matched with their unique elements of Zn, O, and Si. The C and O peaks occurred due to the supporting substrate used for TEM sampling. And the increasing peak intensity of C and O along with Zn and Si occurred due to the elements present in the nanoparticles. Furthermore, quantitative elemental analyses of the bare ZnO particles were compared with those of the surface-modified particles, listed in Table 2. A 0.68 wt% of silica was observed from the thin SiO<sub>2</sub>/ZnO NPs, whereas the thick SiO<sub>2</sub>/ZnO NPs indicated 3.34 wt%.

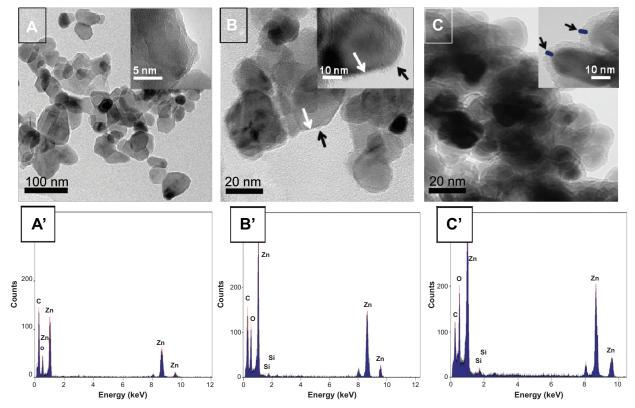


Figure 1 Characterization of ZnO NPs.

Notes: TEM images of (A) bare ZnO NPs, (B) thin SiO<sub>2</sub>/ZnO NPs noted with black arrows of incomplete SiO<sub>2</sub> layer and ZnO core with white arrows, and (C) thick SiO<sub>2</sub>/ZnO NPs (black arrows indicating the silica shell and its thicknesses), and their corresponding elemental spectrums, as shown in (A'-C').

Abbreviations: ZnO NPs, zinc oxide nanoparticles; TEM, transmission electron microscopy; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO<sub>2</sub>.

Table I Characterization of coated and uncoated ZnO NPs

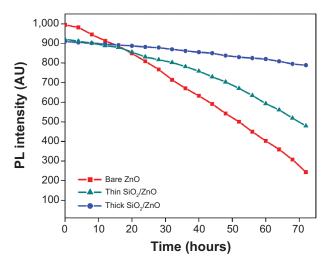
Parameters	Bare	Thin SiO <sub>2</sub> /	Thick SiO <sub>2</sub> /	
	ZnO NPs	ZnO NPs	ZnO NPs	
TEM (nm)	46.1±3	49.6±7.9	75.4±2.5	
DLS in H <sub>2</sub> O (nm)	76.8	105.3	158.1	
PDI	0.12	0.19	0.21	
Zeta-potential (mV)	+33.0	-20.7	-41.5	

**Abbreviations:** ZnO NPs, zinc oxide nanoparticles; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO; TEM, transmission electron microscopy; DLS, dynamic light scattering; H<sub>2</sub>O, water; PDI, polydispersity index.

The increased Si contents were responsible for gaining silica thickness of 7 nm. The quantity of the Si peak was enhanced with increasing SiO<sub>2</sub> concentrations, which was in good agreement with the HR-TEM images. Meanwhile, higher SiO<sub>2</sub> concentrations decreased ZnO contents to 28.42 wt%, confirming the formation of dense silica shells on ZnO NPs. This was in good agreement with the elemental peaks presented in Figure 1.

The SiO<sub>2</sub>/ZnO NPs were produced using a sol-gel method of TEOS through hydrolysis and subsequent condensation in the presence of NH<sub>4</sub>OH to form a three-dimensional siloxane bond (Si-O-Si) by leaving an -OH group on the external surface. TEM images revealed darker cores surrounded by lighter shells, presumably due to the atomic number of Zn (65), which is higher than that of Si (28). The atomic weight-percentage quantification from EDX suggested the increased thickness of the silica shell, which made the particle surface distinct from the uncoated ZnO. A high negative zeta-potential value of the thick SiO<sub>2</sub>/ZnO NPs was attributed to the bulky silica layer, with many Si-OH groups on the surface ensuring homogeneous dispersion. In addition, the cell viability of anionic thick SiO<sub>2</sub>/ZnO NPs increased relatively in comparison with cationic bare ZnO NPs. This result was in agreement with previous reports, where cationic NPs strongly interacted with the negatively charged plasma membrane of the cell and thereby induced high toxicological responses. 49-51

Figure 2 presents the PL response of the NPs after UV light (365 nm) exposure at different time intervals. For bare



**Figure 2** Photostability of ZnO NPs before and after surface modification. **Note:** Change in the photoluminescence (PL)-intensity difference for the bare and functionalized NP suspensions under ultraviolet irradiation at different time intervals. **Abbreviations:** ZnO NPs, zinc oxide nanoparticles; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>3</sub>; thick SiO<sub>3</sub>/ZnO, ZnO densely coated with SiO.

