

Shifted Convolutions

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Classical additive divisor problem

Fix a positive integer k . Estimate the convolution

$$\sum_{n \leq X} d(n)d(n+k)$$

where $d(n)$ is the number of divisors of n .

How does shifting by an integer (addition) influence the number of divisors?

What are we estimating here?

A *twisted additive divisor problem* (Cowan, 2025):

$$\sum_{n < X} \frac{\sigma_{2u}(n, \chi) \sigma_{2v}(n+k, \psi)}{n^{u+v}}$$

where

$$\sigma_s(n, \chi) := \sum_{\substack{d|n \\ d>0}} \chi(d) d^s$$

What are we proving?

Assuming some mild hypotheses,

Theorem

$$\begin{aligned} \sum_{n=1}^X \frac{\sigma_{2u}(n, \chi) \sigma_{2v}(n-k, \psi)}{n^{u+v}} &= \frac{L(1-2u, \chi) L(1-2v, \psi)}{L(2-2u-2v, \chi\psi)} \sigma_{-1+2u+2v}(k, \chi\psi) \frac{X^{1-u-v}}{1-u-v} \\ &+ \frac{\tau(\overline{\chi\psi})}{\tau(\bar{\chi})\tau(\bar{\psi})} \frac{L(1+2u, \bar{\chi}) L(1+2v, \bar{\psi})}{L(2+2u+2v, \bar{\chi}\bar{\psi})} \frac{\sigma_{1+2u+2v}(k, \chi\psi)}{k^{1+2u+2v}} \frac{X^{1+u+v}}{1+u+v} \\ &+ \mathcal{O}\left(X^{1+|\Re(u)|+|\Re(v)|-\frac{1+2|\Re(u)|+2|\Re(v)|}{3+|\Re(u+v)|+|\Re(u-v)|}+\varepsilon}\right) \end{aligned}$$

There is a “main term” and an “error term”.

L-Series construction

Assume N is prime and some mild hypotheses. Define

$$V(z) := E_{1, \bar{\chi}}^* \left(z, \frac{1}{2} + u \right) E_{1, \bar{\psi}}^* \left(z, \frac{1}{2} + v \right)$$

where

$$E_{1, \bar{\psi}}^*(z, s) = \frac{N^s}{\tau(\psi)} \Lambda(2s, \bar{\psi}) y^s + \sum_{n \neq 0} 2|n|^{\frac{1}{2}-s} \sigma_{2s-1}(n, \psi) y^{\frac{1}{2}} K_{s-\frac{1}{2}}(2\pi|n|y) e(nx)$$

is the completed Eisenstein series ([You19, Thm 4.1])

Proof idea

- Let $V(z) := E_{1,\bar{\chi}}^* \left(z, \frac{1}{2} + u \right) E_{1,\bar{\psi}}^* \left(z, \frac{1}{2} + v \right)$.
- Express $V(z)$ by two different methods. Calculate the Mellin transform of the k th Fourier coefficient of both forms of $V(z)$, then take an inverse Mellin transform (Perron's formula). Distinct expressions for the same thing gives identities for the shifted convolution.
- Method 1: Look up the Fourier series expansion of each E^* to calculate the Mellin transform of the k th Fourier coefficient directly.
- Method 2: Use a known basis (in terms of spectral decomposition) for square integrable functions.

Method 1

Lemma

$$\begin{aligned} & \int_0^\infty \left(\int_0^1 V(z) e(-kx) dx \right) y^{s-1} dy \\ &= \frac{\tau(\chi)}{\sqrt{N}} \Lambda(1+2u, \bar{\chi}) \frac{N^u \sigma_{2v}(k, \psi)}{2\pi^{s+u} k^{s+u+v}} \Gamma\left(\frac{s+u+v}{2}\right) \Gamma\left(\frac{s+u-v}{2}\right) \\ &+ \frac{\tau(\psi)}{\sqrt{N}} \Lambda(1+2v, \bar{\psi}) \frac{N^v \sigma_{2u}(k, \chi)}{2\pi^{s+v} k^{s+u+v}} \Gamma\left(\frac{s+u+v}{2}\right) \Gamma\left(\frac{s-u+v}{2}\right) \\ &+ \frac{\Gamma\left(\frac{s+u+v}{2}\right) \Gamma\left(\frac{s+u-v}{2}\right) \Gamma\left(\frac{s-u+v}{2}\right) \Gamma\left(\frac{s-u-v}{2}\right)}{2\pi^s \Gamma(s)} \\ &\cdot \sum_{n \neq 0, k} \frac{\sigma_{2u}(n, \chi) \sigma_{2v}(n-k, \psi)}{|n|^{s+u+v}} {}_2F_1\left(\frac{s+u+v}{2}, \frac{s+u-v}{2}; s; \frac{2k}{n} - \frac{k^2}{n^2}\right) \end{aligned}$$

