# UAV-LEO Integrated Backbone: A Ubiquitous Data Collection Approach for B5G Internet of Remote Things Networks

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Abstract—With the advance of unmanned aerial vehicles (UAVs) and low earth orbit (LEO) satellites, the integration of space, air and ground networks has become a potential solution to the beyond fifth generation (B5G) Internet of remote things (IoRT) networks. However, due to the network heterogeneity and the high mobility of UAVs and LEOs, how to design an efficient UAV-LEO integrated data collection scheme without infrastructure support is very challenging. In this paper, we investigate the resource allocation problem for a two-hop uplink UAV-LEO integrated data collection for the B5G IoRT networks, where numerous UAVs gather data from IoT devices and transmit the IoT data to LEO satellites. In order to maximize the data gathering efficiency in the IoT-UAV data gathering process, we study the bandwidth allocation of IoT devices and the 3-dimensional (3D) trajectory design of UAVs. In the UAV-LEO data transmission process, we jointly optimize the transmit powers of UAVs and the selections of LEO satellites for the total uploaded data amount and the energy consumption of UAVs. Considering the relay role and the cache capacity limitations of UAVs, we merge the optimizations of IoT-UAV data gathering and UAV-LEO data transmission into an integrated optimization problem, which is solved with the aid of the successive convex approximation (SCA) and the block coordinate descent (BCD) techniques. Simulation results demonstrate that the proposed scheme achieves better performance than the benchmark algorithms in terms of both energy consumption and total upload data amount.

Index Terms—B5G IoRT, UAV-LEO integrated data collection, resource allocation, 3D trajectory design.

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#### I. INTRODUCTION

WITH the increasing demands of seamless and ubiquitous network access in the beyond fifth generation (B5G), the integration of space, air, and ground (SAG) networks has attracted rising attention, which significantly benefits the B5G Internet of remote things (IoRT) [1]–[4]. The IoRT network has been widely adopted in various applications, for instance, the remote surveillance systems for monitoring wild animals, natural disasters and climate change [5]–[7]. However, in remote areas, the ground base station (GBS) is usually difficult to deploy due to geographical limitation or high cost, which leads to that the data cached in distributed IoT devices have to be forwarded to a far data center for further analysis. Hence, reliable and efficient data collection and data transmission in IoRT networks are of great significance.

The satellite communication system can offer seamless wireless access to wide geographical areas, and provide an efficient solution to the IoRT where GBSs are usually not available [5], [8]. Comparing to traditional satellite systems of medium earth orbit (MEO) and geostationary earth orbit (GEO), the low earth orbit (LEO) satellite has the advantages of small propagation loss, low propagation delay and global coverage [9]. However, there are also many challenges in the LEO satellites assisted IoRT networks. Considering the huge number of IoT devices in the ground and the long transmission delay for the data transmission, it is usually not feasible to directly access numerous IoT devices to the satellite constellation [10]. Meanwhile, the IoT device is difficult to achieve long-distance transmission due to its transmit power and energy consumption limitations.

Recently, the significant development of unmanned aerial vehicles (UAVs) has been witnessed. According to the report [11], the global market for UAV estimated at \$24.3 billion in 2020 is expected to reach \$77.5 billion by 2027, growing at a compound annual growth rate of 18% over the analysis period 2020-2027. Moreover, the UAV-based networks have attracted much interest and investigations in various applications [12]–[15]. Considering the benefits of high mobility, economic and flexible deployment, and line-of-sight (LoS) transmission [16], [17], the UAV swarm can be efficiently applied to the B5G IoRT networks as a relay for data transmission. The UAV swarm can assist LEO satellites to improve the SAG channel capacity, achieve seamless cov-

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erage, and dynamically move to IoT devices and transmit the collected data to LEO satellites. However, when introducing the UAV as a relay in the B5G IoRT networks, the uplink data collection becomes more challenging because of the different channel characteristics of UAV-ground and UAV-satellite links, high mobility of UAVs and LEO satellites, cache capacity limitations of UAVs, etc.

In this paper, for the hierarchical UAV-LEO integrated data collection in the B5G IoRT networks assisted with UAV swarm relays, we divide the two-hop uplink communication task into two layers, i.e., IoT-UAV data gathering as layer 1, and UAV-LEO data transmission as layer 2. For layer 1, we first divide the IoT devices into different areas based on their geographic locations due to the wide range of surveillance, and one UAV gathers data from IoT devices in each area. To achieve the high transmission rate of each IoT device during the mission time period in each area, we maximize the average achievable rate of each IoT device by optimizing the uplink bandwidth allocation of IoT devices and the 3D trajectory of the UAV. For layer 2, in order to maximize the uploaded data amount from UAVs to LEO satellite constellation and minimize the energy consumption of UAVs, we optimize the transmit powers of UAVs and the selections of LEO satellites. Meanwhile, considering the limited cache capacity of UAV relays, we merge optimizations of layer 1 and layer 2 into an integrated optimization problem and propose a block coordinate descent (BCD) based framework to solve it.

The main contributions of the paper are summarized as follows:

- Hierarchical SAG-IoRT network design: We propose a
  UAV swarm and LEO satellite constellation assisted
  data collection for the B5G IoRT networks. The task
  consists of the IoT-UAV data gathering and UAV-LEO
  data transmission, which can achieve reliable and efficient
  IoRT data collection without infrastructure support.
- *IoT bandwidth allocation and UAV 3D trajectory design:* To optimize the data gathering efficiency of UAVs, we propose the bandwidth allocation of IoT devices and the 3D trajectory of UAVs by leveraging successive convex approximation (SCA) and BCD techniques.
- UAV power allocation and LEO satellite selection: To optimize the data transmission process from UAVs to LEO satellites, we optimize the transmit powers of UAVs and the selections of LEO satellite constellation by using the Lagrangian dual decomposition method.
- Joint optimization and computational complexity analysis: We propose a BCD-based approach to solve the above two layer optimizations alternately. Meanwhile, we analyze the computational complexity and its convergence towards at least a locally optimal solution for the BCD based approach.

The remainder of the paper is organized as follows. Section II reviews the related works. The system model and problem formulation are described in Section III. Section IV provides the problem solution. Section V presents the simulation results, and Section VI concludes the paper.

#### II. RELATED WORKS

In the literature, several works have been appeared for the UAV-assisted ground devices data collection. In [18], Wang et al. proposed a UAV-assisted IoT network, where the UAV is deployed as an anchor node and aerial data collector, and assists the base station (BS) for device positioning and data collecting. In [19], Sun et al. studied the optimal 3D trajectory design and resource allocation for maximization of the system sum throughput over a given time period in the UAV communication systems. In [20], Mozaffari et al. analyzed the deployment of UAVs as BSs for the ground user (GU) communication, while the trade-off between delay and coverage is also argued. In [21], Zhang et al. considered a UAV-enabled radio access network where the UAV serves as the aerial platform to communicate with GUs, and proposed iterative algorithms to perform joint UAV trajectory and communication resource allocation optimization such that the UAV periodic flight duration is minimized. In [22], Wu et al. investigated a multi-UAV enabled wireless communication system with multiple UAVs employed as aerial BSs to serve GUs. To achieve fair performance over all GUs, the minimum throughput in the downlink communication is maximized via joint trajectory and communication design. In [23], taking the energy consumption of UAVs into account, Cai et al. studied the trajectory and resource allocation design for downlink energy-efficient secure UAV communication systems. However, due to the communication distance and power energy limitations of UAVs, the UAV-assisted communication is difficult to ensure that data in IoRT networks are transmitted to the far data center in a timely manner.

To address these issues, satellites are considered in the B5G IoRT networks. In [24], Li et al. introduced the coordination of UAVs with hybrid GEO satellite-terrestrial networks to enhance the maritime coverage. Under some limitations such as backhaul and energy capacity and UAV kinematics, they jointly optimized the UAV trajectory and transmit power. In [25], Wang et al. applied the Lagrangian dual decomposition method to solve a two-stage joint hovering altitude design and power control for the SAG IoT networks. Jia et al. [9] minimized the total energy consumption of UAVs for the LEO satellite assisted UAV data collection in the IoRT while satisfying the IoRT demands. In [26], Wang et al. considered a SAG-IoRT framework, and proposed an iterative algorithm to optimize the system capacity via jointly designing power control, smart devices connection scheduling and UAV's trajectory. Most of the existing works are concentrated on a single LEO satellite scenario, where the focus is on the optimization of IoT devices to UAVs. However, in practice, a surveillance area is often covered by multiple LEO satellites due to the wide coverage of satellites. Therefore, in this paper, we will consider the optimization on not only the bandwidth allocation of IoT devices and the 3D trajectory design of UAVs, but also the power control of UAVs and access selections to LEO satellites. To the best of our knowledge, this is the first work to consider these performance indicators simultaneously for the SAG-IoRT networks.

*Notations:* The scalars and matrices are written as italic letters and bold-face lower-case letters, respectively. Let  $\mathbb{R}^n$ 

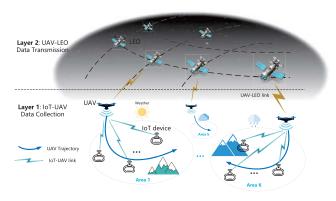


Fig. 1. The UAV-LEO integrated data collection scenario in the B5G IoRT networks.

be the space of n-dimensional real vectors, and  $\mathbf{I}$  represent the identity matrix with proper dimension. For a matrix  $\mathbf{X} \in \mathbb{R}^{n \times m}$ , define its Frobenius norm as  $\|\mathbf{X}\|$  (the Euclidean norm of a vector is its special case). Denote  $\log_2(\cdot)$  as the logarithm with base 2. Denote  $\dot{\mathbf{q}}(n)$  as the first-order derivative of a time-varying function  $\mathbf{q}(n)$  with respect to time slot n. For a continuously differentiable function f(x), let  $\nabla f(x)$  and  $\nabla^2 f(x)$  be the first and second order derivatives of f(x), respectively.