ZnO NPs, PL decreased significantly to 20% after 72 hours of incubation. In contrast, thick SiO<sub>2</sub>/ZnO NPs ended with ~5% reduction. On the other hand, the thin SiO<sub>2</sub>/ZnO NPs showed slow decreases of about 50% ZnO during the entire exposure time, which was slower than bare ZnO NPs but faster than thick SiO<sub>2</sub>/ZnO NPs. From the photodegradation results, a thick SiO, shell over ZnO NPs either prevented the excited electrons from being involved in free radical formation or photoetching after monochromatic light exposure. Compared to the SiO<sub>2</sub>/ZnO NPs, the bare ZnO NPs were attacked easily by the strong light due to photoetching, making them unstable.<sup>52</sup> This might have been due to the very thin silica shell or an incomplete silica layer, which was insufficient to prevent photocorrosion. Therefore, through high-energy irradiation like UV light, the photostability of ZnO was decreased due to increases in surface defects, occurring in the form of an energy gap, and thus the applied denser surface passivation decreased the interactions between external stimuli and core ZnO.

Previously, we reported the photodegradation behavior of bare and silica-coated ZnO NPs against methylene blue solution.<sup>53</sup> We confirmed that after silica coating, the

Table 2 The percentage elemental composition of the coated and uncoated ZnO NPs from EDX analyses

Sample element	Bare ZnO NPs		Thin SiO <sub>2</sub> /ZnO NPs		Thick SiO <sub>2</sub> /ZnO NPs	
	Weight %	Atomic %	Weight %	Atomic %	Weight %	Atomic %
C (K)	40.75	66.19	41.81	65.56	46.91	67.42
O (K)	20.02	27.28	19.58	23.05	21.33	23.02
Si (K)	0	0	0.68	0.45	3.34	2.05
Zn (K)	39.23	6.53	37.93	10.93	28.42	7.51

**Abbreviations:** EDX, energy-dispersive X-ray spectroscopy; ZnO NPs, zinc oxide nanoparticles; thin  $SiO_2/ZnO$ , ZnO coated with a thin layer of  $SiO_2$ ; thick  $SiO_2/ZnO$ , ZnO densely coated with SiO.

photodegradation ability of ZnO was reduced compared to the uncoated ZnO, which is in good agreement with previously reported results. This might have occurred because of the formed ROS on the ZnO surface after exposure to UV light.54-56 Therefore, we are claiming that thick SiO<sub>2</sub>/ZnO NPs could be used to make a better photostable agent with ZnO that maintains its desired physical properties the same as the bare ZnO NPs.

## Cellular responses and cytotoxicity

To evaluate the cytotoxic potential of ZnO NPs, different cytotoxicity assays were performed for better reliability and reproducibility. Initially, the fate of NP suspensions in contact with HDFn cells was studied by FLSM (Figure 3).

The images revealed that FITC-SiO<sub>2</sub>/ZnO NP-exposed cells showed rapid cellular uptake within 4 hours. The uptake of NPs by fibroblasts appeared to be different for thin and thick SiO<sub>2</sub>/ZnO NPs. Thin SiO<sub>2</sub>/ZnO NP-incubated cells were monitored with discrete green fluorescence dots in the cytoplasm and nucleus by crossing the plasma membrane (marked by arrows). However, under the same experimental conditions, the thick SiO<sub>2</sub>/ZnO NPs showed significantly higher internalization efficiency by the cell. NPs were internalized throughout the cells, except the nucleus, and appeared as bright multiple aggregates, potentially due to their accumulations inside each organelle, most likely through endocytosis. Like lysosomal colocalization of NPs in cytoplasm, multiple mechanisms of cellular uptake could be also possible. 57-59

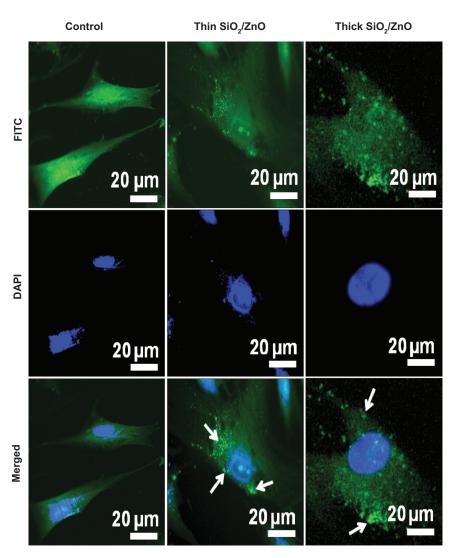


Figure 3 Association of NPs with cultured HDFn cells.

