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## PROFESSIONAL EXPERIENCE

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### School of Accounting and Finance, University of Waterloo, ON, Canada

2022 – Present, Associate Professor of Finance

2013 – 2022, Assistant Professor of Finance

## EDUCATION

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Ph.D. Finance, Drexel University, Philadelphia, PA  
M.B.A. Finance, Boston University, Boston, MA  
B.S. Economics, Foreign Trade University, Hanoi, Vietnam

## PUBLICATIONS IN REFEREED JOURNALS

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- Cai, J., Nguyen, T., Walkling, R., 2022. Director Appointments – It is Who You Know. *Review of Financial Studies* 35: 1933-1982.
- Brisley, N., Cai, J., Nguyen, T., 2021. Required CEO stock ownership: consequences for risk-taking and compensation. *Journal of Corporate Finance* 66: 101850.
- Fich, E., Nguyen, T., 2020. The value of CEO's supply chain experience: Evidence from mergers and acquisitions. *Journal of Corporate Finance* 60: 101525.
- Fich, E., Nguyen, T., Officer, M., 2018. Large Wealth Creation in Mergers and Acquisitions. *Financial Management* 47(4): 953-991.
- Cai, J., Nguyen, T., 2018. Disciplinary Directors: Evidence from the Appointments of Outside Directors Who Have Fired CEOs. *Journal of Banking and Finance* 96: 221-235.

## WORKING PAPERS

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- The Nurturing Effect of Takeover Protection on IT Innovation: The Moderating Role of a CEO's Managerial Power (with A. Douglas, S. Chung, and J. Lim)
- Corporate Financial Policy and the Relative (Un)Importance of Optimal Capital Structure: Theory and Evidence (with A. Douglas)
- Director Influence (with J. Cai)

**CONFERENCE PRESENTATIONS** (\*presented by co-author)

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- Southern Finance Association (SFA) Meeting\*, 2022 “What Explains the Effect of Anti-Takeover Provisions on Corporate Innovation?” A. Douglas and T. Nguyen
- Northern Finance Association (NFA) Meeting\*, 2021 “What Explains the Effect of Anti-Takeover Provisions on Corporate Innovation?” A. Douglas and T. Nguyen
- Southern Finance Association (SFA) Meeting\*, 2020 “Debt Financing, Payout Stability and the Relative Importance of Optimal Capital Structure: Theory and Evidence”, A. Douglas and T. Nguyen
- Financial Intermediation Research Society (FIRS) Meeting, 2019 “Director Appointments – It is Who You Know”, J. Cai, T. Nguyen, R. Walkling
- European Financial Management Association (EFMA) Meeting\*, 2019 “Debt Financing, Payout Stability and the Relative Importance of Optimal Capital Structure: Theory and Evidence”, A. Douglas and T. Nguyen
- Financial Management Association (FMA) Meeting, 2018 “The Leverage-Profitability Puzzle Revisited”, A. Douglas and T. Nguyen
- Financial Markets and Corporate Governance Conference\*, 2018 “The Leverage-Profitability Puzzle Revisited”, A. Douglas and T. Nguyen
- Eastern Finance Association (EFA) Meeting\*, 2018 “Director Appointments – It is Who You Know”, J. Cai, T. Nguyen, R. Walkling
- Northern Finance Association (NFA) Meeting, 2017 “Director Appointments – It is Who You Know”, J. Cai, T. Nguyen, R. Walkling
- 28th Annual Conference on Financial Economics and Accounting (CFEA)\*, 2017 “Director Appointments – It is Who You Know”, J. Cai, T. Nguyen, R. Walkling
- North American Social Networks Conference\*, 2017 “Director Appointments – It is Who You Know”, J. Cai, T. Nguyen, R. Walkling
- 12th Annual Conference on Empirical Legal Studies (CELS)\*, 2017 “Required CEO stock ownership: consequences for risk-taking and compensation”, N. Brisley, J. Cai, Nguyen
- Financial Management Association (FMA) Meeting, 2016 “Required CEO stock ownership: consequences for risk-taking and compensation”, N. Brisley, J. Cai, Nguyen
- Southern Finance Association (SFA) Meeting, 2016 “Financial Policy and Compensation: Payout Policy, Dividend Covenants and Pay-Performance Sensitivity”, A. Douglas, R. Jha, and T. Nguyen
- Northern Finance Association (NFA) Meeting, 2016 “Required CEO stock ownership: consequences for risk-taking and compensation”, N. Brisley, J. Cai, Nguyen
- Financial Intermediation Research Society (FIRS) Meeting, 2015 “Disciplinary Directors: Evidence from the Appointments of Outside Directors Who Have Fired CEOs”, J. Cai and T. Nguyen
- Financial Management Association (FMA) Meeting, 2013 “Disciplinary Directors: Evidence from the Appointments of Outside Directors Who Have Fired CEOs”, J. Cai and T. Nguyen
- European Finance Association (EFA) Meeting\*, 2013 “Large Wealth Creation in Mergers and Acquisitions”, E. Fich, T. Nguyen, and M. Officer
- Northern Finance Association (NFA) Meeting, 2013 “The value of CEO’s supply chain experience: Evidence from mergers and acquisitions”, E. Fich and Nguyen