#### III. SYSTEM MODELING

As shown in Fig. 1, we investigate the UAV-LEO integrated data collection scheme in the B5G IoRT networks, where massive IoT devices get data and then transmit these data to a far ground data center through uplinks by leveraging on UAV swarms and LEO satellites. Assume that there are overall M IoT devices distributed in K disjoint areas with Area k having  $N_k$  IoT devices, i.e.,  $\sum_{k=1}^{K} N_k = M$ . Denote  $\mathcal{I}_k = \{I_{1,k}, \dots, I_{N_k,k}\}$  as the set of IoT devices in Area k, k = 1, ..., K. In our setup, one UAV is designated to gather data of IoT devices within each area. Hence, K UAVs are deployed in the air. Let  $\mathcal{U} = \{U_1, \dots, U_K\}$  be the set of UAVs with UAV  $U_k$  soaring in Area k, k = 1, ..., K. In our setup, we consider the ultra-dense LEO satellite constellation (tens of thousands of LEO satellites), and there are always multiple LEO satellites serving these K UAVs during a short time. Each UAV chooses the proper LEO satellite to handover its data, and these LEO satellites can temporally store their received data and transmit them to the data center on the ground eventually. In this paper, we only consider data collection through uplinks.

During an appointed mission period of T, we aim to design an optimal UAV and LEO satellite assisted scheduling mechanism for data collection from the B5G IoRT networks, which maximizes the total received data amount of LEO satellites from IoT devices and minimizes the total energy consumption of UAVs without data backlogs at UAVs. For the convenience of follow-up analyses, we divide the mission time period T equally into N time slots with a slot length  $\delta$ , i.e.,  $T = N\delta$ . Denote  $\mathcal{N} = \{1, 2, \dots, N\}$  to represent the time slot set. At time slot n, we assume that there are  $L_n$  LEO

TABLE I
MAIN NOTATIONS

Notation	Meaning
$\overline{K}$	Number of areas
$M, N_k$	Numbers of all IoT devices and IoT devices in Area $k$
L	Number of LEO satellites
N	Number of time slots
$\delta$	Time slot length
$I_{i,k}$	The <i>i</i> -th IoT device in Area k
$U_k$	The UAV in Area $k$
$\mathbf{s}_{i,k}$	Position of IoT device $I_{i,k}$
$\mathbf{q}_{k,n}$	Position of UAV $U_k$ at time slot $n$
$d_{\min}$	Minimum safe distance between UAVs and IoT devices
$ ho_0$	Channel power gain at the reference distance of $d_0 = 1$ m
$h_{i,k,n}$	Channel power gain between IoT device $I_{i,k}$ and UAV $U_k$
~ .	Fraction of the total bandwidth in Area $k$ that is allocated
$a_{i,k,n}$	for IoT device $I_{i,k}$ at time slot $n$
B	Total available bandwidth for each UAV
$p_{i,k}$	Transmit power of $I_{i,k}$
$N_0$	Additive white Gaussian noise power spectral density
$r_{i,k,n}$	Instantaneous achievable rate
$b_{k,l,n}$	Uplink transmission indicator variable from UAV $k$ to LEO
	satellite $l$ at time slot $n$
u(c)	Fading coefficient with the channel condition c
g(p,c)	Transmission rate function for power $p$ and channel state $c$
$c_{k,l,n}$	Channel state of uplink between UAV $k$ and LEO satellite
$-\kappa, \iota, n$	l at time slot $n$
$P_{k,l,n}$	Power allocated on the uplink between UAV $k$ and LEO
	satellite $l$ at time slot $n$
W	Bandwidth of each uplink channel from UAV to LEO
$D_k^{\omega}(N')$	Overall uploaded data amount of UAV $k$ till time slot $N'$
$D_k^u(N') \\ D_k^r(N') \\ C_k$	Overall received data amount of UAV $k$ till time slot $N'$
$C_k$	Cache capacity of UAV k
$E_k$	Total energy consumption of UAV k
$V_{\rm max}$	Maximum speed of UAV

satellites serving these K UAVs in the region, and the serving relationship between LEO satellites and UAVs are unchanged. Important notations are listed in Table I.

### A. IoT-UAV Data Gathering

These K areas are supposed to be far away from each other. That is to say, there is no interference among different areas, and data gathering of each area is independent. Hence, we take Area k as an example to analyze the data gathering of UAV  $U_k$  from IoT devices  $\mathcal{I}_k$ .

Since IoT devices may be deployed in mountain regions, we assume the coordinate of IoT device  $I_{i,k}$  is  $\mathbf{s}_{i,k} \in \mathbb{R}^3$  and the time-varying coordinate of UAV  $U_k$  is  $\mathbf{q}_{k,n} \in \mathbb{R}^3$  at time slot n. The uplinks from IoT devices to UAV  $U_k$  are dominated by LoS. Hence, the channel gain  $\tilde{h}_{i,k,n}$  between IoT device  $I_{i,k}$  and UAV  $U_k$  at time slot n is

$$\tilde{h}_{i,k,n} = \rho_0 d_{i,k,n}^{-2} = \frac{\rho_0}{\|\mathbf{q}_{k,n} - \mathbf{s}_{i,k}\|^2},$$

where  $\rho_0$  is the channel power gain at the reference distance of  $d_0=1$  m and  $d_{i,k,n}$  is the distance between  $I_{i,k}$  and  $U_k$  at time slot n. Remarkably, UAVs cannot fly too close to IoT devices due to collision avoidance. Hence, we modify the channel gain of IoT device  $I_{i,k}$  as follows:

$$h_{i,k,n} = \frac{\rho_0}{\max(\|\mathbf{q}_{k,n} - \mathbf{s}_{i,k}\|^2, d_{\min}^2)},$$
 (1)

where  $d_{\min}$  is the minimum safe distance between UAV and IoT devices for collision avoidance.

Suppose that the UAV adopts frequency division multiple access (FDMA) with dynamic bandwidth allocation among all IoT devices, that is, the UAV can receive data from multiple IoT devices at one time slot. Denote  $a_{i,k,n}$  as the bandwidth fraction that is allocated for  $I_{i,k}$  at time slot n. Then, variable  $a_{i,k,n}$  should satisfy:

$$\sum_{i=1}^{N_k} a_{i,k,n} \leqslant 1, \quad \forall \ k, n,$$

$$a_{i,k,n} \geqslant 0, \quad \forall \ i, k, n.$$

This scheme includes time division multiple access (TDMA) with dynamic time scheduling  $(a_{i,k,n} \text{ is a binary variable})$  and FDMA with fixed bandwidth allocation  $(a_{i,k,n} = a_{i,k}, \forall n)$  as special cases.

Denote the total available bandwidth of each UAV by B, and assume that IoT device  $I_{i,k}$  uploads its sensing data with a prescribed transmit power  $p_{i,k}$ ,  $i=1,\ldots,N_k$ . Subsequently, when IoT device  $I_{i,k}$  transmits data to the UAV (i.e.,  $a_{i,k,n}>0$ ), the instantaneous achievable rate in bits/second (bits/s) for IoT device  $I_{i,k}$  is presented as

$$r_{i,k,n} = a_{i,k,n} B \log_2 \left( 1 + \frac{p_{i,k} h_{i,k,n}}{a_{i,k,n} B N_0} \right)$$

$$= a_{i,k,n} B$$

$$\times \log_2 \left( 1 + \frac{p_{i,k} \rho_0}{\max(\|\mathbf{q}_k(n) - \mathbf{s}_{ki}\|^2, d_{\min}^2) a_{i,k,n} B N_0} \right), (2)$$

where  $p_{i,k}$  stands for the transmit power of  $I_{i,k}$ , B is the total available bandwidth of Area k, and  $N_0$  represents the additive white Gaussian noise (AWGN) power spectral density.

Then, the average achievable rate of IoT device  $I_{i,k}$  during all time slots is formulated as

$$\frac{1}{N} \sum_{n \in \mathcal{N}} r_{i,k,n}.$$

In each area, via optimizing the UAV's trajectory and bandwidth allocation, we can maximize the minimum average achievable rate over all IoT devices in this area.

### B. UAV-LEO Data Transmission

For data uplink transmission between UAVs and LEO satellites, let  $b_{k,l,n}$  be the 0-1 binary indicator variable of time-varying uplink between UAV k and LEO satellite l at time slot  $n, k \in \mathcal{K} = \{1, \ldots, K\}, l \in \mathcal{L}_n = \{1, \ldots, L_n\}, n \in \mathcal{N}$ . When there exists an uplink transmission from UAV k to LEO satellite l at n, we let  $b_{k,l,n} = 1$ , and otherwise  $b_{k,l,n} = 0$ . We assume that one UAV transmits data to at most one LEO satellite at one time slot. Hence, it holds

$$\sum_{l \in \mathcal{L}_n} b_{k,l,n} \leqslant 1, \quad \forall k, \ n,$$
 
$$b_{k,l,n} = \{0,1\}, \quad \forall k, \ l, \ n.$$

Similar to [27], to avoid data upload overlapping, we divide the frequency bandwidth for UAV satellite uplinks into a group of orthogonal subchannels by exploiting the Orthogonal Frequency Division Multiplexing (OFDM) technology. Then we assume that different UAVs utilize different orthogonal subchannels to connect to the same LEO at the same time slot. That is to say, there is no interference at the LEO satellites. As in [27], we present the relationship between transmission rate and power allocation of an uplink referring to the concave rate-power curve. According to [27], for given channel condition c, transmit power p and bandwidth W, the classic Shannon's Theorem based rate-power function q(p,c) in bits/s is obtained as

$$g(p,c) = W \log_2(1 + \nu(c) \cdot p), \tag{3}$$

where  $\nu(c)$  represents the fading coefficient under c. We also use the three-state condition model [28], where  $\nu(c)$  equals 1.0, 3.46 and 5.03, which corresponds to the bad, medium and good conditions based on weather conditions. Obviously, g(p,c) is a concave function of p.