Notes: Fluorescence laser scanning microscopy of cellular internalization of FITC-loaded SiO<sub>2</sub>/ZnO NPs (green). NP colocalization is indicated by arrows. The cell nucleus was stained with DAPI (blue). Scale bar 20  $\mu m$ .

Abbreviations: NPs, nanoparticles; HDFn, human dermal fibroblast neonatal; FITC, fluorescein isothiocyanate; DAPI, 4',6-diamidino-2-phenylindole dihydrochloride; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO<sub>2</sub>

More accurate visualizations of the fate of NPs with regard to the cell was achieved using SEM imaging. There was a complete collapse of the cellular membrane after treatment with bare ZnO NPs, and the shape of grown cells became unorganized (Figure 4A). On the other hand, cellular exposure of thin SiO<sub>2</sub>/ZnO NPs showed intact shapes of cells with a slight shrinking surface (Figure 4B). Lastly, incubated cells with the thick SiO<sub>3</sub>/ZnO NPs did

not reveal any cellular morphology or disturbance on the surface; instead, fully matured cells were observed (Figure 4C). Due to the higher atomic weight of ZnO, the bright-white dots were found on the cell components. In the focused view, the NP interactions with the cells were clearly observed. It was evident from the images (Figure 4, C and C') that the thick SiO<sub>2</sub>/ZnO NPs caused minimal damage by maintaining a smooth and undisturbed

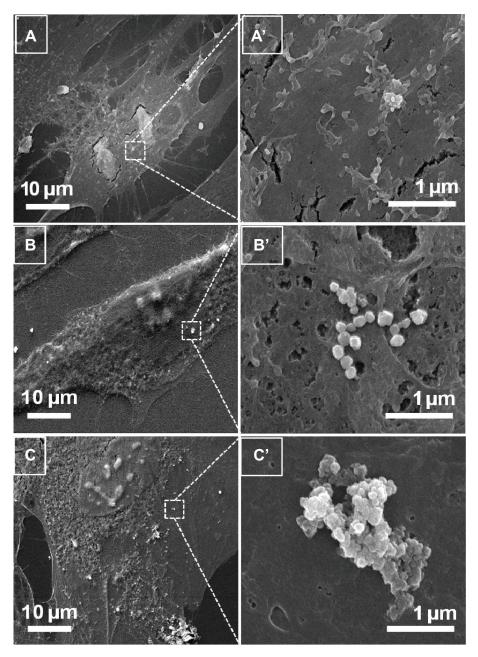


Figure 4 FE-SEM imaging for NP-cell interaction.

Notes: Cellular responses of HDFn cells after 4 hours' contact with (A) bare ZnO NPs, (B) thin SiO<sub>2</sub>/ZnO NPs, and (C) thick SiO<sub>2</sub>/ZnO NPs, and their corresponding enlarged views (A'-C'), respectively.

**Abbreviations:** FE-SEM, field-emission scanning electron microscope; HDFn, human dermal fibroblast neonatal; ZnO NPs, zinc oxide nanoparticles; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO.

cell surface, whereas bare and thin SiO<sub>2</sub>/ZnO NPs induced severe damage by deforming the cell components (Figure 4, A-B'). After being taken up by cells, both the uncoated and incompletely coated NPs entered into the nucleus and possibly denatured the nuclear proteins, since the regular cell cycle was damaged. In contrast, ZnO NPs densely covered with SiO2 did not disturb the cell cycle and presumably did not enter into the nucleus, thus producing less cellular injury.60 The biological fate of NPs in the cell components remains a controversial issue that needs clarification in future investigations.

