

Assistant Professor of Finance  
University of Waterloo  
School of Accounting and Finance  
200 University Ave W, Waterloo, ON N2L 3G1

Phone: (519)888-4567, Ext: 41988  
Email: [tu.nguyen@uwaterloo.ca](mailto:tu.nguyen@uwaterloo.ca)  
Web: <https://uwaterloo.ca/school-of-accounting-and-finance/people-profiles/tu-nguyen>

## EDUCATION

---

Ph.D. Finance, Drexel University, Philadelphia, PA  
M.B.A. Finance, Boston University, Boston, MA  
B.S. Economics, Foreign Trade University, Hanoi, Vietnam

## PUBLICATIONS

---

- Cai, J., Nguyen, T., Walkling, R., 2021. Director Appointments – It is Who You Know. *Review of Financial Studies*, Forthcoming.
- Brisley, N., Cai, J., Nguyen, T., 2021. Required CEO stock ownership: consequences for risk-taking and compensation. *Journal of Corporate Finance*, Forthcoming.
- Fich, E., Nguyen, T., 2020. The value of CEO's supply chain experience: Evidence from mergers and acquisitions. *Journal of Corporate Finance* 60.
- Fich, E., Nguyen, T., Officer, M., 2018. Large Wealth Creation in Mergers and Acquisitions. *Financial Management* 47(4): 953-991.
- Cai, J., Nguyen, T., 2018. Disciplinary Directors: Evidence from the Appointments of Outside Directors Who Have Fired CEOs. *Journal of Banking and Finance* 96: 221-235.

## WORKING PAPERS

---

- Debt Financing, Payout Stability and the Relative Importance of Optimal Capital Structure: Theory and Evidence (with Alan Douglas)
- The Leverage-Profitability Puzzle Revisited (with Alan Douglas)
- Managerial Protection and Corporate Innovation (with Alan Douglas, Sunghun Chung, and Jee-Hae Lim)
- Financial Policy and Compensation: Payout Policy, Dividend Covenants and Pay-Performance Sensitivity (with Alan Douglas and Ranjini Jha)

## CONFERENCE PRESENTATIONS (\*presented by co-author, \*\*by invitation)

---

- Southern Finance Association (SFA) Meeting, 2020\*
- European Financial Management Association (EFMA) Meeting, 2019\*
- Financial Intermediation Research Society (FIRS) Meeting, 2019\*
- Financial Markets and Corporate Governance Conference, 2018\*
- Financial Management Association (FMA) Meeting, 2018

Eastern Finance Association (EFA) Meeting, 2018\*\*  
28th Annual Conference on Financial Economics and Accounting (CFEA), 2017\*  
North American Social Networks Conference, 2017\*  
12th Annual Conference on Empirical Legal Studies (CELS), 2017\*  
Northern Finance Association (NFA) Meeting, 2017  
Financial Management Association (FMA) Meeting, 2016  
Southern Finance Association (SFA) Meeting, 2016  
Northern Finance Association (NFA) Meeting, 2016  
Third Ontario Universities Accounting & Finance Symposium, 2016\*\*  
Financial Intermediation Research Society (FIRS) Meeting, 2015  
Financial Management Association (FMA) Meeting, 2013  
European Finance Association (EFA) Meeting, 2013\*  
Northern Finance Association (NFA) Meeting, 2013  
European Financial Management Association (EFMA) Meeting, 2013\*  
American Finance Association (AFA) Meeting, 2013\*  
Financial Management Association (FMA) Meeting, 2012  
Southern Finance Association (SFA) Meeting, 2012

## **SEMINAR PRESENTATIONS**

---

University of Toronto (2019)  
University of Waterloo (2013)  
California State University at Fullerton (2013)  
James Madison University (2012)

## **PROFESSIONAL ACTIVITIES AND UNIVERSITY SERVICE**

---

**Refereeing:** Journal of Corporate Finance, Financial Review, Managerial Finance, Asia-Pacific Journal of Accounting and Economics, Canadian Journal of Administrative Sciences, ILR Review  
**Conference program committees:** Northern Finance Association (NFA) (2018, 2019, 2020, 2021), Southern Finance Association (SFA) (2020)  
**Conference discussions:** Financial Management Association (FMA) Meeting, 2016, Northern Finance Association (NFA) Meeting, 2015, Northern Finance Association (NFA) Meeting, 2014, Financial Management Association (FMA) Meeting, 2013, Northern Finance Association (NFA) Meeting, 2013, Financial Management Association (FMA) Meeting, 2012, Southern Finance Association (SFA) Meeting, 2012  
**University of Waterloo:** Recruiting Committee (2018/2019), 1<sup>st</sup> and 2<sup>nd</sup>-year Curriculum Committee (2018-present), Finance Seminar Series (2013-2015; 2018-present)

## **TEACHING EXPERIENCE**

---

Drexel University

- Introduction to Finance (FIN 301), latest evaluation 3.7/4.0 (class of 50)

University of Waterloo

- Cases and Applications in Corporate Finance (AFM 471), latest evaluation 4.5/5.0 (class of 68)
- Managerial Finance 2 (AFM 274), latest evaluation 4.6/5.0 (class of 68)

## **MEDIA COVERAGE**

---

Harvard Law School Forum on Corporate Governance and Financial Regulation (April 7, 2017)