Define  $c_{k,l,n}$  as the time-varying channel state of uplink between UAV k and LEO satellite l at n. We can get  $c_{k,l,n}$  from either direct measurement by some communication systems [29] or prediction based on existing channel states by a linear prediction equation [28]. Then,  $c_{k,l,n}$  can be assumed to be known by the system controller all the time in our framework. Additionally, we denote variable  $P_{k,l,n}$  as the power allocated to the uplink between UAV k and LEO satellite l at l. Then the total uploaded data amount of UAV k to LEO satellites during l0 time slots is

$$D_k^{tu} = \sum_{n \in \mathcal{N}} \sum_{l \in \mathcal{L}_n} b_{k,l,n} \cdot g(P_{k,l,n}, c_{k,l,n}) \cdot \delta. \tag{4}$$

To avoid data backlogs in UAVs at arbitrary time slot, the uplink transmission data amount of UAV k should be smaller than its received data amount from IoT devices in a prescribed threshold. Specifically, till arbitrary time slot  $N' \in \mathcal{N}$ , the overall uploaded data amount of UAV k can be calculated as

$$D_k^u(N') = \sum_{n \le N'} \sum_{l \in \mathcal{L}_n} b_{k,l,n} \cdot g(P_{k,l,n}, c_{k,l,n}) \cdot \delta.$$
 (5)

According to arguments in Subsection III-A, the overall received data amount of UAV k from IoT devices till N' is formulated as

$$D_k^r(N') = \sum_{n \le N'} \sum_{i=1}^{N_k} r_{i,k,n} \cdot \delta.$$
 (6)

As a consequence, denoting the cache capacity of UAV k as  $C_k$ , the following relationship should hold true:

$$D_k^r(N') - D_k^u(N') \leqslant C_k, \quad \forall k, \ \forall N'. \tag{7}$$

Meanwhile, the power supply of UAVs is the bottleneck resource. Hence, when a UAV uploads data to LEO satellites, we should minimize its energy consumption. The total energy consumption of UAV k spent on data-uploading during time period T can be calculated as

$$E_k = \sum_{n \in \mathcal{N}} \sum_{l \in \mathcal{L}_n} b_{k,l,n} \cdot P_{k,l,n} \cdot \delta. \tag{8}$$

To optimize the total uploaded data amount to LEO satellites and the energy consumption simultaneously, we introduce a penalty function which positively associates with the total uploaded data amount  $D_k^{tu}$  in Eq. (4) and reversely associates with the energy consumption  $E_k$  in Eq. (8). Then, the penalty function can be denoted by

$$F_k := D_k^{tu} - \beta E_k, \tag{9}$$

where  $\beta$  is the weight of energy consumption.

By maximizing the penalty function  $F_k$  with respect to  $b_{k,l,n}$  and  $P_{k,l,n}$ , we can get the optimal data transmitting mechanism from UAVs to LEO satellites. Remarkably, the involved term  $D_k^r$  in (7) is relevant to the instantaneous achievable rate  $r_{i,k,n}$  in Eq. (2), which depends on the trajectory and bandwidth allocation of UAV k. Hence, the optimization of transmission from UAVs to LEO satellites is related to the transmission from IoT devices to UAVs.

## C. Integrated Optimization on Uplink Data Collection

Based on the arguments in Subsections III-A and III-B, we can present the integrated optimization problem (IOP) as that LEO satellites collect data from IoT devices with UAV relaying. Denote  $\mathcal{Q}_k = \{\mathbf{q}_{k,n}\}$  as the trajectory of UAV k,  $\mathcal{A}_k = \{a_{i,k,n}\}$  represents the bandwidth allocation among IoT devices in Area k, and let  $\mathcal{B} = \{b_{k,l,n}\}$  and  $\mathcal{P} = \{P_{k,l,n}\}$  be the indicator variable set and the power allocation of UAVs, respectively. Then, IOP can be expressed as

IOP: 
$$\max_{\eta_{k}, A_{k}, \mathcal{Q}_{k}, \atop \mathcal{B}, \mathcal{P}} \sum_{k \in \mathcal{K}} \eta_{k} + F_{k}$$
s.t. 
$$\frac{1}{N} \sum_{n \in \mathcal{N}} r_{i,k,n} \geqslant \eta_{k}, \quad \forall i, k,$$
(c1)

$$\mathbf{q}_{k,0} = \mathbf{q}_{k,N}, \quad \forall k, \tag{c2}$$

$$\|\dot{\mathbf{q}}_{k,n}\| \leqslant V_{\max}, \quad \forall k, n,$$
 (c3)

$$\sum_{i=1}^{N_k} a_{i,k,n} \leqslant 1, \quad \forall k, n, \tag{c4}$$

$$a_{i,k,n} \geqslant 0, \quad \forall i,k,n,$$
 (c5)

$$P_{k,l,n} \geqslant 0, \quad \forall k,l,n,$$
 (c6)

$$P_{k,l,n} \leqslant P_{\max}, \quad \forall k, l, n,$$
 (c7)

$$D_k^r(N') \geqslant D_k^u(N'), \quad \forall k, N' \in \mathcal{N},$$
 (c8)

$$D_k^r(N') - D_k^u(N') \leqslant C_k, \ \forall k, n, \tag{c9}$$

$$\sum_{k \in \mathcal{K}} b_{k,l,n} g(P_{k,l,n}, c_{k,l,n}) \leqslant R_{\max}, \quad \forall l, n,$$

$$\sum_{l \in \mathcal{L}} b_{k,l,n} \leqslant 1, \quad \forall k, n, \tag{c11}$$

$$b_{k,l,n} \in \{0,1\}, \quad \forall k,l,n.$$
 (c12)

Constraint (c1) together with the term "max  $\eta_k$ " in the objective function means maximizing the minimum average achievable rate among all IoT devices in each area. Constraint (c2) gives the constraint on UAVs' initial and final locations, which is meaningful in practical scenarios such as periodical data collections [21], and constraint (c3) is the velocity limit of

UAVs. Constraints (c4) and (c5) are limitations of bandwidth allocation. Constraints (c6) and (c7) are the minimum and the maximum transmit power constraints on UAVs, respectively. Constraint (c8) is a natural constraint that the overall upload data amount of each UAV should not exceed its received data amount from IoT devices, while constraint (c9) avoids data backlogs in UAVs. Constraint (c10) implies the total data uploading rate of each LEO satellite cannot exceed the maximum data receiving rate  $R_{\rm max}$ , and constraints (c11) and (c12) are limitations on the choice of LEO satellites. By solving IOP, during the allocated mission time period T, we can maximize the minimum average achievable rate among all IoT devices as well as the data amount that IoT devices transmit to LEO satellites, and minimize the energy consumption of UAVs without data backlogs in UAVs.

For the proposed IOP, variables  $\{A_k, Q_k, \mathcal{B}, \mathcal{P}\}$  are all or partly coupled in the objective function as well as constraints (c1), (c8)-(c10), which leads to their non-convexities. Moreover, the 0-1 constraint (c12) is also non-convex. Therefore, IOP is difficult to handle. To obtain the solution to this problem, a widely used method is the well-known BCD technique [21], [30]–[33]. That is, dividing IOP into two subproblems, and then repeatedly and alternatively solve these two subproblems until the objective function value changes within a prescribed threshold. Specifically, we divide IOP into bandwidth allocation and trajectory  $(A_k, Q_k)$  optimization with fixed  $(\mathcal{B}, \mathcal{P})$  in IoT-UAV data gathering, and power allocation and uplink selection  $(\mathcal{B}, \mathcal{P})$  optimization with fixed  $(A_k, Q_k)$  in UAV-LEO data transmission.

#### IV. PROBLEM SOLUTION

In this section, IOP is divided into two sub-problems: bandwidth allocation and trajectory optimization, and power allocation and uplink selection optimization. We argue how to solve the two sub-problems separately, and then use the BCD technique to get the solution to IOP.

A. Sub-Problem 1: Bandwidth Allocation and Trajectory Optimization With Fixed Power Allocation and Uplink Selection

For fixed power allocation and uplink selection  $(\mathcal{B}, \mathcal{P})$ , we design the optimal trajectory for each UAV by solving IOP. Considering that each UAV's trajectory is independent of others', we design the optimal trajectory of the UAV in Area k as an example, and we omit the index k for notation brevity. For this area, removing irrelevant terms with  $\mathcal{A} = \{a_{i,n}\}$  and  $\mathcal{Q} = \{\mathbf{q}_n\}$ , IOP is transformed to

(SP1) 
$$\max_{\eta, \mathcal{A}, \mathcal{Q}} \eta$$
  
s.t.  $\frac{1}{N} \sum_{n \in \mathcal{N}} r_{i,n} \geqslant \eta, \quad \forall i,$  (10a)

$$\mathbf{q}_0 = \mathbf{q}_N,\tag{10b}$$

$$\|\mathbf{q}_{n+1} - \mathbf{q}_n\|^2 \leqslant \delta^2 V_{\text{max}}^2, \ \forall n, \tag{10c}$$

$$\sum_{i=1}^{N_k} a_{i,n} \leqslant 1, \ \forall \ n, \tag{10d}$$

$$a_{i,n} \geqslant 0, \ \forall \ i, n,$$
 (10e)

$$D^r(N') \geqslant D^u(N'), \ \forall N' \in \mathcal{N},$$
 (10f)

$$D^r(N') \leqslant D^u(N') + C, \ \forall N' \in \mathcal{N},$$
 (10g)

where constraint (10c) is an equivalence of constraint (c3) in IOP, while expressions of  $r_{i,n}$  and  $D^r(N')$  are

$$r_{i,n} = a_{i,n} B \log_2 \left( 1 + \frac{p_i \rho_0}{\max(\|\mathbf{q}_n - \mathbf{s}_i\|^2, d_{\min}^2) a_{i,n} B N_0} \right)$$

and

$$D^{r}(N') = \sum_{n \le N'} \sum_{i=1}^{N_k} r_{i,n} \cdot \delta,$$

respectively. For fixed  $(\mathcal{B}, \mathcal{P})$ ,  $D^u(N')$  is a known constant for arbitrary N'. Since variables  $\mathcal{A}$  and  $\mathcal{Q}$  are coupled in  $r_{i,n}$ , similar to [21], [30], we again use the BCD approach to solve problem (SP1).