Notation

$$L_k(s) := \sum_{n \neq 0, k} \frac{\sigma_{2u}(n, \chi) \sigma_{2v}(n-k, \psi)}{|n|^{s+u+v}} {}_2F_1\left(\frac{s+u+v}{2}, \frac{s+u-v}{2}; s; \frac{2k}{n} - \frac{k^2}{n^2}\right)$$

Method 2

For a square integrable U , we have its spectral decomposition in terms of **Maass forms** (spooky) and **Eisenstein series**,

$$U(z) = \sum_j \frac{\langle U, f_j \rangle}{\langle f_j, f_j \rangle} f_j(z) + \frac{1}{4\pi i} \sum_a \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \langle U, E_a(\cdot, \lambda, \chi\psi) \rangle E_a(z, \lambda, \chi\psi) d\lambda$$

Problem: $\langle V, E_a(\cdot, \lambda, \chi\psi) \rangle$ is not finite.

Regularizing function

If we choose R as a linear combination of two Eisenstein series

$$R(z) := \frac{\tau(\overline{\chi\psi})}{\tau(\overline{\chi})\tau(\overline{\psi})} \frac{\Lambda(1+2u, \overline{\chi})\Lambda(1+2v, \overline{\psi})}{\Lambda(2+2u+2v, \overline{\chi\psi})} E_{1, \overline{\chi\psi}}^*(z, 1+u+v) \\ + \frac{\Lambda(1-2u, \chi)\Lambda(1-2v, \psi)}{\Lambda(2-2u-2v, \chi\psi)} E_{1, \chi\psi}^*(z, u+v).$$

then

Lemma

$$V - R \in L^2(\Gamma_0(N) \backslash \mathbb{H}; \chi\psi)$$

Spectral decomposition of $V - R$

Then

$$V - R = \sum_j \frac{\langle V - R, f_j \rangle}{\langle f_j, f_j \rangle} f_j(z) + \frac{1}{4\pi i} \sum_a \int_{\frac{1}{2} - i\infty}^{\frac{1}{2} + i\infty} \langle V - R, E_a(\cdot, \lambda, \chi\psi) \rangle E_a(z, \lambda, \chi\psi) d\lambda$$

and we get

$$\begin{aligned} & \int_0^\infty \left(\int_0^1 V(z) e(-kx) dx \right) y^{s-1} \frac{dy}{y} \\ &= \int_0^\infty \left(\int_0^1 (V(z) - R(z)) e(-kx) dx \right) y^{s-1} \frac{dy}{y} \\ & \quad + \int_0^\infty \left(\int_0^1 R(z) e(-kx) dx \right) y^{s-1} \frac{dy}{y} \end{aligned}$$

We calculate the contributions from the **continuous spectrum** and **discrete spectrum** separately.

Contribution from continuous spectrum

Lemma

$$\begin{aligned}
 & \int_0^\infty \int_0^1 \left[\frac{1}{4\pi i} \sum_a \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \langle V - R, E_a(\cdot, \lambda, \chi\psi) \rangle E_a(z, \lambda, \chi\psi) d\lambda \right] e(-kx) y^{s-1} \frac{dx dy}{y} \\
 &= \int_{-\infty}^\infty \frac{\Lambda(\frac{1}{2} + i\omega + u + v) \Lambda(\frac{1}{2} + i\omega - u + v, \chi) \Lambda(\frac{1}{2} + i\omega + u - v, \psi) \Lambda(\frac{1}{2} + i\omega - u - v, \chi\psi)}{\Lambda(1 + 2i\omega, \chi\psi) \Lambda(1 - 2i\omega, \chi\psi)} \\
 &\quad \cdot \frac{\tau(\chi\psi)}{\sqrt{N}} \frac{\sigma_{-2i\omega}(k, \chi\psi)}{\left(\frac{\frac{1}{2} - i\omega - u - v}{2}\right)} \pi^{s+\frac{1}{2}} k^{s-\frac{1}{2}-i\omega} \Gamma\left(\frac{s-\frac{1}{2}+i\omega}{2}\right) \Gamma\left(\frac{s-\frac{1}{2}-i\omega}{2}\right) d\omega.
 \end{aligned}$$