Figure 5 shows the comparative responses of untreated and NP-treated HDFn cells. Cells exposed with bare ZnO NPs for 48 hours showed noticeable changes in morphology under microscopy. Compared to the elongated, matured, and undamaged control cells (Figure 5, A and A'), the ZnO NP-treated cells changed into spherical shapes and retracted from the surface, which resembled cell mortality (Figure 5, B and B'). The cells treated with thin SiO<sub>2</sub>/ZnO NPs (Figure 5, C and C') showed similar shape changes to bare ZnO NP-treated cells. In contrast, cells treated with thick SiO<sub>2</sub>/ZnO NPs (Figure 5, D and D') revealed more viable cells with fewer dead cells. The magnified view of single cells clearly indicated irrecoverable morphological damage, and thus injury occurred after treatment with NPs and led to cellular mortality.<sup>61</sup> The morphological changes are evidence of ZnO NP-induced toxicity in HDFn cells. Severe loss of normal morphology appeared in cells treated with bare and thin SiO<sub>2</sub>/ZnO NPs, but not for thick SiO<sub>2</sub>/ZnO NPs. A damaging tendency of NPs on the cell membrane was observed in magnified images. It is important to note that cellular viabilities were high in the presence of thickly coated NPs for long exposure times (48 hours) at 50 µg/mL relative to cells treated with uncoated NPs. These observations implied that the coatings on ZnO surface could be stable for longer periods.

Cell proliferation in response to various concentrations of bare, thin SiO<sub>2</sub>, and thick SiO<sub>2</sub>/ZnO NPs was also examined over time by MTT assays. At concentrations from 10 to 50 µg/mL, the viability of HDFn cells after exposure for 12, 24, and 48 hours to both the bare ZnO and thin SiO<sub>2</sub>/ ZnO NPs resulted in high mortality rates of about 97% and 95%, respectively (in 48 hours; A' and A'' as shown in Figure 6). In comparison, thick SiO<sub>2</sub>/ZnO NPs exhibited lower toxicities, with cell viabilities of about 80% for 12 hours, 70% for 24 hours, and more than 50% for 48 hours (Figure 6A""). The interpretation of cytotoxicity results can depend on the concentrations of NPs, which have been extensively discussed in the literature. 62-64 Exposure of uncoated

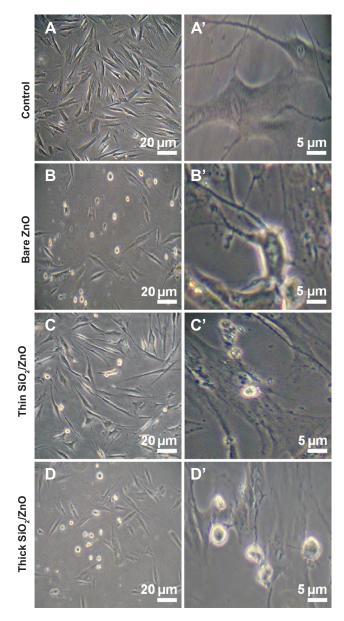


Figure 5 Morphology of HDFn cells exposed to NPs at 48 hours. Notes: Normal (20  $\mu$ m) and magnified views (5  $\mu$ m) of (A, A') control cells, (B, B') bare ZnO NP-exposed cells, (C, C') thin SiO<sub>3</sub>/ZnO NP-exposed cells, and (D, D') thick SiO<sub>2</sub>/ZnO NP-exposed cells, respectively. Abbreviations: HDFn, human dermal fibroblast neonatal; ZnO NPs, zinc oxide

nanoparticles; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO.

and thin SiO2-coated ZnO NPs for 12, 24, and 48 hours resulted in a concentration-dependent increase in cell mortality and exhibited significant (P < 0.05) cytotoxicity at 10–50 µg/mL concentrations, while thick SiO<sub>2</sub>/ZnO NPs did not significantly decrease cell viability. The observed differences in cellular viability within the uncoated and thinly and thickly coated NPs suggested that the nature of the coating could also become a prominent factor under these conditions.

Previously, it has been demonstrated that a coating of SiO<sub>2</sub> on the surface of inorganic NPs with optimal

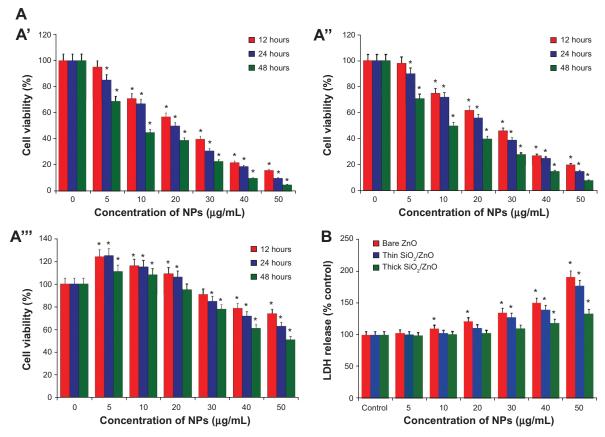


Figure 6 Cytotoxicity patterns of NPs to HDFn cells.