1) Bandwidth Allocation Optimization With Fixed Trajectory: Supposing that the trajectory  $Q = \{q_n\}$  is fixed, then problem (SP1) is evolved to

(SP1-1) 
$$\max_{\eta, \mathcal{A}} \quad \eta$$
  
s.t.  $\frac{1}{N} \sum_{n \in \mathcal{N}} r_{i,n} \geqslant \eta, \quad \forall i,$  (11a)

$$\sum_{i=1}^{N_k} a_{i,n} \leqslant 1, \ \forall \ n, \tag{11b}$$

$$a_{i,n} \geqslant 0, \quad \forall i, n,$$
 (11c)

$$\sum_{n \leqslant N'} \sum_{i=1}^{N_k} r_{i,n} \delta \geqslant D^u(N'), \quad \forall N', \tag{11d}$$

$$\sum_{n \le N'} \sum_{i=1}^{N_k} r_{i,n} \delta \leqslant D^u(N') + C, \quad \forall N'. \quad (11e)$$

Denoting  $\Delta_1 = \frac{p_i \rho_0}{\max(\|\mathbf{q}_n - \mathbf{s}_i\|^2, d_{\min}^2)BN_0}$  for notation brevity, let  $r_{i,n} = r_1(a_{i,n}) = a_{i,n}B\log_2\left(1 + \frac{\Delta_1}{a_{i,n}}\right)$ . Due to

$$\nabla^2 r_1(a) = \frac{B\Delta_1}{(a+\Delta_1)\ln 2} \left(\frac{1}{a+\Delta_1} - \frac{1}{a}\right) < 0,$$

we conclude that  $r_1(a_{i,n})$  is a concave function of  $a_{i,n}$ . Hence, except for constraint (11e), all other constraints are convex. To cope with the non-convexity of (11e), we use the SCA technique to relax it.

For the clarity and integrity, before relaxing constraint (11e), we first present the following well-known result.

Lemma 1: (Proposition B.3 in [34]) For a convex function f(x), it can be lower bounded by

$$f(x) \ge f(x_0) + \nabla f(x_0)(x - x_0),$$

where  $x_0$  is a given point in the domain of f. Moreover,  $f(x) = f(x_0)$  if and only if  $x = x_0$ .

Since  $r_1(a_{i,n})$  is concave with respect to  $a_{i,n}$ , according to Lemma 1, we can relax  $r_{i,n} = r_1(a_{i,n})$  by its upper bound

$$\hat{r}(a_{i,n}) = r_1(a_{i,n}^t) + \nabla r_1(a_{i,n}^t)(a_{i,n} - a_{i,n}^t)$$

$$= \frac{B\Delta_1(a_{i,n}^t - a_{i,n})}{(a_{i,n}^t + \Delta_1)\ln 2} + a_{i,n}B\log_2\left(1 + \frac{\Delta_1}{a_{i,n}^t}\right),$$

where  $a_{i,n}^t$  is given. Obviously, we have  $r(a_{i,n}) \leq \hat{r}(a_{i,n})$  and  $\hat{r}(a_{i,n})$  is convex with respect to  $a_{i,n}$ . Consequently, we relax problem (SP1-1) to

(SP1-2) 
$$\max_{\eta, \mathcal{A}} \quad \eta$$
  
s.t. (11a), (11b), (11c), (11d) 
$$\sum_{n \leq N'} \sum_{i=1}^{N_k} \hat{r}(a_{i,n}) \delta \leqslant D^u(N') + C, \quad \forall N', \quad (12)$$

where we replace constraint (11e) in problem (SP1-1) by the convex constraint (12). Therefore, problem (SP1-2) is a convex programming that can be solved efficiently by CVX [35].

Constraint (12) is more conservative than the original constraint (11e). Hence, the optimal solution of problem (SP1-2) is a lower bound of that of problem (SP1-1). To improve the quality of the solution, we iteratively solve problem (SP1-2) multiple times. According to [36], with a feasible initial point, the iterative procedure is convergent to a saddle point or a local minimum. As a consequence, with fixed trajectory, we can get the optimal bandwidth allocation.

2) Trajectory Optimization With Fixed Bandwidth Allocation: For fixed bandwidth allocation, by removing irrelevant terms with A, we reduce problem (SP1) to

(SP1-3) 
$$\max_{\eta, \mathcal{Q}} \quad \eta$$
  
s.t.  $\frac{1}{N} \sum_{n \in \mathcal{N}} r_{i,n} \geqslant \eta, \quad \forall i,$  (13a)

$$\mathbf{q}_0 = \mathbf{q}_N, \tag{13b}$$

$$\|\mathbf{q}_{n+1} - \mathbf{q}_n\|^2 \leqslant \delta^2 V_{\text{max}}^2, \quad \forall n, \tag{13c}$$

$$D^r(N') \geqslant D^u(N'), \quad \forall N' \in \mathcal{N},$$
 (13d)

$$D^r(N') \leqslant D^u(N') + C, \quad \forall N' \in \mathcal{N}, \quad (13e)$$

Note that,  $r_{i,n}$  is a neither convex nor concave function of  $\mathbf{q}_n$ , which causes the non-convexity of constraints (13a), (13d) and (13e). Hence, problem (SP1-3) is non-convex, which is generally difficult to cope with. Nevertheless, we can use the SCA technique to transform non-convex constraints (13a), (13b), (13d) and (13e) to convex ones as follows.

For constraints (13a) and (13d), thanks to the special expression of  $r_{i,n}$ , we first relax  $r_{i,n}$  by a concave lower-bound function. Based on Lemma 1, the following result holds true.

Lemma 2: For a given  $Q^t \triangleq \{\mathbf{q}_n^t\}$ ,  $r_{i,n}$  can be lower bounded by  $\tilde{r}_{i,n}$  with

$$\tilde{r}_{i,n} \triangleq a_{i,n} B \log_2 \left( 1 + \frac{\Delta_2}{a_{i,n} z_{i,n}^t} \right) - \phi_{i,n}^t(z_{i,n} - z_{i,n}^t), \quad (14)$$

where 
$$\Delta_2 = \frac{p_i \rho_0}{BN_0}$$
,  $z_{i,n} = \max(\|\mathbf{q}_n - \mathbf{s}_i\|^2, d_{\min}^2)$ ,  $z_{i,n}^t = \max(\|\mathbf{q}_n^t - \mathbf{s}_i\|^2, d_{\min}^2)$ , and  $\phi_{i,n}^t = \frac{a_{i,n}B\Delta_2}{z_{i,n}^t(a_{i,n}z_{i,n}^t + \Delta_2)\ln 2}$ . According to Lemma 3 in [37], we conclude  $\tilde{r}_{i,n}$  is concave

According to Lemma 3 in [37], we conclude  $\tilde{r}_{i,n}$  is concave due to the convexity of  $z_{i,n}$  with respect to  $\mathbf{q}_n$  and  $\phi_{i,n}^t > 0$ . Via replacing  $r_{i,n}$  by  $\tilde{r}_{i,n}$  in constraints (13a) and (13d), these two constraints become convex.

As for the non-convex constraint (13e), we are going to replace  $r_{i,n}$  by its convex upper approximation. Because of  $\max(\|\mathbf{q}_n - \mathbf{s}_i\|^2, d_{\min}^2) \geqslant \|\mathbf{q}_n - \mathbf{s}_i\|^2$ , we first obtain the upper bound  $\check{r}_{i,n}$  of  $r_{i,n}$  with

Since  $\check{r}_{i,n}$  is non-convex with respect to  $\mathbf{q}_n$ , we will find the convex upper bound of  $\check{r}_{i,n}$ . We first show the related Hessian matrix.

Lemma 3: Denote  $r_2(\mathbf{q}) = \log_2\left(1 + \frac{c}{\|\mathbf{q} - \mathbf{s}\|^2}\right) : \mathbb{R}^3 \to \mathbb{R}$ , where c is a known positive constant and  $\mathbf{s} \in \mathbb{R}^3$  is a known vector. Then for the Hessian matrix  $\nabla^2 r_2(\mathbf{q})$ , it holds

$$\nabla^2 r_2(\mathbf{q}) \leqslant \frac{6c\|\mathbf{q} - \mathbf{s}\|^2 + 2c^2}{\|\mathbf{q} - \mathbf{s}\|^2(\|\mathbf{q} - \mathbf{s}\|^2 + c)^2 \ln 2} \mathbf{I}.$$

*Proof:* Define  $f_1(z) = \log_2(1 + \frac{c}{z})$  and  $f_2(\mathbf{q}) = \|\mathbf{q} - \mathbf{s}\|^2$ . Then it follows  $r_2(\mathbf{q}) = f_1(f_2(\mathbf{q}))$ . According to the chain rule [38], we can obtain

$$\nabla^2 r_2(\mathbf{q}) = \nabla^2 f_1(f_2(\mathbf{q})) \cdot \nabla f_2(\mathbf{q}) \cdot \nabla f_2(\mathbf{q})^T + \nabla f_1(f_2(\mathbf{q})) \cdot \nabla^2 f_2(\mathbf{q}).$$

By taking the first and second order derivations of  $f_1(z)$  and  $f_2(\mathbf{q})$  respectively, one has

$$\nabla f_1(z) = -\frac{c}{z(z+c)\ln 2}, \quad \nabla^2 f_1(z) = \frac{c(2z+c)}{z^2(z+c)^2\ln 2},$$

and

$$\nabla f_2(\mathbf{q}) = 2(\mathbf{q} - \mathbf{s}), \quad \nabla^2 f_2(\mathbf{q}) = 2\mathbf{I}.$$

Substituting above equations to  $\nabla^2 r_2(\mathbf{q})$ , it yields

$$\nabla^2 r_2(\mathbf{q}) = \frac{4c(2z+c)(\mathbf{q}-\mathbf{s})(\mathbf{q}-\mathbf{s})^T}{z^2(z+c)^2 \ln 2} - \frac{2c}{z(z+c) \ln 2} \mathbf{I},$$

with  $z = \|{\bf q} - {\bf s}\|^2$ .

Matrix  $\Lambda = (\mathbf{q} - \mathbf{s})(\mathbf{q} - \mathbf{s})^T \in \mathbb{R}^3$  has only one nonzero eigenvalue, while other two eigenvalues are both zero. Moreover, for arbitrary two dimension compatible matrices  $\mathbf{A}$  and  $\mathbf{B}$ ,  $\mathbf{A}\mathbf{B}$  and  $\mathbf{B}\mathbf{A}$  admit the same nonzero eigenvalues. Thus, the eigenvalue vector of matrix  $\mathbf{\Lambda}$  is  $(\|\mathbf{q} - \mathbf{s}\|^2, 0, 0)^T$ . Furthermore, the identity matrix  $\mathbf{I}$  can be simultaneously diagonalized with arbitrary matrices, which implies the eigenvalues of  $\nabla^2 r_2(\mathbf{q})$  are

$$\left(\frac{6cz + 2c^2}{z(z+c)^2 \ln 2}, -\frac{2c}{z(z+c) \ln 2}, -\frac{2c}{z(z+c) \ln 2}\right).$$

The proof is completed.