Contribution from discrete spectrum

Lemma

$$\begin{aligned} & \int_0^\infty \int_0^1 \left[\sum_j \frac{\langle V - R, f_j \rangle}{\langle f_j, f_j \rangle} f_j(z) \right] e(-kx) y^{s-1} \frac{dx dy}{y} \\ &= \sum_{f_j \text{ even}} \frac{\tau(\chi)}{\sqrt{N}} \frac{N^u |\rho_j(1)|^2 a_j(k)}{2\pi^{s+\frac{1}{2}+2u} k^{s-\frac{1}{2}} \langle f_j, f_j \rangle} L\left(\frac{1}{2} + u + v, \bar{f}_j\right) L\left(\frac{1}{2} + u - v, \psi \times \bar{f}_j\right) \\ & \quad \cdot \Gamma\left(\frac{u + v + \frac{1}{2} + ir_j}{2}\right) \Gamma\left(\frac{u - v + \frac{1}{2} + ir_j}{2}\right) \Gamma\left(\frac{u + v + \frac{1}{2} - ir_j}{2}\right) \Gamma\left(\frac{u - v + \frac{1}{2} - ir_j}{2}\right) \\ & \quad \cdot \Gamma\left(\frac{s - \frac{1}{2} + ir_j}{2}\right) \Gamma\left(\frac{s - \frac{1}{2} - ir_j}{2}\right). \end{aligned}$$

Contribution from regularizing function

Lemma

$$\begin{aligned} & \int_0^\infty \left(\int_0^1 R(z) e(-kx) dx \right) y^{s-1} \frac{dy}{y} \\ &= \frac{\tau(\overline{\chi\psi})}{\tau(\overline{\chi})\tau(\overline{\psi})} \frac{\Lambda(1+2u, \overline{\chi})\Lambda(1+2v, \overline{\psi})\sigma_{2u+2v+1}(k, \chi\psi)}{\Lambda(2+2u+2v, \overline{\chi\psi})} \frac{\Gamma\left(\frac{s+u+v}{2}\right)}{\Gamma\left(\frac{s-1+u-v}{2}\right)} \\ &+ \frac{\Lambda(1-2u, \chi)\Lambda(1-2v, \psi)\sigma_{2u+2v-1}(k, \chi\psi)}{\Lambda(2-2u-2v, \chi\psi)} \frac{\Gamma\left(\frac{s-u-v}{2}\right)}{\Gamma\left(\frac{s-1+u-v}{2}\right)} \end{aligned}$$

Putting all the terms together

We write

$$L_k(s) = L_k^{(\text{cont})}(s) + L_k^{(\text{disc})}(s) + L_k^{(R)}(s) + L_k^{(V)}(s)$$

where $L_k^{(\cdot)}(s)$ are results from the last few slides multiplied by the constant term

$$\frac{2\pi^s \Gamma(s)}{\Gamma\left(\frac{s+u+v}{2}\right) \Gamma\left(\frac{s+u-v}{2}\right) \Gamma\left(\frac{s-u+v}{2}\right) \Gamma\left(\frac{s-u-v}{2}\right)}$$

Perron's Formula

Lemma (Perron)

$$\int_{1+|R(u)|+|R(v)|+\epsilon-iT}^{1+|R(u)|+|R(v)|+\epsilon+iT} L_k^*(s) X^s \frac{ds}{s} = 4\pi i \sum_{n=1}^X \frac{\sigma_{2u}(N, \chi) \sigma_{2v}(n-k, \psi)}{n^s} + \mathcal{O}\left(\frac{X^{1+|R(u)|+|R(v)|+\epsilon}}{T}\right)$$