- European Financial Management Association (EFMA) Meeting\*, 2013 “Large Wealth Creation in Mergers and Acquisitions”, E. Fich, T. Nguyen, and M. Officer
- American Finance Association (AFA) Meeting\*, 2013 “Large Wealth Creation in Mergers and Acquisitions”, E. Fich, T. Nguyen, and M. Officer
- Financial Management Association (FMA) Meeting, 2012 “The value of CEO’s supply chain experience: Evidence from mergers and acquisitions”, E. Fich and Nguyen
- Southern Finance Association (SFA) Meeting, 2012 “The value of CEO’s supply chain experience: Evidence from mergers and acquisitions”, E. Fich and Nguyen

## **INVITED PAPER PRESENTATIONS**

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- University of Toronto (2019) “Director Appointments – It is Who You Know”, J. Cai, T. Nguyen, R. Walkling
- Third Ontario Universities Accounting & Finance Symposium (2016) “Required CEO stock ownership: consequences for risk-taking and compensation”, N. Brisley, J. Cai, T. Nguyen
- California State University at Fullerton (2013) “The value of CEO’s supply chain experience: Evidence from mergers and acquisitions”, E. Fich and T. Nguyen
- James Madison University (2012) “The value of CEO’s supply chain experience: Evidence from mergers and acquisitions”, E. Fich and T. Nguyen

## **PROFESSIONAL ACTIVITIES AND UNIVERSITY SERVICE**

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### **Refereeing:**

- Journal of Corporate Finance
- Journal of Financial Research
- Journal of Business Finance and Accounting
- Financial Review
- Managerial Finance
- Asia-Pacific Journal of Accounting and Economics
- Canadian Journal of Administrative Sciences
- ILR Review

### **Conference program committees:**

- Northern Finance Association (NFA) (2018, 2019, 2020, 2021, 2022, 2023, 2024)
- Financial Management Association (FMA) (2022)
- Southern Finance Association (SFA) (2020, 2022)

### **Session Chairs:**

- Financial Management Association (FMA) Meeting 2012

Financial Management Association (FMA) Meeting 2013

**Conference discussions:**

Financial Management Association (FMA) Meeting 2016

Northern Finance Association (NFA) Meeting 2015

Northern Finance Association (NFA) Meeting 2014

Financial Management Association (FMA) Meeting 2013

Northern Finance Association (NFA) Meeting 2013

Financial Management Association (FMA) Meeting 2012

Southern Finance Association (SFA) Meeting 2012

**University of Waterloo:**

Recruiting Committee (2018-2019; 2022-present)

Curriculum Committee (2018-present)

Finance Seminar Series (2013-2015; 2018-present)

**GRADUATE SUPERVISION**

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PhD Committees: Hamza Warraich, 2021

Jessie Ge, In progress

Michael D'Antuono, Drexel University, 2023

Alireza Mahalati Rayeni, York University, 2023

RA Supervision: Ph.D. students 2013-2021 (Jessie Ge, Minna Hong, Phyo Hlaing, Victor Wang, Andrea Stapleton)

**TEACHING**

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Drexel University

- Introduction to Finance (FIN 301)
  - Spring 2011: rating: 3.53/4.0 (class of 50); department mean: 3.33
  - Summer 2011: rating: 3.48/4.0 (class of 50); department mean: 3.26
  - Summer 2011: rating: 3.70/4.0 (class of 17); department mean: 3.26

University of Waterloo

- Cases and Applications in Corporate Finance (AFM 471)
  - Fall 2013: ratings: 4.26/5.0 (class of 71); 4.28/5.0 (class of 71); 4.43/5.0 (class of 70); department mean: 4.01

- Fall 2014: ratings: 4.46/5.0 (class of 75); 4.22/5.0 (class of 73); 4.31/5.0 (class of 69); 4.36/5.0 (class of 47); department mean: 4.01
- Managerial Finance 2 (AFM 274)
  - Spring 2015: ratings: 4.03/5.0 (class of 71); 4.38/5.0 (class of 66); department mean: 4.01
  - Spring 2018: ratings: 4.40/5.0 (class of 66); 4.54/5.0 (class of 63); 4.60/5.0 (class of 52); 4.67/5.0 (class of 19); department mean: 4.01
  - Spring 2019: ratings: 4.52/5.0 (class of 66); 4.61/5.0 (class of 67); 4.58/5.0 (class of 68); department mean: 4.01
  - Spring 2021: ratings: 4.28/5.0 (class of 368); department mean: 4.01
  - Spring 2022: ratings: 4.60/5.0 (class of 59); 4.67/5.0 (class of 54); 4.43/5.0 (class of 57); department mean: 4.01
  - Spring 2023: ratings: 4.60/5.0 (class of 68); 4.50/5.0 (class of 73); 4.50/5.0 (class of 73); department mean: 4.01

Undergraduate RA supervision 2015-2022: Oni Prisecaru, Sasan Li, David Chan, Sophia Chen, Aashish Pappu, Anna Ng, Ismail Mian, Hasanain Habib-Nayany, Yushu Yang, Ryan Korn, Jack Zho, Joy Yang, Kevin Shin, Ahmed Eldin, Sephr Bemanpour, Hardeep Begda, Daniyal Ahmed, Benedict Cheung

## **MEDIA COVERAGE**

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Harvard Law School Forum on Corporate Governance and Financial Regulation (April 7, 2017)