Now we present the following theorem to show the convex upper bound of  $r_{i,n}$ .

Theorem 1: For a given trajectory  $\mathbf{q}_n^t$ ,  $r_{i,n}$  can be upper bounded by  $\bar{r}_{i,n}$  with

$$\bar{r}_{i,n} = a_{i,n} B \log_2 \left( + \frac{\Delta_2}{a_{i,n} z_{i,n}^t} \right)$$

$$- \frac{2a_{i,n} B \Delta_2 (\mathbf{q}_n^t - \mathbf{s}_i)^T (\mathbf{q}_n - \mathbf{q}_n^t)}{z_{i,n}^t (a_{i,n} z_{i,n}^t + \Delta_2) \ln 2}$$

$$+\frac{a_{i,n}B\Delta_2(3a_{i,n}z_{i,n}^t + \Delta_2)\|\mathbf{q}_n - \mathbf{q}_n^t\|^2}{z_{i,n}^t(a_{i,n}z_{i,n}^t + \Delta_2)^2 \ln 2}, \quad (16)$$

where  $\Delta_2 = \frac{p_i \rho_0}{BN_0}$  and  $z_{i,n}^t = \|\mathbf{q}_n^t - \mathbf{s}_i\|^2$ . Moreover,  $\bar{r}_{i,n}$  is convex with respect to  $\mathbf{q}_n$ .

*Proof:* As aforementioned,  $\max(\|\mathbf{q}_n - \mathbf{s}_i\|^2, d_{\min}^2) \ge \|\mathbf{q}_n - \mathbf{s}_i\|^2$  leads to  $r_{i,n} \le \check{r}_{i,n}$  with  $\check{r}_{i,n}$  defined in (15). In the sequel, we will show  $\check{r}_{i,n} \le \bar{r}_{i,n}$ .

For a function f(x), its second-order Taylor expansion at the given point  $x_0$  is expressed as

$$f(\mathbf{x}) = f(\mathbf{x}_0) + \nabla f(\mathbf{x}_0)^T (\mathbf{x} - \mathbf{x}_0) + \frac{(\mathbf{x} - \mathbf{x}_0)^T \nabla^2 f(\mathbf{x}_0) (\mathbf{x} - \mathbf{x}_0)}{2} + o(\|\mathbf{x} - \mathbf{x}_0\|^2).$$

If the Hessian matrix  $\nabla^2 f(\mathbf{x}_0)$  is smaller than matrix  $\mathbf{A}$ , then we obtain

$$f(\mathbf{x}) \leqslant f(\mathbf{x}_0) + \nabla f(\mathbf{x}_0)^T (\mathbf{x} - \mathbf{x}_0) + \frac{(\mathbf{x} - \mathbf{x}_0)^T \mathbf{A} (\mathbf{x} - \mathbf{x}_0)}{2}.$$
(17)

Regarding  $\breve{r}_{i,n}$  as a function of  $\mathbf{q}_n$  with  $f(\mathbf{q}_n) = a_{i,n}B\log_2\left(1+\frac{\Delta_2}{a_{i,n}\|\mathbf{q}_n-\mathbf{s}_i\|^2}\right)$  and combining with Lemma 3, then it immediately follows from Eq. (17) that  $\breve{r}_{i,n} \leqslant \bar{r}_{i,n}$  with  $\bar{r}_{i,n}$  defined in (16). In addition,  $\bar{r}_{i,n}$  is obviously convex with respect to  $\mathbf{q}_n$ .

Based on the convexity of  $\bar{r}_{i,n}$  in (16), replacing  $r_{i,n}$  by  $\bar{r}_{i,n}$  can transform the non-convex constraint (13e) to a convex one.

According to the above arguments, we turn to solve the approximate convex problem of problem (SP1-3) as follows:

(SP1-4) 
$$\max_{\eta, \mathcal{Q}} \quad \eta$$
  
s.t.  $\frac{1}{N} \sum_{n \in \mathcal{N}} \tilde{r}_{i,n} \geqslant \eta, \quad \forall i,$  (18a)

$$\mathbf{q}_0 = \mathbf{q}_N,\tag{18b}$$

$$\|\mathbf{q}_{n+1} - \mathbf{q}_n\|^2 \leqslant \delta^2 V_{\text{max}}^2, \quad \forall n, \tag{18c}$$

$$\sum_{n \leqslant N'} \sum_{i=1}^{N_k} \tilde{r}_{i,n} \delta \geqslant D^u(N'), \quad \forall N', \tag{18d}$$

$$\sum_{n \le N'} \sum_{i=1}^{N_k} \bar{r}_{i,n} \delta \leqslant D^u(N') + C, \quad \forall N', \quad (18e)$$

where  $\tilde{r}_{i,n}$  and  $\bar{r}_{i,n}$  are defined in (14) and (16), respectively. We can utilize the CVX toolbox to solve problem (SP1-4) efficiently. Furthermore, due to  $r_{i,n} \geqslant \tilde{r}_{i,n}$  and  $r_{i,n} \leqslant \bar{r}_{i,n}$ , the optimal solution of problem (SP1-4) is a lower bound of that of problem (SP1-3). We iteratively solve problem (SP1-4) multiple times to improve the quality of the solution. Following a similar analysis to Subsection IV-A, the iterations converge to a local minimum or a saddle point with a feasible starting point. Consequently, we can obtain the optimal trajectory with fixed bandwidth allocation.

In summary, for Area k, the bandwidth allocation and trajectory optimization is shown in Algorithm 1. Notably, when implementing Algorithm 1, giving the initial trajectory  $Q^{(0)}$  and bandwidth allocation  $A^{(0)}$  is necessary. We generate  $Q^{(0)}$  by the traveling salesman problem (TSP) based initial

## Algorithm 1: IoT Bandwidth Allocation and UAV 3D Trajectory Design Algorithm

**Input:** Initial trajectory  $Q^{(0)}$  and bandwidth allocation  $\mathcal{A}^{(0)}$ , prescribed threshold  $\epsilon_1$ , initial difference  $\varphi^{(0)} = \epsilon_1 + 1$ , iteration index s = 0.

Output: Optimal bandwidth allocation and trajectory  $(\mathcal{A}^*, \mathcal{Q}^*).$ 

- 1: while  $\varphi^{(s)} \geqslant \epsilon_1$  do
- Solve problem (SP1-2) to obtain  $(\eta^{(s+1,1)}, \mathcal{A}^{(s+1)})$ ;
- Solve problem (SP1-4) to obtain  $(\eta^{(s+1)}, \mathcal{Q}^{(s+1)});$ Compute the difference  $\varphi^{(s+1)} = \frac{\eta^{(s+1)} \eta^{(s)}}{\eta^{(s)}};$

- 6: end while

trajectory design, which is detailedly presented in [21], [37] and thereby is omitted. For the initial bandwidth allocation  $\mathcal{A}^{(0)}$ , we can select  $\mathcal{A}^{(0)}$  as a matrix with each element in [0, 1] and the sum of each column being one. If the constraint (11e) is not satisfied, we can simultaneously shrink each element of  $\mathcal{A}^{(0)}$  until it is met. Moreover, since the objective function value is not decreasing and upper bounded, Algorithm 1 can be convergent to the local optimal solution of problem (SP1) [21].

## B. Sub-Problem 2: Power Allocation and Uplink Selection Optimization With Fixed Bandwidth Allocation and **Trajectory**

When the bandwidth allocation  $A_k$  and trajectory  $Q_k$  are fixed, by removing irrelevant terms with  $(\mathcal{B}, \mathcal{P})$ , IOP becomes

$$(SP2) \quad \max_{\mathcal{B},\mathcal{P}} \quad \sum_{k \in \mathcal{K}} F_k$$

$$\text{s.t. } P_{k,l,n} \geqslant 0, \quad \forall k,l,n, \qquad (19a)$$

$$b_{k,l,n} P_{k,l,n} \leqslant P_{\max}, \quad \forall k,l,n, \qquad (19b)$$

$$D_k^u(N') \leqslant D_k^r(N'), \quad \forall k,N' \in \mathcal{N}, \qquad (19c)$$

$$D_k^u(N') \geqslant D_k^r(N') - C_k, \quad \forall k,N' \in \mathcal{N}, \qquad (19d)$$

$$\sum_{k \in \mathcal{K}} b_{k,l,n} g(P_{k,l,n}, c_{k,l,n}) \leqslant R_{\max}, \quad \forall l,n,$$

$$\sum_{l \in \mathcal{L}} b_{k,l,n} \leqslant 1, \quad \forall k, n, \tag{19f}$$

$$b_{k,l,n} \in \{0,1\}, \quad \forall k,l,n.$$
 (19g)

Constraint (19b) is equivalent to (c7), and  $D_k^r(N')$  is a known constant for any N' when  $(A_k, Q_k)$  is fixed. Due to the coupled expression of  $(\mathcal{B}, \mathcal{P})$  in the objective function as well as (19c)-(19e), together with the binary constraint in (19g), problem (SP2) is obviously not convex with respect to  $(\mathcal{B}, \mathcal{P})$ .