Notes: (A) Percentage cell viability of cells treated with (A') bare ZnO NPs, (A") thin SiO<sub>2</sub>/ZnO NPs, and (A") thick SiO<sub>2</sub>/ZnO NPs, and (B) LDH leakage of cells toward NPs at 48 hours. Control cells cultured in NP-free media were run in parallel to treatment groups: \*P<0.05. Bars indicate standard deviation from individual triplicates.

Abbreviations: HDFn, human dermal fibroblast neonatal; ZnO NPs, zinc oxide nanoparticles; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO; LDH, lactate dehydrogenase.

thicknesses could assist in reducing the cytotoxicity of the nanomaterials.<sup>35,65</sup> Also, it was explained that the release of zinc ion from ZnO NPs could harm the cell.

Here in our method, the bare ZnO NPs and thin SiO<sub>2</sub>/ZnO NP-treated cells experienced severe cytotoxicity. This might have been because of the release of zinc ion from the NPs after reacting directly with the biological macromolecules present in the cell-culture medium. However, the thickly SiO<sub>2</sub>-coated ZnO NPs showed lower cell mortality, which could have been due to less/no leaching of zinc ion from the NPs. Because the thick SiO<sub>2</sub> layer does not allow the cell to contact the ZnO core directly, this produced less toxicity. Also, the mesoporous structure in the SiO<sub>2</sub> layer maintained cell growth, as opposed to the hard ZnO core of the NPs, which damaged the cell membrane. <sup>5,35,66,67</sup>

With the observed differences between the uncoated and coated ZnO NPs, we can conclude that the nature of the surface coating influences decreases in the toxic effects of NPs.

To increase the reliability of the cytotoxicity data, the LDH-release assay was employed. Significantly increased LDH-release rates suggested a higher toxicity rate for the

bare and thin SiO<sub>2</sub>/ZnO NPs with increasing concentrations from 10 to 50  $\mu$ g/mL (P<0.05) (Figure 6B). On the other hand, the degree of LDH leakage remained approximately 19% and 33% for 40 and 50  $\mu g/mL$  of thick SiO<sub>2</sub>/ZnO NPs, respectively, in comparison with the control group. Compared to controls, there was no significant induction in the LDH levels of HDFn cells treated with thick SiO<sub>2</sub>/ ZnO NPs. It is important to note that free LDH from incubated cells with surface-functionalized particles was significantly lower than their respective plain counterparts. A possible dissolution of zinc ions from ZnO NPs could be also considered as a cause of high cell mortality for the uncoated and unevenly thinly SiO<sub>2</sub>-coated ZnO NPs.<sup>24,68</sup> The cytotoxicity of the bare and thinly SiO<sub>2</sub>-coated ZnO NPs could presumably have mainly been related with the cellular damage of plasma membrane. Indeed, the physically incubated, uncoated, and incompletely coated NPs caused more damage to the cells, resulting in higher LDH leakage. Finally, it was evident from the results that the thick SiO, coating over ZnO NPs reduced the dissolution of zinc ion into the culture medium, thus maintaining more viable cells. These results were significant, and correlated with those of Yin et al where reduced ZnO toxicity was observed after shielding of partial ZnO dissolution by surface coatings.<sup>36</sup>

The results in Figure 7A showed that ZnO NPs induced the generation of intracellular ROS in dose- and time-dependent manners, regardless of surface coating. In comparison to the control group (100%), the thick  ${\rm SiO_2/ZnO~NPs}$  produced 30% less ROS at 50  ${\rm \mu g/mL}$ , whereas bare and thin  ${\rm SiO_2/ZnO~NPs}$  at the same concentration induced higher levels of 97% and 80% ROS, respectively.

The ROS generated by ZnO NPs in HDFn cells induced lipid peroxidation, which could have been another factor in oxidative stress induction. Therefore, we analyzed LPO levels for 48 h. As shown in Figure 7B, no induction of lipid peroxidation was observed at 5 μg/mL for any NPs. A significant increase (*P*<0.05) in MDA levels was observed at all concentrations of uncoated and coated ZnO NPs. The bare ZnO NPs produced increasing lipid-peroxidation activity up to sixfold in comparison to controls with increased NP concentrations. Thin SiO<sub>2</sub>/ZnO NPs caused less lipid peroxide production compared to bare ZnO NPs at all levels of NP concentrations. On the other hand, thick SiO<sub>2</sub>/ZnO NPs at their maximum concentrations produced only twofold increases of lipid peroxide inductions in comparison to the other two NP groups.