Lemma (contour integration)

$$\begin{aligned} \int_{1+|\Re(u)|+|\Re(v)|+\epsilon-iT}^{1+|\Re(u)|+|\Re(v)|+\epsilon+iT} L_k^*(s) X^s \frac{ds}{s} &= \sum_{\sigma > \frac{1}{2} + \epsilon} 2\pi i \operatorname{Res}_s L_k(s) \frac{X^s}{s} + \int_{\frac{1}{2} + \epsilon - iT}^{\frac{1}{2} + \epsilon + iT} L_k(s) X^s \frac{ds}{s} \\ &\quad - \left(\int_{\frac{1}{2} + \epsilon - iT}^{1+|\Re(u)|+|\Re(v)|+\epsilon-iT} - \int_{\frac{1}{2} + \epsilon + iT}^{1+|\Re(u)|+|\Re(v)|+\epsilon+iT} \right) L_k^*(s) X^s \frac{ds}{s} + \mathcal{O}\left(X^{\frac{1}{2} + \epsilon} T^{\frac{1}{2} + \epsilon}\right). \end{aligned}$$

The main term comes from $\sum_{\sigma > \frac{1}{2} + \epsilon} 2\pi i \operatorname{Res}_s L_k(s) \frac{X^s}{s}$

Final Step

Lemma

$$\begin{aligned}
 \int_{\frac{1}{2}+\varepsilon-iT}^{\frac{1}{2}+\varepsilon+iT} L_k^{(R)}(s) X^s \frac{ds}{s} &\ll X^{|\Re(u+v)|+\varepsilon} + X^\varepsilon T^\varepsilon + X^{\frac{1}{2}+\varepsilon} T^{-1}. \\
 \int_{\frac{1}{2}+\varepsilon-iT}^{\frac{1}{2}+\varepsilon+iT} L_k^{(V)}(s) X^s \frac{ds}{s} &\ll X^{|\Re(u-v)|+\varepsilon} + X^{-\Re(u+v)+\varepsilon} + X^\varepsilon \left(T^{\frac{1}{2}+\Re(u)} + T^{\frac{1}{2}+\Re(v)} \right) \\
 &\quad + X^{\frac{1}{2}+\varepsilon} \left(T^{-\frac{1}{2}+\Re(u)} + T^{-\frac{1}{2}+\Re(v)} \right). \\
 \int_{\frac{1}{2}+\varepsilon-iT}^{\frac{1}{2}+\varepsilon+iT} L_k^{(\text{cont})}(s) X^s \frac{ds}{s} &\ll \left(X^{\frac{1}{2}} T^{\frac{1}{2}} + X^\varepsilon T + X^{\frac{1}{2}+\varepsilon} \right) T^\varepsilon. \\
 \int_{\frac{1}{2}+\varepsilon-iT}^{\frac{1}{2}+\varepsilon+iT} L_k^{(\text{disc})}(s) X^s \frac{ds}{s} &\ll \left(X^{\frac{1}{2}} T^{\frac{1}{2}} + X^\varepsilon T + X^{\frac{1}{2}+\varepsilon} \right) T^{\frac{|\Re(u+v)|+|\Re(u-v)|}{2}+\varepsilon}. \\
 \left(\int_{\frac{1}{2}+\varepsilon-iT}^{1+|\Re(u)|+|\Re(v)|+\varepsilon-iT} - \int_{\frac{1}{2}+\varepsilon+iT}^{1+|\Re(u)|+|\Re(v)|+\varepsilon+iT} \right) L_k^*(s) X^s \frac{ds}{s} \\
 &\ll X^{\frac{1}{2}+\varepsilon} T^{\frac{|\Re(u+v)|+|\Re(u-v)|}{2}+\varepsilon} + X^{1+|\Re(u)|+|\Re(v)|+\varepsilon} T^{-1}.
 \end{aligned}$$

Balancing the error terms gives $\mathcal{O} \left(X^{1+|\Re(u)|+|\Re(v)|-\frac{1+2|\Re(u)|+2|\Re(v)|}{3+|\Re(u+v)|+|\Re(u-v)|}+\varepsilon} \right)$

A generalization?

In this problem we defined

$$V(z) := E_{\mathbb{1}, \bar{\chi}}^* \left(z, \frac{1}{2} + u \right) E_{\mathbb{1}, \bar{\psi}}^* \left(z, \frac{1}{2} + v \right)$$

Consider

$$V(z) = y^{\frac{k}{2}} E_{\chi_1, \chi_2}^* \left(z, \frac{k}{2} \right) \overline{E_{\psi_1, \psi_2}^* \left(z, \frac{k}{2} \right)}$$

(Holomorphic Eisenstein Series)

Work in progress...

Thanks for listening!



Figure: Alex