In order to cope with the non-convexity, we first introduce an auxiliary variable  $\omega_{k,l,n} = g(P_{k,l,n}, c_{k,l,n})$ . Then based on the definition of g(p,c) in (3), we can express  $P_{k,l,n}$  as a function of  $\omega_{k,l,n}$  as follows:

$$P_{k,l,n} = f(\omega_{k,l,n}) = \frac{2^{\frac{\omega_{k,l,n}}{W}} - 1}{\nu(c_{k,l,n})},$$
 (20)

which is clearly a convex function of  $\omega_{k,l,n}$ . Clearly,  $P_{k,l,n} \ge$ 0 is equivalent to  $\omega_{k,l,n} \ge 0$ . Furthermore, let  $\rho_{k,l,n} =$  $b_{k,l,n}\omega_{k,l,n}$  and relax the inter programming constraint (19g) to a convex constraint  $b_{k,l,n} \in [0,1]$ . Then according to the definition of  $D_k^u(N')$  in Eq. (5) and definition of  $F_k$  in Eq. (9), with  $\rho = {\rho_{k,l,n}}$ , we can approximate problem (SP2) by

(SP2-1) 
$$\max_{\mathcal{B}, \rho} \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} \sum_{l \in \mathcal{L}_n} \delta \left[ \rho_{k,l,n} - \beta b_{k,l,n} f\left(\frac{\rho_{k,l,n}}{b_{k,l,n}}\right) \right]$$

s.t. 
$$\rho_{k,l,n} \geqslant 0$$
,  $\forall k,l,n$ , (21a)

$$b_{k,l,n}f\left(\frac{\rho_{k,l,n}}{b_{k,l,n}}\right) \leqslant P_{\max}, \quad \forall k,l,n,$$
 (21b)

$$\sum_{n \le N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta \leqslant D_k^r(N'), \quad \forall k, N',$$
 (21c)

$$\sum_{n \leqslant N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta \geqslant D_k^r(N') - C_k, \quad \forall k, N',$$
(21d)

$$\sum_{l=1}^{\infty} \rho_{k,l,n} \leqslant R_{\max}, \quad \forall l, n, \tag{21e}$$

$$\sum_{l \in \mathcal{L}} b_{k,l,n} \leqslant 1, \quad \forall k, n, \tag{21f}$$

$$b_{k,l,n} \in [0,1], \quad \forall k,l,n.$$
 (21g)

To begin with, we claim the convexity of problem (SP2-1). Proposition 1: Problem (SP2-1) is convex.

*Proof:* For b>0, we denote function  $\Upsilon(b,\rho)=bf(\frac{\rho}{b})$ with  $f(\cdot)$  given by (20) and  $\rho \ge 0$ . By taking the second order derivative of  $\Upsilon(b, \rho)$  with respect to  $(b, \rho)$ , we obtain

$$\nabla^2 \Upsilon(b,\rho) = \frac{\nabla^2 \ f(\frac{\rho}{b})}{b^3} \left( \begin{array}{cc} b^2 & -b\rho \\ -b\rho & \rho^2 \end{array} \right).$$

Since  $f(\cdot)$  is a convex function, it holds  $\nabla^2 f(\frac{\rho}{h}) \ge 0$ . Then, it yields  $\nabla^2 \Upsilon(b,\rho) \geqslant 0$ , which means that  $b_{k,l,n} f\left(\frac{\rho_{k,l,n}}{b_{k,l,n}}\right)$  is convex with respect to  $(\mathcal{B}, \rho)$ . Therefore, the objective function in problem (SP2-1) is concave while all constraints are convex. which leads to the convexity of problem (SP2-1).

Inspired by [25], we adopt the Lagrangian dual decomposition method to get the optimal solution of problem (SP2-1).

1) Lagrangian Dual Decomposition Method: By introducing nonnegative Lagrangian multipliers  $\lambda = \{\lambda_{k,l,n}\}, \gamma =$  $\{\gamma_{k,N'}\}, \; \boldsymbol{\mu} = \{\mu_{k,N'}\}, \; \boldsymbol{\xi} = \{\xi_{l,n}\}, \; \boldsymbol{\theta} = \{\theta_{k,n}\} \text{ associated }$ with corresponding constraints (21b)-(21f), respectively, we formulate the Lagrangian function by

$$\begin{split} L & \left( \mathcal{B}, \rho, \lambda, \mu, \gamma, \xi, \theta \right) \\ &= \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} \sum_{l \in \mathcal{L}_n} \delta \left[ \rho_{k,l,n} - \beta b_{k,l,n} f\left(\frac{\rho_{k,l,n}}{b_{k,l,n}}\right) \right] \\ &+ \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} \sum_{l \in \mathcal{L}_n} \lambda_{k,l,n} \left[ P_{\max} - b_{k,l,n} f\left(\frac{\rho_{k,l,n}}{b_{k,l,n}}\right) \right] \\ &+ \sum_{N' \in \mathcal{N}} \sum_{k \in \mathcal{K}} \gamma_{k,N'} \left( D_k^r(N') - \sum_{n \leqslant N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta \right) \\ &+ \sum_{N' \in \mathcal{N}} \sum_{k \in \mathcal{K}} \mu_{k,N'} \left( \sum_{n \leqslant N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta - D_k^r(N') + C_k \right) \\ &+ \sum_{n \in \mathcal{N}} \sum_{l \in \mathcal{L}_n} \xi_{l,n} (R_{\max} - \sum_{k \in \mathcal{K}} \rho_{k,l,n}) \end{split}$$

(19e)

$$+ \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} \theta_{k,n} (1 - \sum_{l \in \mathcal{L}_n} b_{k,l,n}).$$

Notice that, constraints (21a) and (21g) are not involved in the Lagrangian function.

The dual function is

$$G(\lambda, \gamma, \mu, \xi, \theta) = \max_{(21a), (21g)} L(B, \rho, \lambda, \gamma, \mu, \xi, \theta). \quad (22)$$

Then the Lagrangian dual problem is given by

$$\min_{\lambda,\gamma,\mu,\xi,\theta} \mathcal{G}(\lambda,\gamma,\mu,\xi,\theta)$$
s.t.  $\lambda,\gamma,\mu,\xi,\theta \geqslant 0$ .

We first deduce how to determine the optimal  $(\rho_{k,l,n}^*, b_{k,l,n}^*)$  with given Lagrangian multipliers  $(\lambda, \gamma, \mu, \xi, \theta)$ ,  $\forall k, l, n$ .

Since problem (SP2-1) is convex with respect to  $(\mathcal{B}, \rho)$ , taking the constraint  $\rho_{k,l,n} \geqslant 0$  in (21a) into account, the optimal solution  $\rho_{k,l,n}^*$  should satisfy the condition

$$\begin{cases} \rho_{k,l,n}^* = 0, & \text{if } \frac{\partial \mathbf{L}}{\partial \rho_{k,l,n}} |_{\rho_{k,l,n}=0} < 0, \\ \frac{\partial \mathbf{L}}{\partial \rho_{k,l,n}} |_{\rho_{k,l,n}=\rho_{k,l,n}^*} = 0, & \text{otherwise,} \end{cases}$$
 (23)

where  $\frac{\partial L}{\partial \rho_{k,l,n}}$  is the partial derivative of L with respect to  $\rho_{k,l,n}$ . It is not difficult to compute

$$\frac{\partial \mathbf{L}}{\partial \rho_{k,l,n}} = \delta - \frac{(\delta \beta + \lambda_{k,l,n})(\ln 2) 2^{\frac{\rho_{k,l,n}}{Wb_{k,l,n}}}}{W\nu(c_{k,l,n})} + \delta \sum_{N' \ge n} (\mu_{k,N'} - \gamma_{k,N'}) - \xi_{l,n}.$$

Then by the optimal condition Eq. (23), we can obtain

$$\rho_{k,l,n}^* = \max\left\{0, b_{k,l,n}W\log_2\frac{W\nu(c_{k,l,n})\Delta}{(\delta\beta + \lambda_{k,l,n})\ln 2}\right\} \quad (24)$$

with  $\Delta=\delta-\xi_{l,n}+\delta\sum\limits_{N'\geqslant n}(\mu_{k,N'}-\gamma_{k,N'})$ . Recalling the transformation  $\rho_{k,l,n}=b_{k,l,n}\omega_{k,l,n}$ , it immediately holds

$$\omega_{k,l,n}^* = \max \left\{ 0, W \log_2 \frac{W \nu(c_{k,l,n}) \Delta}{(\delta \beta + \lambda_{k,l,n}) \ln 2} \right\}. \tag{25}$$

According to expression  $P_{k,l,n} = f(\omega_{k,l,n})$  in Eq. (20), we further get the optimal solution  $P_{k,l,n}^*$  for problem (SP2) as

$$P_{k,l,n}^* = \frac{2^{\frac{\omega_{k,l,n}^*}{W}} - 1}{\nu(c_{k,l,n})}.$$
 (26)

Using a similar argument and considering the constraint  $b_{k,l,n} \in [0,1]$  in (21g), the optimal solution  $b_{k,l,n}^*$  is given by

$$\begin{cases} b_{k,l,n}^* = 0, & \text{if } \frac{\partial \mathbf{L}}{\partial b_{k,l,n}} |_{b_{k,l,n} = 0} < 0, \\ b_{k,l,n}^* \in (0,1), & \text{if } \frac{\partial \mathbf{L}}{\partial b_{k,l,n}} |_{b_{k,l,n}^*} = 0, \\ b_{k,l,n}^* = 1, & \text{if } \frac{\partial \mathbf{L}}{\partial b_{k,l,n}} |_{b_{k,l,n} = 1} > 0, \end{cases}$$
(27)

where  $\frac{\partial L}{\partial b_{k,l,n}}$  is the partial derivative of L with respect to  $b_{k,l,n}$ . We can easily derive

$$\frac{\partial \boldsymbol{L}}{\partial b_{k,l,n}} = \frac{(\delta \beta + \lambda_{k,l,n})((\omega_{k,l,n} \ln 2 - W)2^{\frac{\omega_{k,l,n}}{W}} + W)}{W\nu(c_{k,l,n})} - \theta_{k,n}.$$

As can be seen from the above expression, when  $\omega_{k,l,n}^*$  in Eq. (25) is determined,  $\frac{\partial \mathbf{L}}{\partial b_{k,l,n}}$  is a constant with uncertain sign. When  $\frac{\partial \mathbf{L}}{\partial b_{k,l,n}} < 0$  does not hold for all l, there exist at least one index l such that  $b_{k,l,n} > 0$ . In this case, due to  $b_{k,l,n} \in \{0,1\}$  in problem (SP2) and  $\sum_{l \in \mathcal{L}_n} b_{k,l,n} \leqslant 1$ , i.e., one UAV is supposed to transmit data to at most one LEO satellite at one time slot, we obtain the optimal solution  $b_{k,l,n}^*$  for problem (SP2) as

$$b_{k,l^*,n} = 1$$
 with  $l^* = \arg\max_{l} \frac{\partial \mathbf{L}}{\partial b_{k,l,n}}$ . (28)