Several studies have cited LPO and oxidative stress as important mechanisms in NP-induced cytotoxicity in various types of mammalian cells. <sup>69–72</sup> The NPs used in our study were also found to be capable of producing intracellular ROS with increased lipid peroxide levels. The LPO produced more free radicals, which could damage biomolecules, such as DNA,

proteins, and lipids in combination with ROS. Therefore, LPO could also cause irrecoverable injury to the cell membrane, as indicated by an increased LDH release. The depletion of cell viability with NP exposure in combination with the increased level of LDH and LPO could be the primary mechanism for cytotoxicity in cells from dramatically increased oxidative stresses.<sup>73</sup> Previously, ZnO NPs induced oxidative stress in rat lung cells due to the liberation of zinc ion.<sup>74</sup> Due to the chemical and surface characteristics, the uncoated ZnO NPs could easily generate free radicals from interactions with cellular components. Our improved approach with SiO<sub>2</sub> coating on ZnO NPs could reduce potential damage to the cells by decreasing LDH leakage, oxidative stress, and lipid peroxide levels. However, additional studies will be necessary to investigate the potential endocytosis and cytotoxic mechanisms of SiO<sub>2</sub>/ZnO NPs before and after UV irradiations with other different biological parameters for effective applications of ZnO NPs in toxicity-free material in cosmetic formulations.<sup>75</sup>

#### **Conclusion**

SiO<sub>2</sub>-coated ZnO NPs were fabricated, and convincing evidence for their surface modification was obtained through HR-TEM, EDX, and zeta-potential analyses. Furthermore, the results indicated that the thick coating of SiO<sub>2</sub> effectively stabilized the ZnO NPs from light in comparison to bare and thin SiO<sub>2</sub>/ZnO NPs. When the cytotoxicities of uncoated and coated ZnO NPs were compared, the thick SiO<sub>2</sub>/ZnO NPs were less toxic, but minimal toxicity was still observed with HDFn cells. For thick SiO<sub>2</sub>/ZnO NPs, the degree of LDH leakage, ROS production, and LPO release was less than that of the bare and thin SiO<sub>3</sub>/ZnO NPs. Therefore, the cytotoxicity of the

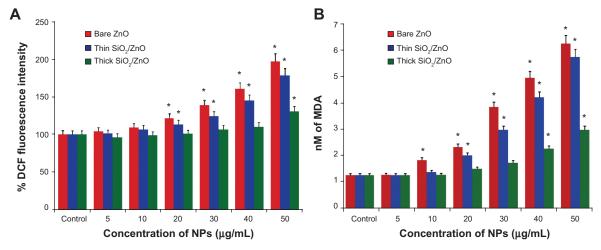


Figure 7 Intracellular oxygen and lipid peroxide measurements. (A) Levels of reactive oxygen species generation, and (B) lipid peroxide induction in HDFn cells after exposure to ZnO NPs for 48 hours.

Notes: \*P<0.05. Values are means + standard deviation of individual experiments performed in triplicate.

Abbreviations: HDFn, human dermal fibroblast neonatal; ZnO NPs, zinc oxide nanoparticles; thin SiO<sub>2</sub>/ZnO, ZnO coated with a thin layer of SiO<sub>2</sub>; thick SiO<sub>2</sub>/ZnO, ZnO densely coated with SiO; DCF, dichlorofluorescein; MDA, malondialdehyde.

ZnO NPs decreased upon increased SiO<sub>2</sub> coating. Decreases in cytotoxicity arose presumably because of 1) fewer surface interactions of ZnO NPs with cells from the SiO<sub>2</sub> shell, 2) decreased release rate of zinc ion from ZnO NPs, and 3) a modified hydrophilic surface. In summary, a new approach for reduced toxicity with SiO<sub>2</sub> coating of ZnO NPs was devised and evaluated, resulting in decreased cytotoxicity.

Future examinations should focus on in vivo studies, assessing the effects of SiO<sub>2</sub>/ZnO NPs on different organs and elucidating their toxicity effects. Additionally, it would be interesting to evaluate the applicability of toxicity-free SiO<sub>2</sub> ZnO NPs in cosmetic applications, such as skin creams.

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#### **Disclosure**

The authors report no conflicts of interest in this work.

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