2) Update of Lagrangian Multipliers: Because the Lagrangian dual function in (22) may be non-differentiable, the subgradient method is used to update the Lagrangian multipliers  $(\lambda, \mu, \gamma, \xi, \theta)$  [39]. It is not difficult to deduce the subgradient of L with respect to  $(\lambda, \mu, \xi, \theta)$  are respectively

$$\frac{\partial \mathbf{L}}{\partial \lambda_{k,l,n}} = P_{\max} - b_{k,l,n} f\left(\frac{\rho_{k,l,n}}{b_{k,l,n}}\right), 
\frac{\partial \mathbf{L}}{\partial \gamma_{k,N'}} = D_k^r(N') - \sum_{n \leq N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta, 
\frac{\partial \mathbf{L}}{\partial \mu_{k,N'}} = \sum_{n \leq N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta - D_k^r(N') + C_k, 
\frac{\partial \mathbf{L}}{\partial \xi_{l,n}} = R_{\max} - \sum_{k \in \mathcal{K}} \rho_{k,l,n}, 
\frac{\partial \mathbf{L}}{\partial \theta_{k,n}} = 1 - \sum_{l \in \mathcal{L}} b_{k,l,n}.$$

Then, we update the Lagrangian multipliers as follows

$$\lambda_{k,l,n}^{(s+1)} = \left[ \lambda_{k,l,n}^{(s)} - \tau_1^{(s)} \left( P_{\max} - b_{k,l,n} f\left( \frac{\rho_{k,l,n}}{b_{k,l,n}} \right) \right) \right]^+, \quad (29)$$

$$\gamma_{k,N'}^{(s+1)} = \left[ \gamma_{k,N'}^{(s)} - \tau_2^{(s)} \left( D_k^r(N') - \sum_{n \leqslant N'} \sum_{l \in \mathcal{L}_n} \rho_{k,l,n} \delta \right) \right]^+, \quad (30)$$

$$\mu_{k,N'}^{(s+1)} = \left[ \mu_{k,N'}^{(s)} - \tau_3^{(s)} \left( \sum_{n \leqslant N',l \in \mathcal{L}} \rho_{k,l,n} \delta - D_k^r(N') + C_k \right) \right]^+, \quad (31)$$

$$\xi_{l,n}^{(s+1)} = \left[ \xi_{l,n}^{(s)} - \tau_4^{(s)} (R_{\text{max}} - \sum_{l \in \mathcal{L}} \rho_{k,l,n}) \right]^+, \tag{32}$$

(27) 
$$\theta_{k,n}^{(s+1)} = \left[\theta_{k,n}^{(s)} - \tau_5^{(s)} \left(1 - \sum_{l \in \mathcal{L}_-} b_{k,l,n}\right)\right]^+, \tag{33}$$

where s is the iteration index,  $\tau = \{\tau_1, \dots, \tau_5\}$  represents the step size, and the notation  $[\cdot]^+$  means  $\max\{0,\cdot\}$ . Therefore,

**Algorithm 2:** UAV Power Allocation and LEO Satellite Selection Algorithm

**Input:** Initial Lagrangian multipliers  $\lambda^{(0)}$ ,  $\gamma^{(0)}$ ,  $\mu^{(0)}$ ,  $\xi^{(0)}$ ,  $\theta^{(0)}$ , prescribed threshold  $\epsilon_2$ , initial difference  $\phi^{(0)} = \epsilon_2 + 1$ , step size  $\{\tau\}$ , iteration index s = 0.

**Output:** Optimal power allocation and uplink selection policy  $(\mathcal{B}^*, \mathcal{P}^*)$ .

- 1: while  $\phi^{(s)} \geqslant \epsilon_2$  do
- 2: Use (25) and (26) to obtain the optimal power allocation  $\mathcal{P}^{s+1}$ ;
- 3: Use (27) and (28) to obtain the optimal uplink selection  $\mathcal{B}^{s+1}$ ;
- 4: Use (29), (30), (31), (32), (33) to update multipliers  $\boldsymbol{\lambda}^{(s+1)}, \boldsymbol{\gamma}^{(s+1)}, \boldsymbol{\mu}^{(s+1)}, \boldsymbol{\xi}^{(s+1)}, \boldsymbol{\theta}^{(s+1)};$
- $\begin{array}{ll} \text{6:} & \text{Compute the difference} \\ & \phi^{(s+1)} = \frac{\|\boldsymbol{\lambda}^{(s+1)} \boldsymbol{\lambda}^{(s)}\|}{\|\boldsymbol{\lambda}^{(s)}\|} + \frac{\|\boldsymbol{\gamma}^{(s+1)} \boldsymbol{\gamma}^{(s)}\|}{\|\boldsymbol{\gamma}^{(s)}\|} + \\ & \frac{\|\boldsymbol{\mu}^{(s+1)} \boldsymbol{\mu}^{(s)}\|}{\|\boldsymbol{\mu}^{(s)}\|} + \frac{\|\boldsymbol{\xi}^{(s+1)} \boldsymbol{\xi}^{(s)}\|}{\|\boldsymbol{\xi}^{(s)}\|} + \frac{\|\boldsymbol{\theta}^{(s+1)} \boldsymbol{\theta}^{(s)}\|}{\|\boldsymbol{\theta}^{(s)}\|} \end{array}$
- 6: s = s + 1.
- 7: end while

the power allocation and uplink selection optimization is shown in Algorithm 2.

Since the subgradients are bounded, if  $\{\tau^{(s)}\}$  is generated by a diminishing step-size rule, i.e.,

$$\sum_{s=1}^{\infty} \boldsymbol{\tau}^{(s)} = \infty, \quad \lim_{s \to \infty} \boldsymbol{\tau}^{(s)} = 0,$$

the multiplier sequence  $\{(\lambda^{(s)}, \gamma^{(s)}, \mu^{(s)}, \xi^{(s)}, \theta^{(s)})\}$  generated by Algorithm 2 is convergent to the optimal value [40]. In addition, the primal variables  $(\mathcal{B}^s, \mathcal{P}^s)$  are updated in an analytical form, and thereby the convergence rate mainly relies on the optimization of the dual variables. Since the optimization of dual variables is based on the subgradient method, we can speed up the convergence by adopting the adaptive step size

$$\tau_i^{(s)} = \frac{1}{\sqrt{s}}, \quad i = 1, \dots, 5.$$

Consequently, based on the above analyses, we can obtain the optimal power allocation and uplink selection.

## C. BCD Based Approach for IOP and Computational Complexity

In Subsections IV-A and IV-B, we propose Algorithms 1 and 2 to solve sub-problems (SP1) and (SP2), respectively. To jointly optimize the bandwidth allocation, trajectory, power allocation and uplink selection, on basis of obtained solutions of sub-problems (SP1) and (SP2), we implement the BCD process as follows. With fixed power allocation and uplink selection  $(\mathcal{B}, \mathcal{P})$ , we apply Algorithm 1 to optimize  $(\mathcal{A}_k, \mathcal{Q}_k)$ ,  $k = 1, \ldots, K$ . Then with obtained  $(\mathcal{A}_k, \mathcal{Q}_k)$ , we adopt Algorithm 2 to solve  $(\mathcal{A}_k, \mathcal{Q}_k)$ . Algorithms 1 and 2 are alternatively executed until the objective function value of IOP changes in a prescribed threshold. The BCD based approach

can converge to at least a locally optimal solution of IOP following the analysis similar to [21].

The computational complexity of the BCD based approach for IOP mainly depends on those of Algorithms 1 and 2. For Algorithm 1, it involves solving convex problems (SP1-2) and (SP1-4), where their variable numbers are MN + K and 3M + K, respectively. By employing a primal-dual interior point method to solve problems (SP1-2) and (SP1-4), the computation complexities are respectively  $O((MN + K)^3 \log(\epsilon^{-1}))$  and  $O((3M + K)^3 \log(\epsilon^{-1}))$ with  $\epsilon$  being the accepted duality gap. Supposing that the number of iterations in Algorithm 1 is  $S_1$ , then the total computation complexity for Algorithm 1 can be calculated as  $O\left(S_1((MN+K)^3+(3M+K)^3)\log(\epsilon^{-1})\right)$ . For Algorithm 2, since an analytical iteration is performed in each step, assuming that the total iterative number is  $S_2$ , then computation complexity for Algorithm 2 is  $O(S_2KLN)$ . Therefore, given the total iteration number S of the BCD based approach for IOP, its total computational complexity is formulated as  $O(SS_1((MN+K)^3+(3M+K)^3)\log(\epsilon^{-1})+SS_2KLN).$ 

#### V. NUMERICAL SIMULATIONS

In this section, numerous simulations are carried out to validate the effectiveness of the proposed BCD based approach for IOP.

Assume that a mountain region under surveillance with  $50 \text{ km} \times 50 \text{ km}$  is divided into K = 10 areas which are far apart from each other. In each area, a single UAV gathers data from  $N_k = 10$  IoT devices, k = 1, ..., 10. In addition, these IoT devices within each area are randomly and dependently distributed in a polyhedron area with height 100 m, while the lengths in Areas 1-2, 3-5, 6-8, 9-10 are respectively 2000 m, 3000 m, 4000 m and 5000 m. Meanwhile, there are  $L_n = L = 5$  LEO satellites in the air that can simultaneously receive data from UAVs of these K areas, n = 1, ..., N. The maximum receiving rate of LEO satellite  $R_{\rm max}$  is set to 10 Mbits/s. The weight coefficient  $\beta$  is selected as 0.5. When optimizing the objective function  $F_k = D_k^{tu} - \beta E_k$  in problem (SP2), we modify  $F_k = D_k^{tu} - 10^6 \beta E_k$  by taking the magnitudes of  $D_k^{tu}$  and  $E_k$  into account. We assume that the appointed mission period T is T = 100 s. As mentioned behind Eq. (3), during the mission period T, the fading coefficient  $\nu(c_{k,l,n})$  is randomly generated from 5.03, 3.46, and 1.0,  $k = 1, \ldots, 10, l = 1, \ldots, 5$ . Other main parameters of our numerical simulations are set in Table II.

With the above setup, we first display the trajectory and LEO satellite selection of UAVs in Areas 1-10 in Fig. 2(a), where the selection situation corresponds to the first time slot. As shown in Fig. 2(a), at the first time slot, UAVs  $U_2$ ,  $U_7$ , and  $U_8$  transmit data to LEO 1, UAV  $U_5$  has the uplink with LEO 2, UAVs  $U_1$  and  $U_9$  transmit data to LEO 3, UAVs  $U_3$ ,  $U_4$  and  $U_{10}$  transmit data to LEO 4, while UAV  $U_6$  has the uplink with LEO 5. In order to show the trajectory more clearly, we plot Area 1 as an example in Fig. 2(b). With the TSP based initial trajectory, we can obtain the optimal 3D trajectory. Since the appointed time is shorter than its TSP

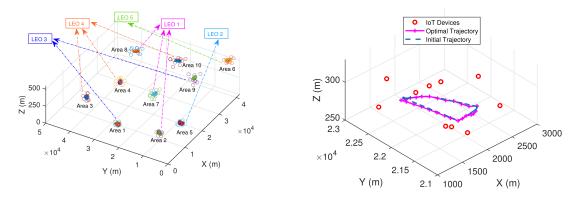


Fig. 2. Optimal trajectory of UAVs: (a) Trajectory of all areas and LEO satellite selection; (b) Trajectory of area 1.

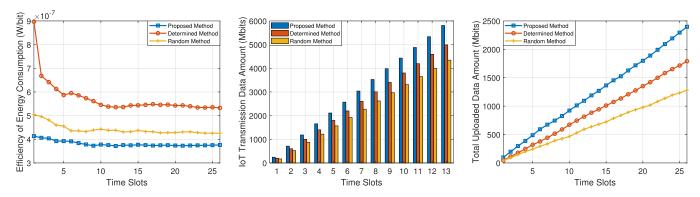


Fig. 3. Performance comparison of three methods: (a) Efficiency of energy consumption (W/bit); (b) IoT transmission data amount (Mbits); (c) Total uploaded data amount of UAVs (Mbits).

## TABLE II MAIN SIMULATION PARAMETERS

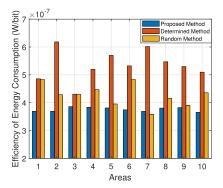
Parameter	Value
Time slot length: $\delta$	4 s
Safe distance between UAVs and IoT devices: $d_{\min}$	30 m
Channel power gain at reference distance of $d_0 = 1$ m: $\rho_0$	-50 dB
Total available bandwidth for each UAV $B$	1 MHz
Transmit power of $I_{i,k}$ : $p_{i,k}$	0.01 W
AWGN power spectral density $N_0$	-169
Awon power spectral delisity Ivo	dBm/Hz
Bandwidth of UAV transmission to LEO satellites: $W$	1 MHz
Maximum speed of UAV: $V_{\rm max}$	30 m/s
Maximum transmit power of UAV: $P_{\text{max}}$	1 W
Cache capacity of UAV $C_k$	500 Mbits
Thresholds in Algorithms 1 and 2: $\epsilon_1$ , $\epsilon_2$	$10^{-3}$

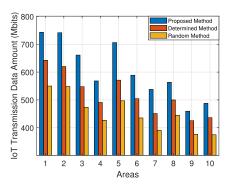
time, the UAV cannot arrive at each IoT device in this area. Work [37] has shown that the obtained 3D trajectory admits better performance than the 2D trajectory.

To the best of our knowledge, there was no algorithm for solving IOP. In order to evaluate the performance of the proposed algorithm, we compare it with two benchmark methods: determined method and random method. For the determined method, the total bandwidth of the UAV is equally allocated to all IoT devices in each area, the trajectory of the UAV is set to be the TSP based initial trajectory, each UAV select the LEO satellite which admits the best channel

condition, and the transmission rate of each UAV is the same while the total received rate of each LEO satellite is just equal to  $R_{\rm max}$ . For the determined method, when the constraints (c8) and (c9) in IOP are not satisfied, the bandwidth allocated to each IoT device and the transmission rate of each UAV will shrink until the two constraints hold. For the random method, we randomly generate the bandwidth allocation, randomly select the LEO satellites and randomly give the transmit power of each UAV until the constraints (c8) and (c9) are met, and the trajectory of the UAV still adopts the TSP based initial trajectory. When characterizing the algorithm performance, we use the efficiency of energy consumption of UAVs, the IoT transmission data amount, and total uploaded data amount by UAVs as metrics. Here the efficiency of energy consumption of UAV k is defined by the ratio of its energy consumption on uploading data to LEO satellites and its uploaded data amount as  $E_k/D_k^{tu}$ , where  $E_k$  and  $D_k^{tu}$  are the energy consumption given by (8) and the uploaded data amount given by (4), respectively. Without UAV backlogs, the lower the efficiency of energy consumption and the larger the IoT transmission data amount and total uploaded data amount, the better performance the method admits.

Then we depict the efficiency of energy consumption, IoT transmission data amount, and total uploaded data amount with respect to time slots for the proposed method, determined method and random method in Fig. 3. It is easy to see, the efficiencies of energy consumption of the proposed method





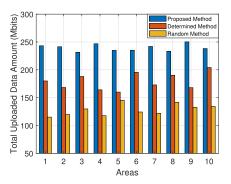


Fig. 4. Performance comparison of 10 areas: (a) Efficiency of energy consumption (W/bit); (b) IoT transmission data amount (Mbits); (c) Total uploaded data amount of UAVs (Mbits).

differ small during all the time slots, which implies they are balanced in each time slot. With the increase of time slots, both the IoT transmission data amount and the total uploaded data amount of UAVs are increasing nearly in a linear trend. Obviously, the proposed method is superior to the other two methods in all the three aspects. As can be seen from Fig. 3, our method has a much lower efficiency of energy consumption and much larger uploaded data amount. Therefore, our method admits better performance.

Moreover, since the IoT device densities in Area 1-Area 10 are not all the same, we compare efficiency of energy consumption, IoT transmission data amount, and total uploaded data amount of UAVs in Areas 1-10. Recalling that the lengths in Areas 1-2, 3-5, 6-8, 9-10 are respectively 2000 m, 3000 m, 4000 m and 5000 m, the IoT device densities in Areas 1-2, 3-5, 6-8, 9-10 are decreasing. From Figs. 4(a) and 4(c), the efficiency of energy consumption and the total uploaded data amount have no obvious trend for these three methods, whereas the IoT transmission data amount of the three methods have a decreasing trend for Areas 1-2, 3-5, 6-8, 9-10 in Fig. 4(b). Because the smaller IoT device density leads to larger distances among 10 IoT devices, the data amount gathered by UAV will decrease in a same time period. On the other hand, since the 10 UAVs in each area transmit data to LEO satellites collaboratively, the total uploaded data amount as well as the efficiency of energy consumption does not differ greatly in areas.

In the following, we will show how the maximum receiving rate  $R_{\rm max}$  and the number of LEO satellites L affect the proposed method. We consider the scenario that the length of each area is equal to 5000 m, and the time slot length is set to 5 s. Other simulation parameters keep unchanged.

1) Comparison of Different Maximum Receiving Rate  $R_{\rm max}$ : In order to illustrate the effect of the maximum receiving rate  $R_{\rm max}$  of LEO satellites, we compare eight cases with  $R_{\rm max} = \{1, 3, 5, 7, 9, 11, 13, 15\}$  Mbits/s, respectively. As shown in Figs. 5 and 6, for all these eight cases, the proposed method performs better than the determined method and the random method in terms of both the efficiency of energy consumption and the total uploaded data amount, while the determined method and the random method have no clear superiority to each other. Moreover, when  $R_{\rm max}$  becomes

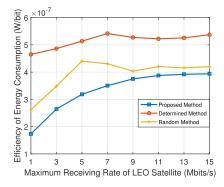


Fig. 5. Efficiency of energy consumption with different  $R_{\text{max}}$ .

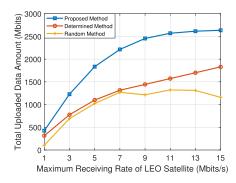


Fig. 6. Total uploaded data amount with different  $R_{\mathrm{max}}$ .

larger, the LEO satellites can receive more data at each time slot, which leads to larger total uploaded data amount. However, the efficiency of energy consumption becomes worse with larger  $R_{\rm max}$ . In addition, when  $R_{\rm max}$  exceeds a certain threshold (about 11 Mbits/s for this example), the gain in uploaded data amount almost disappears. Actually, by only adding  $R_{\rm max}$  to a certain value, the IoT transmission data will not change any longer, which further causes that the uploaded data amount nearly keeps unchanged. Therefore, we can use different LEO satellites with proper maximum receiving rate  $R_{\rm max}$  rather than a large one in practical applications.

2) Comparison of Different Numbers of LEO Satellites L: When the number of LEO satellites L varies in  $L = \{1, 2, 3, 4, 5\}$ , we show the efficiency of energy consumption

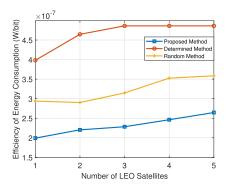


Fig. 7. Efficiency of energy consumption with different L.

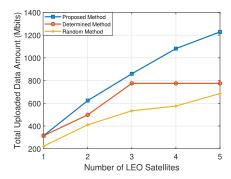


Fig. 8. Total uploaded data amount with different L.

and total uploaded data amount in Figs. 7 and 8, respectively. It is easy to see, the proposed method outperforms the other two methods. Meanwhile, the total uploaded data amount is increasing with respect to L, as shown in Fig. 8. When L becomes larger, UAVs have more chances to select the LEO satellite with better channel state. Meanwhile, with the increase of L, the LEO satellite constellation possesses more data receiving capacity. However, the efficiency of energy consumption becomes slightly worse with respect to L. In practical applications, we can adjust the number of LEO satellites to satisfy different preference on energy consumption or uploaded data amount.

#### VI. CONCLUSION AND FUTURE WORK

In this paper, we have investigated a UAV-LEO integrated data collection in the B5G IoRT networks. Specifically, to maximize the total uploaded data amount to LEO satellites and minimize the energy consumption of UAVs simultaneously, we have proposed a BCD-based method to jointly optimize the IoT bandwidth allocation, UAV 3D trajectory design, UAV power allocation and LEO satellite selection. Numerical results have shown the superiority and effectiveness of the proposed method. The work could provide useful guidelines for deployment and data collection algorithm design in B5G IoRT networks. For the future work, we will consider the dynamic interconnection and transmission optimization among satellites for massive LEO satellite networking to provide better data collection sevices in B5G IoRT networks.

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